

EE318061B7US

05-10-1999

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Docket No. AT9-99-163



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PATENTS

101033492

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

PTO  
10525 U.S.  
09/300319  
04/27/99

1. Name of conveying party(ies):

John M. Mullaly  
Craig A. Swearingen  
Alan R. Tannenbaum

2. Name and address of receiving party(ies):

International Business  
Machines Corporation  
New Orchard Road  
Armonk, New York 10504

*MRD 4-27-99*

3. Nature of conveyance:

☒ Assignment

Execution Date:

*April 22, 1999; April 26, 1999.*

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

*April 22, 1999, Apr 26, 1999.*

A. Patent Application No.(s)

*09/300319*

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Leslie Van Leeuwen  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758  
(512) 823-6746

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*Leslie A. VanLeeuwen*  
Name of Person Signing

*Leslie A. VanLeeuwen*  
Signature

*4/26/99*  
Date

Total number of pages including cover sheet: 3

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PATENT  
REEL: 9932 FRAME: 0830

**A S S I G N M E N T**

Whereas, we,

(1) JOHN M. MULLALY of AUSTIN,  
County of TRAVIS and State of TEXAS,

and  
(2) CRAIG A. SWEARINGEN of AUSTIN,  
County of WILLIAMSON and State of TEXAS,

and  
(3) ALAN. R. TANNENBAUM of AUSTIN,  
County of TRAVIS and State of TEXAS,

have invented certain improvements in

**METHOD AND APPARATUS FOR ANNOUNCING RECEIPT OF AN ELECTRONIC  
MESSAGE**


and executed, respectively, a United States patent application therefore on  
(Dates Inventors Signed Declaration)

(1) April 22, 1999, and (2) April 22, 1997, and  
(3) April 26, 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Austin on April 22, 1999.

  
\_\_\_\_\_  
JOHN M. MOLLALY

and (2) Signed at Austin on April 22, 1999.

  
\_\_\_\_\_  
CRAIG A. SWEARINGEN

and (3) Signed at Austin on April 26, 1999.

  
\_\_\_\_\_  
ALAN R. TANNENBAUM