

RECC

05-10-1999

1897-16

Tab settings S.S.G.



original documents or copy thereof.

To the Honorable Commissioner of Patent

101031767

1. Name of conveying party(ies):

LEE A. KNAUSS
KOLAGANI S. HARSHAVADHAN



Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: NEOCERA, INC.

Address: 10000 Virginia Manor

Drive, Suite 300

City: Beltsville Country: USA
MD

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: 3/3/1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/050,049

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MORTON J. ROSENBERG, ESQ.

Internal Address: ROSENBERG, KLEIN &
BILKER

Street Address: 3444 ELLICOTT CENTER DRIVE
SUITE 105

City: ELLICOTT CITY State: MD ZIP: 21043

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

05/07/99 JSHABAZZ 00000220 09050049

DO NOT USE THIS SPACE

01 FC: 44 40.00 00

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

MORTON J. ROSENBERG

Name of Person Signing

Morton J. Rosenberg
Signature

5/4/99
Date

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, WE, LEE A. KNAUSS and KOLAGANI S. HARSHAVARDHAN, are respectively Citizens of the United States of America, and India, and respectively reside at 3909 Wakefield Lane, Bowie, MD 20715, and 2 Twig Place, Silver Spring, MD 20905 (hereinafter referred to as ASSIGNORS); and that we did apply for Letters Patent of the United States of America for a NON-LINEAR OPTICAL THIN FILM LAYER SYSTEM, which Patent Application was filed at the United States Patent and Trademark Office on *30 March 1998*, and bears Serial *09/050,049*;

WHEREAS, NEOCERA, INC., a Corporation of the State of Maryland, and having a business address at 10000 Virginia Manor Road, Suite 300, Beltsville, MD 20705 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in and to the said Patent Application;

NOW, THEREFORE, this indenture witnesseth that, for and in the consideration of Ten Dollars (\$10.00) to us in hand paid by the said ASSIGNEE, and other good and valuable consideration, the receipt whereof is hereby acknowledged, WE, the said LEE A. KNAUSS and KOLAGANI S. HARSHAVARDHAN, do hereby sell, assign, transfer,

and set over to the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in and to, and under the said Patent Application, Continuations, Continuation-in-Parts, and Divisions thereof, in the United States and all Countries external to the United States, and any Letters Patent issuing thereon, and any Reissue or Reissues of said Letters Patent, which may be granted, the same to be held and enjoyed by the said ASSIGNEE, for its own use and behoof, and for the use and behoof of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or Patents in Countries external to the United States may be granted, or reissued, as fully and entirely as the same would have been held and enjoyed by us if this Assignment and sale had not been made; together with all claims for damages by reason of past infringement of said Letters Patent with a right to sue for and collect the same for its own use and behoof, and for the use and behoof of its successors, assigns, or other legal representatives.

And we further covenant and agree that we will at any time, upon request, execute and deliver any and all papers that may be

necessary to perfect the titles to said Letters Patent that may be granted, in said ASSIGNEE, its successors, assigns, or other legal representatives, and that if said ASSIGNEE, its successors, assigns, or other legal representatives, desire to secure any Reissue or Reissues of such Letters Patent issuing on said Patent Application, Continuations, Continuation-in-Parts, or Divisions thereof, or that any Disclaimer or Disclaimers related thereto should be filed, that we will, upon request, sign all papers, make all rightful oaths, and do all lawful acts requisite for the application of such Reissue or Reissues, and the procuring thereof, or for the filing of such Disclaimer or Disclaimers, without further compensation, but at the expense of said ASSIGNEE, its successors, assigns, or other legal representatives.

And we do further covenant and agree that we will, at any time, upon request, communicate to said ASSIGNEE, its successors, assigns, or other legal representatives any facts relating to said Patent Application or Letters Patent issuing on said Patent Application, or the history thereof known to us and testify to the same in any litigation when requested to do so.

And we hereby acknowledge that our Attorney, Morton J.

Rosenberg, is hereby given authorization to fill in the Serial Number and filing date of this Patent Application, when known;

And we hereby covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute any Agreement in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands and seals this 3rd day of MARCH, 1998.



LEE A. KNAUSS

SUBSCRIBED AND EXECUTED AT:
Neocera Inc. Beltsville, MD
Place

Date: 3/3/98

SUBSCRIBED AND EXECUTED before me this 3rd day of march, 1998.



NOTARY PUBLIC

My Commission Expires: 9/11/00




KOLAGANI S. HARSHAVARDHAN

SUBSCRIBED AND EXECUTED AT:

NEOCERA INC, BELTSVILLE, MD 20705
Place

Date: 3 | 3 | 98

SUBSCRIBED AND EXECUTED before me this 3rd day of
March, 1998.



NOTARY PUBLIC

My Commission Expires: 9 | 11 | 00