

05-11-1999

FORM PTO-1595 (Rev. 6-93)

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101032402

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Jeffrey D. Milbrandt and Robert H. Baloh

2. Name and address of receiving party(ies):

Name: Washington University

Internal Address: One Brookings Drive

St. Louis, Missouri 63130

Street Address: One Brookings Drive

City St. Louis State: Missouri Zip: 63130Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other \_\_\_\_\_Execution Date: April 12, 1999; April 21, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/220,528

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald R. Holland

Internal Address: 7733 Forsyth Boulevard, Suite 1400

Street Address: 7733 Forsyth Boulevard, Suite 1400

City: St. Louis State: MO ZIP: 63105

6.

Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) ..... \$ \$40.00☒ Enclosed☒ Authorized to be charged to deposit account (if amount is insufficient)

8. Deposit account number:

18-1829

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald R. Holland

Name of person signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

WHEREAS, we, Jeffrey D. Milbrandt of 75 Aberdeen Place, St. Louis, Missouri 63105 and Robert H. Baloh of 964 Tuxedo Boulevard, St. Louis, Missouri 63119, have invented certain new and useful improvements for a *Artemin, A Novel Neurotrophic Factor* for which we executed an application for Letters Patent in the United States identifying us as the only inventors thereof said application being assigned Serial Number 09/220,528 by the U.S. Patent and Trademark Office and identified as Howell & Haferkamp, L.C., Docket 6029-7998; and


WHEREAS, Washington University, a corporation of the State of Missouri having its principal office and place of business at One Brookings Drive, St. Louis, Missouri 63130, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Jeffrey D. Milbrandt and Robert H. Baloh, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Washington University, its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us preparatory to filing for Letters Patent, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Washington University in accordance with this Assignment.

IN WITNESS WHEREOF, I have hereunto set my hand the 12<sup>th</sup> day of

April 1999.

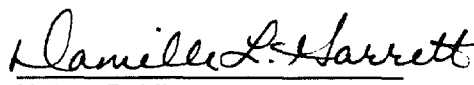
  
Jeffrey D. Milbrandt

STATE OF Missouri  
COUNTY OF St. Louis

On this 12<sup>th</sup> day of April 1999 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared Jeffrey D. Milbrandt to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

DANIELLE L. GARRETT  
Notary Public – Notary Seal  
STATE OF MISSOURI  
St. Louis County  
My Commission Expires: Oct. 21, 2001  
My Commission Expires:

  
Notary Public

10/21/01

IN WITNESS WHEREOF, I have hereunto set my hand the 21<sup>st</sup> day of

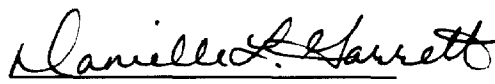
April 1999.

  
Robert H. Baloh

STATE OF Missouri  
COUNTY OF St. Louis

On this 21<sup>st</sup> day of April 1999 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared Robert H. Baloh to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

  
Notary Public

My Commission Expires: 10/21/01

DANIELLE L. GARRETT  
Notary Public – Notary Seal  
STATE OF MISSOURI  
St. Louis County  
My Commission Expires: Oct. 21, 2001

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RECORDED: 04/30/1999

PATENT  
" REEL: 9933 FRAME: 0683