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FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET  
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Patent and Trademark Office

To the : Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Neil E. SimisterAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: March 16, 1999

2. Name and address of receiving party(ies)

Name: Brandeis University

Internal Address:

Street Address: P.O. Box 9117

Waltham, Massachusetts 02254

Additional name(s) & addresses(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)  
09/237,327

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
Concerning document should be mailed:Name: Edward R. Gates  
Address: WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

05/10/1999 JSHABAZZ 00000182 09237327

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10.00 BP

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Edward R. Gates

Name of Person Signing

Signature

5/3/99

Date

Total number of pages including cover sheet, attachments, and document: [2]

Mail documents to be recorded with required cover sheet information to:  
Box Assignment, Assistant Commissioner for Patents,  
Washington, D.C. 20231

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Neil E. Simister, 19 ~~Aron~~ <sup>Avon</sup> Road, Wellesley, MA ~~02181~~ <sup>02492</sup>, hereby  
 Avon 12/3.12.99 02492 12/3.12.99

Sell, assign and transfer to Brandeis University, a Massachusetts corporation having a place of business at P.O. Box 9117, Waltham, MA 02254, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on January 26, 1999 under Serial No. 09/237,327 and entitled RECEPTOR SPECIFIC TRANSEPITHELIAL TRANSPORT OF THERAPEUTICS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

16 March 1999

Date

Neil E. Simister  
 NEIL E. SIMISTER

STATE OF Massachusetts :  
 COUNTY OF Middlesex :

Subscribed and sworn to before me this 16th day of March, 1999

SEAL

Shirley H. Ames  
 Notary Public

My Commission Expires 10/28/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard S. Blumberg et al.  
Serial No: 09/237,327  
Filed: January 26, 1999  
For: RECEPTOR SPECIFIC TRANSEPITHELIAL  
TRANSPORT OF THERAPEUTICS  
Examiner: T. Cunningham  
Art Unit: 1644

Box Assignment  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Executed Assignment to The Brigham and Women's Hospital, Inc.
- ☒ Executed Assignment to Brandeis University
- ☒ Two (2) Assignment Recordation Forms PTO-1595
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$ 40.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

I HEREBY CERTIFY THAT THIS  
CORRESPONDENCE IS DEPOSITED WITH THE  
UNITED STATES POSTAL SERVICE AS FIRST  
CLASS MAIL IN AN ENVELOPE ADDRESSED TO:  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231, ON

5/3/99

DATE

*Carol Ann Matoney*

Docket No. S1383/7004  
May 3, 1999  
xMay 18, 1999

Respectfully Submitted,

*Edward R. Gates*

Edward R. Gates  
Reg. No. 31,616  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500