

FORM PTO-1595

1-31-92

RECORDATION FOR
PATENT

05-12-1999



DEPARTMENT OF COMMERCE

Patent and Trademark Office

101035584

To the Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SUN, Albert C.

CHEN, Chang-Lun

LEE, Chee-Horng

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Macronix International Co., Ltd.

Street Address: No. 3 Creation Road 3rd
Science-Based Industrial Park

City: Hsinchu, Taiwan, R.O.C.

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 17 September 1997

4. Application number(s) or patent number(s): 08/952,045

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): PCT/US961/17302

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark A. Haynes
Haynes & Beffel LLP
P.O. Box 366
Half Moon Bay, CA 94019

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed☐ Authorized to be charged to deposit account☒ Paid, see attached document8. Deposit account number: 50-0869
(Attorney Docket No.: MXIC 870-2)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Mark A. Haynes, Reg. No.: 30,846
Typed Name, Registration Number
Signature5 May 1999
Date

Total number of pages including cover sheet, attachments and document: [5]

ASSIGNMENT

WHEREAS, the undersigned,

SUN, Albert C.
4F, No. 40, Alley 69, Lane 285
Section 1, Neihu Road
Neihu, Taipei
Taiwan

LEE, Chee-Hong
2F, No. 293, Chung-Chen N. Road
San-Chung, Taipei
Taiwan

CHEN, Chang-Lun
6F, No. 56, Alley 18, Lane 210
Dong-Narn Street
Hsinchu, Taiwan

hereinafter termed "Inventors", have invented certain new and useful improvements in

PROCESSOR WITH EMBEDDED IN-CIRCUIT PROGRAMMING STRUCTURES

have filed an international application designating the United States in the PCT identified as Application No. PCT/US96/17302, Filed on 28 October 1996 (28.10.96), hereinafter collectively termed "application;"

WHEREAS, MACRONIX INTERNATIONAL CO., LTD., a corporation of the Country of TAIWAN, having a place of business at No. 3, Creation Road 3rd, Science Based Industrial Park, Hsinchu, Taiwan, R.O.C., (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority

contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below.



SUN, Albert C.

State of _____)
County of _____)

On _____, 1997, before me, _____
personally appeared Albert C. Sun

☐ personally known to me or ☐ proved to me on the basis of
satisfactory evidence, to be the person whose name is subscribed to
the within instrument and acknowledged to me that he/she executed
the same in his/her authorized capacity, and that by his/her
signature on the instrument the person or the entity upon behalf of
which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Notary Public)

Date

Chang-Lun Chen
CHEN, Chung-Lun

State of _____)
County of _____)

Date _____

On _____, 1997, before me, _____,
personally appeared Chang-Lun Cheng.

☐ personally known to me or ☐ proved to me on the basis of
satisfactory evidence, to be the person whose name is subscribed to
the within instrument and acknowledged to me that he/she executed
the same in his/her authorized capacity, and that by his/her signature
on the instrument the person or the entity upon behalf of which the
person acted, executed the instrument.

WITNESS my hand and official seal.

(Notary Public)

Chee-Hong Lee
LEE, Chee-Hong

State of _____)
County of _____)

Date _____

On _____, 1997, before me, _____,
personally appeared Chee-Hong Lee.

☐ personally known to me or ☐ proved to me on the basis of
satisfactory evidence, to be the person whose name is subscribed to
the within instrument and acknowledged to me that he/she executed
the same in his/her authorized capacity, and that by his/her signature
on the instrument the person or the entity upon behalf of which the
person acted, executed the instrument.

WITNESS my hand and official seal.

(Notary Public)

本文件之簽字或蓋章
經新竹地方法院公證處公證人張智德
公証人 張智德
Attested on this _____ day, SEP 17 1997
19____, at the Hsin Chi District court,
Taiwan, Republic of China, That the
signature(s)/seal(s) in this docu-
ment is/are authentic.
Jen Tzu No. 4603
Cheng Gee Der
Notary Public

* of SUN, Albert C.
CHEN, Chung-Lun
and LEE, Chee-Hong

Applicant has submitted appropriately corrected forms within 30 days from the date of the Notice of Non-Recordation of Document and requests maintenance of the original date of filing as outlined under 37 C.F.R. 3.51. It is submitted that the Recordation Form Cover Sheet and Assignment document are now in the proper form for recordation.

Respectfully submitted,



Mark A. Haynes
Registration No. 30,846

Date: 5 May 1999

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019

01-13-1999

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ec'd PCT/PTO 05 NOV 1997

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

870-2

Attorney Docket No. 17538-170

11-3-98

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Albert C. Sun, et al.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment
 ☐ Merger
☐ Security Agreement
 ☐ Change of Name

Other: _____

Execution Date: September 17, 1997

2. Name and address of receiving party(ies):

Name: Macronix International Co., LtdAddress: No. 3, Creation Road 3rd
Science Based Industrial Park
Hsinchu, Taiwan, R.O.C.
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

PCT/US96/17302

B. Patent No.(s)

08952045

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark A. HaynesAddress: Wilson Sonsini Goodrich & Rosati650 Page Mill RoadPalo Alto, California 94304-10506. Total number of applications and patents involved: oneTotal fee (37 CFR 3.41): \$ 40.00☐ Enclosed☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

23-2415

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark A. HaynesName of Person signing
Registration No. 30,846Signature30.4.97
DateTotal number of pages including cover sheet, attachments, and document: 4

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

 Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

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RECORDED: 05/10/1999

 PATENT
 REEL: 9937 FRAME: 0301