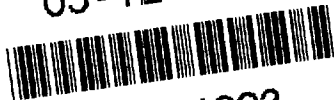


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Attorney Docket No. H60-074 US

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Assistant Commissioner for Patents:


Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Claus HEINE
2. Name and address of receiving party(ies):  
Balzers Aktiengesellschaft  
FL - 9496 Balzers  
Fürstentum LIECHTENSTEIN
3. Nature of conveyance: ASSIGNMENT  
Execution Date: January 14, 1999
4. U.S. Patent Application #09/226,368, filed January 6, 1999.
5. Name and address of party to whom correspondence concerning document should be mailed:

Peter C. Michalos  
NOTARO & MICHALOS P.C.  
350 Fifth Avenue, Suite 6902  
New York, New York 10118-6985

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41/\$40 ea) \$40.00 is enclosed.
8. The Commissioner is authorized to charge any underpayment or credit any overpayment to deposit account number: 14-1431
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter C. Michalos

  
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PATENT  
REEL: 9937 FRAME: 0411

**ASSIGNMENT**

In consideration of the sum of One Dollar (\$1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned

Claus Heine

hereby sell, assign and transfer to  
Balzers Aktiengesellschaft

(hereinafter called the Assignee) the entire right, title and interest in and to any and all inventions and discoveries which are disclosed in the application for United States patent entitled

ANORDNUNG ZUR FARBAUFTEILUNG UND/ODER REKOMBINATION

which application was: (a) executed on the \_\_\_\_\_ day of \_\_\_\_\_ 19\_\_\_\_,  
(b) filed on the 6th day of January 1999, and  
given Serial No. 09/226,368

including any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals or continuations thereof, to all applications for patents, utility models or design rights, now or hereafter filed in countries foreign to the United States of America, and any and all patents, utility models or design rights to be obtained for said inventions and discoveries, and to the right to all benefits under the International Convention for the Protection of Industrial Property therefor.

It is hereby authorized and requested that the Commissioner of Patents and Trademarks issue any and all said Letters Patent, when granted to said Assignee.

Further, it is agreed that, when requested by Assignee, the undersigned will execute without charge all divisional, continuing, substitute, renewal, and reissue patent applications and foreign applications, and execute all rightful other papers, and generally do everything possible for aiding in securing and maintaining proper patent protection.

Signed at Balzers, this 14 day of Jan. 1999

Inventor(s):

Claus Heine  
Name: Claus Heine

Witnesses:

Ruedi Gähwiler  
Name: Ruedi Gähwiler

Carmen Nigg  
Name: Carmen Nigg

RECORD AND RETURN TO:

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