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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Kenichiro NISHI, Tetsuo OKUYAMA, Mitsuru NUKUI,  
Kazuo NAKAJIMA, Shirou MURAI, Toyotaka WADA and Tomio  
NAKAGAWA

Additional name(s) of conveying party(ies) attached?

☐ yes ☒ no

## 2. Name and address of receiving party(ies)?

Name:

NIPPEI TOYAMA CORPORATION

Internal Address:

26-2, Minami-Ohi 6-chome, Shinagawa-ku, Tokyo, Japan

Street Address:

Same as above

City

State

Zip

Additional name(s) & address(es) attached?

☐ yes ☒ no

## 3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: April 26, 1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/281,966

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE, MION, ZINN, MACPEAK & SEAS  
2100 Pennsylvania Avenue, N.W.  
Suite 800  
Washington, D.C. 20037-3202

6. Total number of applications and patents involved:  
One (1)

7. Total Fee (37 CFR 3.41): \$ 40.00

☒ Enclosed. Please charge any underpayment in  
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4880.

☐ Authorized to be charged to Deposit Account

## 8. Deposit Account No.

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## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*Darryl Mexic*  
Name Darryl Mexic Reg. No. 23,063

May 7, 1999

Date

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Box Assignments

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PATENT

REEL: 9937 FRAME: 0799

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# Assignment

Whereas, I/We, Kenichiro Nishi and Tetsuo Okuyama both of XX  
 Kanagawa, Japan, and  
 Mitsuru Nukui, Kazuo Nakajima, Shirou Murai,  
 Toyotaka Wada and Tomio Nakagawa all of Toyama, Japan

hereinafter called assignor(s), have invented certain improvements in

AN INGOT SLICING METHOD, AN INGOT MANUFACTURING METHOD  
 AND A SLICED INGOT GRINDING APPARATUS

and executed an application for Letters Patent of the United States of America therefor on  
 April 26, 1999 ; and

Whereas, NIPPEI TOYAMA CORPORATION of 26-2, Minami-Ohi 6-chome,  
 Shinagawa-ku, Tokyo, Japan

(assignee), desires to acquire the entire right, title, and interest in the application and invention, and to  
 any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its  
 successors and assigns, the entire right, title and interest in the application and the invention disclosed  
 therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and  
 I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth  
 in the application to the assignee, its successors and assigns; and I/we will execute without further  
 consideration all papers deemed necessary by the assignee in connection with the United States application  
 when called upon to do so by the assignee.

I/We hereby authorize and request my attorneys SUGHRUE, MION, ZINN, MACPEAK & SEAS of  
 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202 to insert here in parentheses (Application  
 number 09/281,966, filed March 31, 1999) the filing date and application  
 number of said application when known.

Date: 04/26/1999	sl/ <u>Kenichiro Nishi</u> Kenichiro Nishi
Date: 04/26/1999	sl/ <u>Tetsuo Okuyama</u> Tetsuo Okuyama
Date: 04/26/1999	sl/ <u>Mitsuru Nukui</u> Mitsuru Nukui
Date: 04/26/1999	sl/ <u>Kazuo Nakajima</u> Kazuo Nakajima
Date: 04/26/1999	sl/ <u>Shirou Murai</u> Shirou Murai
Date: 04/26/1999	sl/ <u>Toyotaka Wada</u> Toyotaka Wada
Date: 04/26/1999	sl/ <u>Tomio Nakagawa</u> Tomio Nakagawa
Date:	sl/ _____
Date:	sl/ _____
Date:	sl/ _____

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)