

05-13-1999

Docket No. Y0999-121

581



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The Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>Jonathan P. Brezin, Wendy A. Kellogg, Mark R. Laff, Philip S.-l. Yu</p> <p>Additional name(s) of conveying party(ies) attached? <u>Yes</u> <input checked="" type="checkbox"/> <u>No</u></p> <p><i>MRO 5-10-99</i></p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: International Business Machines Corporation Address: New Orchard Road Armonk, NY 10504</p> <p>Additional name(s) &amp; addresses attached? <u>Yes</u> <input checked="" type="checkbox"/> <u>No</u></p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment</p> <p>Execution Date(s): <u>April 6, 1999,</u> <u>April 6, 1999, April 6, 1999,</u> <u>April 6, 1999</u></p>	<p>4. Patent Application number(s)</p> <p>09/282,860</p> <p>Execution Date(s): <u>April 6, 1999,</u> <u>April 6, 1999, April 6, 1999,</u> <u>April 6, 1999</u></p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Kevin M. Jordan Address: IBM Corporation Intellectual Property Law P.O. Box 218 Yorktown Heights, NY 10598</p>	<p>6. Total number of applications involved: 1</p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><input checked="" type="checkbox"/> Charge to Deposit Account No.: <u>09-0468</u></p>

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document.

Kevin M. Jordan (Reg. No. 40,277)  
Name of Person Signing

*Kevin M. Jordan*  
Signature

5/6/99  
Date

Total Number of pages including cover sheet, attachments, and document: 3

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# Assignment

Whereas, we

INVENTOR  
AND CITY

(1) Jonathan P. Brezin  
County of Bergen

of Leonia  
and State of New Jersey

INVENTOR  
AND CITY

(2) Wendy A. Kellogg  
County of Westchester

of Yorktown Heights  
and State of New York

INVENTOR  
AND CITY

(3) Mark R. Laff  
County of Westchester

of Mount Kisco  
and State of New York

INVENTOR  
AND CITY

(4) Philip S.-I. Yu  
County of Westchester

of Chappaqua  
and State of New York

TITLE

have invented certain improvements in  
**OPTIMIZATION OF SYSTEM PERFORMANCE BASED ON COMMUNICATION  
RELATIONSHIP**

DATES THAT  
INVENTORS  
SIGNED THE  
DECLARATION

and executed, respectively, a United States patent application therefor on  
(1) April 6, 1999, and (2) April 6, 1999,  
(3) April 6, 1999, and (4) April 6, 1999.


Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

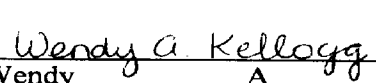
CITY AND  
DATE

(1) at Yorktown Heights, NY  
on April 6, 1999,

  
\_\_\_\_\_  
(Jonathan P. Brezin)  
FIRST NAME MIDDLE INITIAL LAST NAME SIGNATURE


CITY AND  
DATE

(2) at Yorktown Heights, NY  
on April 6, 1999,

  
\_\_\_\_\_  
(Wendy A. Kellogg)  
FIRST NAME MIDDLE INITIAL LAST NAME SIGNATURE

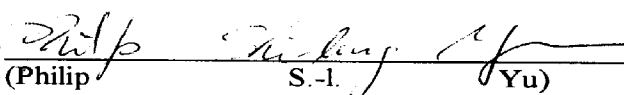
CITY AND  
DATE

(3) at Yorktown Heights, NY  
on April 6, 1999,

  
\_\_\_\_\_  
(Mark R. Laff)  
FIRST NAME MIDDLE INITIAL LAST NAME SIGNATURE

CITY AND  
DATE

(4) at Yorktown Heights, NY  
on April 6, 1999,

  
\_\_\_\_\_  
(Philip S.-I. Yu)  
FIRST NAME MIDDLE INITIAL LAST NAME SIGNATURE

State of New York )ss.:  
County of Westchester )

INVENTOR  
(1)

On this 6th day of April 19 99, before me personally came Jonathan P. Brezin to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

Notary  
MICHELLE PARRA  
Notary Public, State of New York  
No. 01PA5089349  
Qualified in Dutchess County  
Commission Expires December 8, 1999

State of New York )ss.:  
County of Westchester )

INVENTOR  
(2)

On this 6th day of April 1999, before me personally came Wendy A. Kellogg to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

Notary  
MICHELLE PARRA  
Notary Public, State of New York  
No. 01PA5089349  
Qualified in Dutchess County  
Commission Expires December 8, 1999

State of New York )ss.:  
County of Westchester )

INVENTOR  
(3)

On this 6th day of April 19 99, before me personally came Mark R. Laff to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

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Notary Public, State of New York  
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Qualified in Dutchess County  
Commission Expires December 8, 1999

State of New York )ss.:  
County of Westchester )

INVENTOR  
(4)

On this 6th day of April 1999, before me personally came Philip S.-I. Yu to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

*Michelle Parra*

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No. 01PA5089349  
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