

05-13-1999



101036854

RECORDATION FORM COVER SHEET

PATENTS ONLY

Attorney Docket No.: 06618/305001/CIT 2809

MLB 4-30-99

Please record the attached copies of an original document.

1. Name of conveying party(ies):

Zifu Wang  
George R. Rossman  
Geoffrey A. Blake

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

California Institute of Technology  
1200 East California Boulevard  
Pasadena, CA 91125

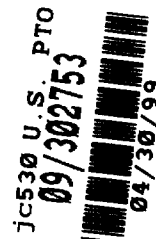
Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: 04/30/99; 04/30/99; and 04/30/99,  
respectively

09/302753



4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 04/30/99

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Scott C. Harris  
Fish & Richardson P.C.  
4225 Executive Square, Suite 1400  
La Jolla, CA 92037

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document.

Scott C. Harris

Name of Person Signing

Signature

Date

4/30/99

Total number of pages including cover sheet, attachments, and document: 3

05/12/1999 DNGUYEN 00000280 09302753

01 FC:581

40.00 OP

"EXPRESS MAIL" Mailing Label Number EL339804934US

Date of Deposit April 30, 1999

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

M.E. Augustine

PATENT

REEL: 009939 FRAME: 0947

PATENT  
Attorney Docket No. 06618/305001/CIT 2809**ASSIGNMENT**

For valuable consideration, we, Zifu Wang, of Irvine California; George R. Rossman, of \_\_\_\_\_; and Geoffrey A. Blake, of \_\_\_\_\_; hereby assign to California Institute of Technology, a California corporation having a place of business at 1200 East California Boulevard, Pasadena, California 91125, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us this day, entitled APPARATUS AND METHOD FOR ANALYZING MICROSCOPIC SAMPLES BASED ON OPTICAL PARAMETRIC OSCILLATION, filed \_\_\_\_\_, and assigned U.S. Serial Number \_\_\_\_\_, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Dated: 4/30/99Zifu Wang  
Zifu Wang

Dated: \_\_\_\_\_

George R. Rossman  
George R. Rossman

Dated: \_\_\_\_\_

Geoffrey A. Blake  
Geoffrey A. Blake

90483

### ASSIGNMENT

For valuable consideration, we, Zifu Wang, of \_\_\_\_\_;  
George R. Rossman, of \_\_\_\_\_; and Geoffrey A. Blake, of \_\_\_\_\_  
\_\_\_\_\_; hereby assign to California Institute of Technology, a California corporation having a  
place of business at 1200 East California Boulevard; Pasadena, California 91125, and its successors and  
assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the  
world in the inventions and improvements which are subject of an application for United States Patent  
signed by us this day, entitled APPARATUS AND METHOD FOR ANALYZING MICROSCOPIC  
SAMPLES BASED ON OPTICAL PARAMETRIC OSCILLATION, filed \_\_\_\_\_, and  
assigned U.S. Serial Number \_\_\_\_\_, and we authorize and request the attorneys appointed  
in said application to hereafter complete this assignment by inserting above the filing date and serial  
number of said application when known; this assignment including said application, any and all United  
States and foreign patents, utility models, and design registrations granted for any of said inventions or  
improvements, and the right to claim priority based on the filing date of said application under the  
International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the  
European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply  
in all countries in our name or in its own name for patents, utility models, and design registrations and like  
rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for  
ourselves and our respective heirs, legal representatives and assigns, without further compensation to  
perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and  
other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

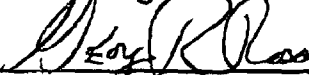
Dated: \_\_\_\_\_

Dated: April 30, 1999

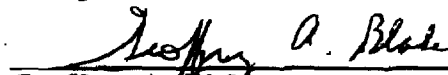
Dated: April 30, 1999

90483

Zifu Wang



George R. Rossman



Geoffrey A. Blake