

4-29-99  
MKN

FORM PTO-1595  
1-31-92

07-14-1999

COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of F



101070987

1. Name of conveying party(ies):  
Rajan Bawa  
Rex E. Hall  
Bhagwati P. Kabra  
James E. Teague

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

4-29-99

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 7, 1999

all documents or copy thereof

name and address of receiving party(ies):

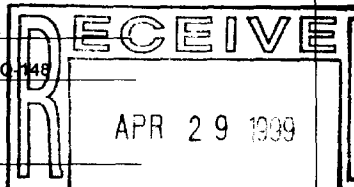
Name: Alcon Laboratories, Inc.

Internal Address: Patent Department

Street Address: 6201 South Freeway

City: Fort Worth State: TX

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/277,102

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick M. Ryan

Internal Address: Patent Department Q-148

ALCON LABORATORIES, INC.

Street Address: 6201 South Freeway

City: Fort Worth State: TX ZIP: 76134-2099

6. Total number of applications and patents involved:

one (1)

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

01-0682

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrick M. Ryan Reg. No. 36,263  
Name of Person Signing

Signature

Date

4/22/99

Total number of pages including cover sheet 6

CMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

40E  
NO SPEC  
FEE

05/07/1999 DNGUYEN 00000215 010682 09277102

01 FC:581 40.00 CH

PATENT  
REEL: 9941 FRAME: 0523

# A S S I G N M E N T

WHEREAS I am a below named joint inventor of the invention entitled:

## **"GELLING OPHTHALMIC COMPOSITIONS CONTAINING XANTHAN GUM"**

and described in a United States Patent Application filed with the United States Patent and Trademark Office on March 26, 1999, and further identified by Attorney Docket No. 1586; and

WHEREAS, ALCON LABORATORIES, INC., a company organized under the laws of Delaware and having a place of business at 6201 South Freeway, Fort Worth, Texas, 76134, is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON LABORATORIES, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all

prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement shall bind, and insure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON LABORATORIES, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of Inventor:

RAJAN BAWA

Address:

4414 Gray Fox Lane  
Fort Collins, CO 80526

Inventor's Signature:

Rajan Bawa

Date:

4/1/99

STATE OF COLORADO §  
COUNTY OF LARIMER §

On this 1<sup>st</sup> day of April, 1999, before me personally appeared RAJAN BAWA to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.

Joy N. Batley  
Notary Public

my commission expires  
9-6-99

Full name of Inventor:

REX E. HALL

Address:

2704 South Meadow Drive  
Fort Worth, TX 76133

Inventor's Signature:

Rex E. Hall

Date:

3/29/99

STATE OF TEXAS §

§

COUNTY OF TARRANT §

On this 29th day of March, 1999, before me personally appeared REX E. HALL to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.

Jeannie Burke  
Notary Public

Full name of Inventor:

BHAGWATI P. KABRA

Address:

6116 Silkcrest Trail  
Arlington, Texas 76017

Inventor's Signature:

Bhagwati Kabra

Date:

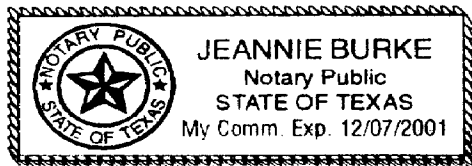
4/7/99

STATE OF TEXAS

§  
§  
§

COUNTY OF TARRANT

On this 7th day of April, 1999, before me personally appeared BHAGWATI P. KABRA to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.



Jeannie Burke  
Notary Public

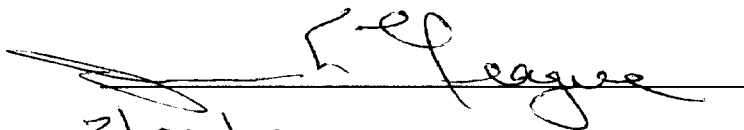
Full name of Inventor:

JAMES E. TEAGUE

Address:

810 Packard Drive  
Arlington, Texas 76001

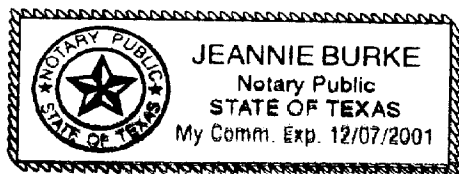
Inventor's Signature:

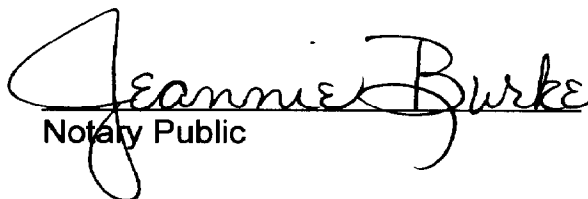
  
3/29/99

Date:

STATE OF TEXAS       §  
                                  §  
COUNTY OF TARRANT §

On this 29th day of March, 1999, before me personally appeared JAMES E. TEAGUE to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.



  
Notary Public