

05-17-1999

FORM PTO-1595

1/31/92

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101038701

To the Honorable Commissioner of Patents and Trademarks. Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas Pferdehirt

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: YKK Corporation

Internal Address: No. 1 Kanda Izumi-cho

Chiyoda-ku, Tokyo

Japan

Street Address: Same as above

City: State: Zip:

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date 05-01-98

## 4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Attorney Docket No. P98,1048

Serial No. 09/077,105

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Hill &amp; Simpson, P.C.

85th Floor Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606

## 6. Total number of applications and patents involved

1

## 7. Total Fee (37 CFR 3.41) \$

☐ Enclosed☒ Authorized to be charged to deposit account any Additional fees

## 8. Deposit Account Number:

08-2290

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

MICHAEL S. LEONARD (Reg. No. 37,557)

Name of Person Signing

Signature

April 29, 1999

Date

Total number of pages comprising cover sheet: 2

Mail documents to be recorded and required cover sheet information to:

**Commissioner of Patents and Trademarks**  
**Box Assignments**  
**Washington, D.C. 20231**

**PATENT**  
**REEL: 9944 FRAME: 0889**

**ASSIGNMENT**

FOR a valuable consideration, the undersigned,

Thomas PFERDEHIRT

residing at

Rembrandtstr. 25, 42329 Wuppertal, Germany

hereby sell, assign, and transfer unto YKK CORPORATION a Japanese corporation, the whole right, title and interest in and to a certain invention or improvement in

**CAPPED RIVET**

disclosed in an application for Letters Patent of the United States, prepared by the firm of Hill & Simpson, A Professional Corporation of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. \_\_\_\_\_, and in our own records as Case No. \_\_\_\_\_

, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said YKK CORPORATION of

No.1, Kanda Izumi-cho, Chiyoda-ku, Tokyo, Japan

For the same consideration, hereby agree that \_\_\_\_\_ will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

Wuppertal, Germany, this 1st day of May, 1998.

  
Thomas PFERDEHIRT

WITNESSES:

  
\_\_\_\_\_  
ICHIRO NARASAKI