

05-19-1999

FORM PTO-1595 *MD*

1-31-92

Docket No.: DC-0050

5-13-99



101040658

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY
ID NO:

To The Honorable Commissioner of Patents and Trademarks. Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies):
**Bruce E. Kemp, David I. Stapleton and
Kenneth I. Mitchelhill**

2. Name and address of receiving party(ies):
Name: **St. Vincent's Institute of Medical Research**
Internal Address:

Additional name(s) of conveying party(ies)
attached?
() YES (XX) NO

Street Address: **41 Victoria Parade**
City: **Fitzroy**
State: **Victoria, Australia** Zip: **3065**

3. Nature of Conveyance:
(XX) Assignment () Merger
() Name Change () Security Agreement
() Other: _____
Execution date: **August 20, 1998**

Additional names (s) & address attached?
() YES (XX) NO

4. Application number(s) or patent number(s):

A. Patent Application No(s). **09/101,146** FILED **October 7, 1998** B. Patent No(s).
If this document is being filed together with a new application, the execution date of the application is:
Additional numbers attached? () YES (XX) NO

5. Name and address of party to whom
correspondence concerning documents
should be mailed:

6. Total number of applications and patents involved: **One (1)**

Jane Massey Licata, Esq.
Law Offices of Jane Massey Licata
66 E. Main Street
Marlton, New Jersey 08053

7. Total fee (37 CFR 3.41): \$
() Enclosed
(XX) Authorized to be charged to deposit account.

8. Deposit Account number: **12-1086**
(Attach duplicate copy of this page if paying by deposit
account.)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and
correct and any attached copy is a true copy of the original document.

Jane Massey Licata, Reg. No. 32,257
Name of Person Signing

Signature *Jane Massey Licata* May 10, 1999

Total number of pages comprising cover sheet, attachments, and document: **Five**

05/18/1999 BUREAU 00000161 121006 09101146
01 FC:581 46.00 CH

PATENT
REEL: 009949 FRAME: 0883

A S S I G N M E N T

WHEREAS, we Bruce E. Kemp, David I. Stapleton and Kenneth I. Mitchelhill, hereinafter referred to as the assignors, residing respectively at 20 Kallett Grove, Kew, Victoria, 3101 Australia, 12 Mint Street, Wanting, Victoria 3183, Australia and 5/14 Westbury Street, East St.Kilda, Victoria 3183 Australia, are the joint inventors of certain inventions or improvements for which we have made application for Letters Patent to the United States, identified as Attorney Docket No. DC-0050, entitled Novel AMP Activated Protein Kinase; and

WHEREAS, St. Vincent's Institute of Medical Research, hereinafter referred to as the assignee, of Fitzroy, Victoria, Australia, a corporation of Australia, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or

PATENT

REEL: 009949 FRAME: 0884

improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

We further authorize and direct our attorneys to insert below* the serial number and filing date of said application now identified as Attorney Docket No. DC-0050 as soon as the same shall have been made known to them by the United States Patent Office.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

BBK August 3rd 1998
JP July 17 1998
Date

B. E. Kemp (L.S.)
Bruce E. Kemp

20th August 1998
Date

David Stapleton (L.S.)
David I. Stapleton

3rd August 1998
Date

K. I. Mitchelhill (L.S.)
Kenneth I. Mitchelhill

[WHERE ALL INVENTORS SIGN BEFORE THE SAME NOTARY:]

COUNTY OF _____:

SS

- 2 -

PATENT
REEL: 009949 FRAME: 0885

On this 3 day of AUGUST, 1998, before me personally came the above named BRUCE E READ to me personally known and known to me to be the same individuals who executed the foregoing assignment, and who acknowledged to me that they executed the same of their own free will for the use and purposes therein set forth.

J. L. Fairs
Notary Public
J. L. Fairs

[WHERE INVENTORS SIGN BEFORE SEPARATE NOTARIES:]

STATE OF VICTORIA :

SS

COUNTY OF AUSTRALIA :

On this 3 day of AUGUST, 1998, before me personally came the above named BRUCE E READ to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

J. L. Fairs
Notary Public
J. L. Fairs

STATE OF VICTORIA :

SS

COUNTY OF AUSTRALIA :

On this 3 day of AUGUST, 1998, before me personally came the above named KENNETH MITCHELL to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

J. L. Fairs
Notary Public
J. L. Fairs

- 3 -

PATENT
REEL: 009949 FRAME: 0886

STATE OF ONTARIO :

SS

COUNTY OF CANADA :

On this 20th day of August, 1998, before me personally came the above named David Stapleton to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

L. M. Kudrycki

Notary Public

*The above assignment covers application Serial No. 09/101,146, filed on July 1, 1998.

The above insertion made by me this 24th day of August, 1998.

Jane Massey Licata
Jane Massey Licata, Reg. No. 32,257
of Law Offices of JANE MASSEY LICATA

- 4 -

RECORDED: 05/13/1999

PATENT
REEL: 009949 FRAME: 0887