

05-21-1999



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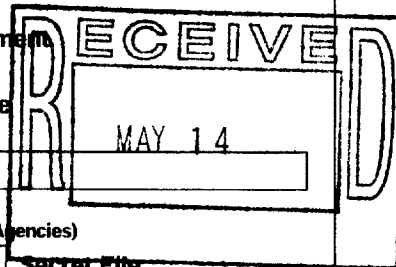
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Execution Date  
Month Day Year  
05 10 99

Name (line 1) Thomas R. Herren

Name (line 2)

Second Party

Name (line 1) Gene Carpenter

Execution Date  
Month Day Year  
05 10 99

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) A.J. Steel, Inc.

Name (line 2)

Address (line 1) 1625 Orchard Drive, Unit G

Address (line 2) Placentia, California 92870

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Enter for the first Receiving Party only.

Name Mark H. Plager (Reg. No. 35,648)

Address (line 1) P.O. Box 745

Address (line 2) Placentia, California 92871

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Area Code and Telephone Number

714-238-6375

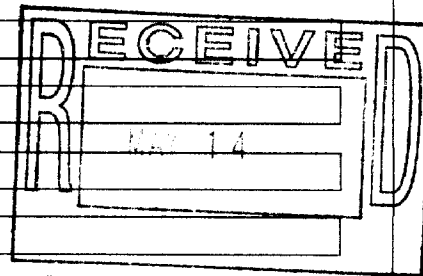
Name Mark H. Plager (Pat. Reg. No. 35,648)

Address (line 1) P.O. Box 745

Address (line 2) Placentia, California 92871

Address (line 3)

Address (line 4)



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**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)


5,189,857


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

# one

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

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Authorization to charge additional fees:

Yes ☐ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Mark H. Plager (Reg. No. 35,648)

Name of Person Signing

Signature

May 10, 1999

Date

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)

Applicant, Patentee, or Identifier: A.J. Steel, Inc.  
Application or Patent No.: Patent No. 5,189,857  
Filed or Issued: Issued March 2, 1993  
Title: Flush Mount Bridging and Backing

I hereby state that I am

- ☒ the owner of the small business concern identified below.  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN A.J. Steel, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 1625 Orachrd Drive, Unit G  
Placentia, California 92870

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☒ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.  
☒ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Andrew J. Herren

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 1625 Orchard Drive, Unit G, Placentia, CA 92870

SIGNATURE Andrew J. Herren DATE MAY 11, 1999

Patent No.:

5,189,857

Title:

Flush Mount Bridging and  
Backing

### ASSIGNMENT OF PATENT RIGHTS

Whereas, We, Gene N. Carpenter and Thomas R. Herren, individuals, hereinafter referred to as Inventors, having interest in United States Letters Patent bearing number 5,189,857 for flush mount bridging and backing; and

Whereas, A.J. Steel, Inc., a Nevada corporation, referred to as Assignee, is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, We, the Inventors, by these presents do sell, assign and transfer unto said assignee our entire right, title and interest in and to United States Letters Patent No. 5,189,857; the same to be held and enjoyed by the Assignee for its own use and behoof, and for its legal representatives and assigns as the same would have been held by us as the Inventors had this assignment not been made.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said Assignee, of the entire right, title and interest in and to the same, for its sole use and behoof; and for the use of its legal representatives, to the full end of the term for said Patent, as the same would have been held by me as the Partial Assignee had this assignment not been made.

Executed this \_\_\_\_ day of May, 1999,  
at \_\_\_\_\_, California

Gene N. Carpenter  
Gene N. Carpenter

Executed this \_\_\_\_ day of May, 1999,  
at \_\_\_\_\_, California

Thomas R. Herren  
Thomas R. Herren

State of California ) SS:  
County of Orange )

Before me personally appeared said Gene N. Carpenter & Thomas R. Herren  
and acknowledged the foregoing instrument to be his free act and  
deed this 10th day of May, 1999

Seal

Mari-Ann Rascon  
Notary Public

