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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joshua (NMI) Makower, Robert S. Schwartz, David R. Holmes, and Robert A. Van Tassel

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Transvascular, Inc.

Internal Address:

Street Address:

1505-D Adams Drive  
Menlo Park, CA 94025

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other: \_\_\_\_\_

Execution Date: 4-21-99; 4-26-99; and 5-4-99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

05-20-1999



101040984

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 04-21-99; 4-26-99; 4-30-99; and 5-4-99

A. Patent Application No.(s) 09/192,554

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert D. Buyan

Internal Address:

Street Address:

Stout, Uxa, Buyan & Mullins, LLP  
4 Venture, Suite #300  
Irvine, CA 92618

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0878

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert D. Buyan  
Name of Person Signing

[Signature]  
Signature

5/6/99  
Date

7

Total number of pages including cover sheet, attachments and document:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box assignments  
Washington, D.C. 20231

## ASSIGNMENT

Name(s) of Inventor(s): Joshua (NMI) Makower, Robert S. Schwartz, David R. Holmes, and Robert A. Van Tassel

Name of Assignee: Transvascular, Inc.

State of Incorporation of Assignee: California

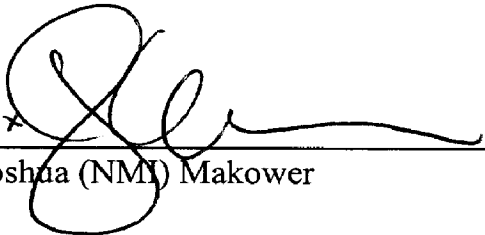
Address of Assignee: 1505-D Adams Drive, Menlo Park, CA 94025

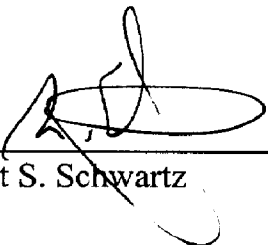
Title of Application: Percutaneous In-Situ Coronary Bypass Method and Apparatus


Application No.: 09/192,554 Date of Filing of Application: August 10, 1998

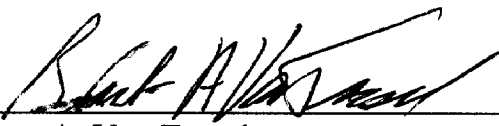
1. In consideration of the sum of \$1.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified patent application in the United States and throughout the world, and in and to any applications for patent and patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned agree(s) to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.

7. The undersigned hereby grant **Donald E. Stout**, Reg. No. 34,493; **Frank J. Uxa**, Reg. No. 25,612; **Robert D. Buyan**, Reg. No. 32,460; **Kenton R. Mullins**, Reg. No. 36,331; **D. Jennings Meincke**, Reg. No. 36,606; **Philip H. Haymond**, Reg. No. 38,177 the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Signature of Inventor:  Date: x 4/21/99  
Joshua (NMI) Makower

Signature of Inventor:  Date: x 4/26/99  
Robert S. Schwartz

Signature of Inventor:  Date: x 4/26/99  
David R. Holmes

Signature of Inventor:  Date: x 5/4/99  
Robert A. Van Tassel