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FORM PTO-1595

RECORDATION FOR
PATENTS

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1/31/92

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Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

TAKAYUKI YAMAHIRA
AYAKI WATANABE
TOSHIZOU KAMEISHI
KOJI KIHARA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Sony Corporation

Internal Address: _____

Street Address: 7-35, Kitashinagawa 6 chomeShinagawa-kuCity: Tokyo, Japan

State: _____

Zip: _____

Additional Name(s) & Address(es) attached ☒ Yes ☐ No

3. Nature of conveyance:

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Other _____

Execution Date February 23, 25 March 2, 1999

4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

(USSN 09/ 196,319, filed November 20, 1998)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Hill & Simpson, P.C.

85th Floor Sears Tower
233 S. Wacker Drive
Chicago, IL 60606

6. Total number of applications and patents involved

17. Total Fee (37 CFR 3.41) \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account any
Additional fees

8. Deposit Account Number:

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*John D. Simpson
Name of Person Signing
SignatureMarch 8, 1999
DateTotal number of pages comprising cover sheet: 4

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1/31/92

Patent and Trademark Office

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Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date February 23, 25 March 2, 1999

2. Name and address of receiving party(ies):

Name: Wako Electronics Co. Ltd.

Internal Address: _____

Street Address: 8-22, Hidenin-cho,Tennouji-kuCity: Osaka, Japan State: _____ Zip: _____Additional Name(s) & Address(es) attached: Yes ☐ No

4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

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Signature

March 10, 1999

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298P 11774500J ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

NON-AQUEOUS ELECTROLYTE SECONDARY CELL

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

SONY CORPORATION, a Japanese corporation, with offices at 7-35,
Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan and
WAKO ELECTRONICS CO., LTD., a Japanese corporation, with offices at 8-22,

AND WHEREAS, Hidenin-cho, Tennouji-ku, Osaka, Japan

(hereinafter referenced as ASSIGNEE)

are desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its design, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/196,319, Filing Date: November 20, 1998

This assignment executed on the dates indicated below.

TAKAYUKI YAMAHIRA

Name of first or sole inventor

Execution date of U.S. Patent Application

Fukushima, Japan

Residence of first or sole inventor

Takayuki Yamahira

February 23, 1999

Signature of first or sole inventor

Date of this assignment

AYAKI WATANABE

Name of second inventor

Execution date of U.S. Patent Application

Fukushima, Japan

Residence of second inventor

Ayaki Watanabe

February 25, 1999

Signature of second inventor

Date of this assignment

TOSHIZOU KAMEISHI
Name of third inventor
Nara, Japan
Residence of third inventor
Toshizou Kameishi
Signature of third inventor
2. 3. 1999
Date of this assignment

KOJI KIHARA
Name of fourth inventor
Nara, Japan
Residence of fourth inventor
KOJI KIHARA
Signature of fourth inventor
2. 3. 1999
Date of this assignment



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 08, 1999

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HILL & SIMPSON, P.C.
JOHN D. SIMPSON
233 S. WACKER DRIVE
85TH FLOOR SEARS TOWER
CHICAGO, IL 60606

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REEL/FRAME: 9836/0049
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
YAMAHIRA, TAKAYUKI

DOC DATE: 02/23/1999

ASSIGNOR:
WATANABE, AYAKI

DOC DATE: 02/25/1999

ASSIGNOR:
KAMEISHI, TOSHIZOU

DOC DATE: 02/23/1999

ASSIGNOR:
KIHARA, KOJI

DOC DATE: 03/02/1999

ASSIGNEE:
SONY CORPORATION
7-35, KITASHINAGAWA 6-CHOME
SHINAGAWA-KU
TOKYO, JAPAN

SERIAL NUMBER: 09196319
PATENT NUMBER:

FILING DATE: 11/20/1998
ISSUE DATE:

RECORDED: 03/26/1999

**PATENT
REEL: 009954 FRAME: 0376**