

05-21-1999

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## 1. Name of conveying party(ies):

Glenn B. Alers  
Tseng-Chung Lee  
Helen Louise Maynard  
Daniel Joseph VitkavageAdditional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

## 3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 4/28/99; 5/3/99; 5/6/99

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5/12/99

A. Patent Application Number(s)

09/310388

B. Patent Number(s)

Additional number(s) attached? ☐ YES ☒ NO

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: 3C-512

Street Address: 600 Mountain Avenue  
P. O. Box 636

City: State: ZIP: Murray Hill, NJ 07974-0636

## 2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 600 Mountain Avenue

P. O. Box 636

City: State: ZIP: Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached? ☐ YES ☒ NO

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account.)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William S. Francos

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Signature

Date

Registration. No. 38,456

Total number of pages including cover sheet, attachments, and document: 5

CASE: G. B. Alers 15-9-4-11

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Mail documents to be recorded with required cover sheet information to:

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**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**ASSIGNMENT AND AGREEMENT**

For value received, We, Glenn B. Alers, of Santa Cruz in the County of Santa Cruz and State of California, Tseng-Chung Lee, of New York in the County of New York and State of New York, Helen Louise Maynard, of Somerset in the County of Somerset and State of New Jersey, and Daniel Joseph Vitkavage, of Orlando in the County of Orange and State of Florida, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, hereinafter collectively Lucent Technologies, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Damascene Capacitors For Integrated Circuits** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and also concurrently hereby sell, assign and transfer to Lucent Technologies the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and to Lucent Technologies Inc. in all countries foreign to the United States, or to such nominees as they may designate.

We agree that, when requested, We shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Glenn B. Alers  
Glenn B. Alers

Date: 4/28/99

United States of America )

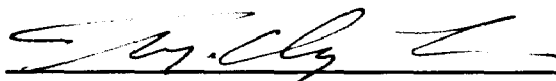
State of Pennsylvania ) ss.:

County of )

On this 28th day of April, 1999, before me personally came Glenn B. Alers, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Jacqueline J. Moskowitz  
Notary Public

JACQUELINE J. MOSKOWITZ  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 01/22/2003

  
\_\_\_\_\_  
Tseng-Chung Lee

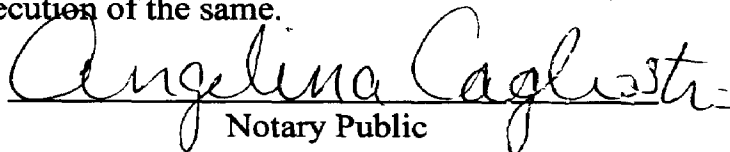
Date: 4/28/99

United States of America )

State of ~~Pennsylvania~~ New Jersey ss.:

County of Union )

On this 28<sup>th</sup> day of April, 1999, before me personally came  
Glenn B. Alers, to me known to be the individual described in and who executed the  
foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

ANGELINA CAGLIOSTRO  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 1/21/2004

Helen Louise Maynard  
Helen Louise Maynard

Date: 3 May 99.

United States of America )  
New Jersey  
State of ~~Pennsylvania~~ ) ss.:

County of Union )

On this 3<sup>rd</sup> day of May, 1999, before me personally came  
Glenn B. Alers, to me known to be the individual described in and who executed the  
foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public

ANGELINA CAGLIOSTRO  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 1/21/2004

*Daniel Joseph Vitkavage*  
**Daniel Joseph Vitkavage**

Date: May 6, 1999

United States of America )

State of ~~Pennsylvania~~ Florida ) ss.: 064-56-4982

County of Orange )

On this 6 day of May, 1999, before me personally came Daniel Joseph Vitkavage, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

*Patricia C. Mancuso*  
Notary Public



PATRICIA C. MANCUSO  
Comm. No. CC 735652  
My Comm. Exp. Apr. 20, 2002  
Bonded thru Pichard Ins. Agcy.