

05-21-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

R

EET

Attorney Docket No. UC96-217-2

To the Honorable Commissioner of

101042582

ed original documents or copy thereof.

## 1. Name of conveying party(ies):

Marc Tessier-Lavigne, E. David Leonardo, Lindsay  
Hinck, Masayuki Masu and Kazuko Keino-MasuAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Other: \_\_\_\_\_

Execution Date: Jun 27, 1997

## 2. Name and address of receiving party(ies):

Name: The Regents of the University of California  
Address: 300 Lakeside Drive, 22 Floor, Oakland CAAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): Filed herewith

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Filed herewith

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.Address: Science & Technology Law Group75 Denise DriveHillsborough, CA 94010

## 6. Total number of applications and patents involved: 1 \_\_\_\_\_

## 7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account, if necessary

## 8. Deposit account number:

19-0750

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Rebecca Graff

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 2

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

REEL: 009955 FRAME: 0948

# ASSIGNMENT

U.C. Case No. 96-217

For good and valuable consideration, the receipt of which is hereby acknowledge, **ASSIGNORS**, Marc Tessler-Lavigne, E. David Leonardo, Lindsay Hinck, Masayuki Masu and Kazuko Keino-Masu hereby sell(s), assign(s) and transfer(s) to **ASSIGNEE**, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the **ASSIGNEE** all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in the invention entitled:

## **Netrin Receptors**

and which is found in U.S. application serial no. 08/808,982, filed on February 19, 1997 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

**ASSIGNOR(S)** hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

**ASSIGNOR(S)** further covenants that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to **ASSIGNOR** and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the **ASSIGNOR(S)** request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the **ASSIGNEE**, The Regents of the University of California.

Signature of Inventor(s)

executed this 27 day of June, 19 97

Marc Tessler-Lavigne  
Marc Tessler-Lavigne

23 day of June, 19 97

E. David Leonardo  
E. David Leonardo

23 day of June, 19 97

Lindsay Hinck  
Lindsay Hinck

27 day of June, 19 97

Masayuki Masu  
Masayuki Masu

27 day of June, 19 97

Kazuko Keino-Masu  
Kazuko Keino-Masu