

05-21-1999

U.S. Department of Commerce
Patent and Trademark Office

RE



101042665

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copies thereof.

1. Name of Conveying Party(ies):

Yi-Shin CHANG,
Ming-Kaun KAO,
Yi-Fu CHANG, and
Chien-Hung CHEN☐ Additional names of conveying parties attached.

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 4/19/99, 4/9/99, 4/8/99, 4/9/99

2. Name and Address of Receiving Party(ies):

MOSEL VITELIC INC.
No. 19, Li Hsing Rd.
Science-Based Industrial Park
Hsinchu, Taiwan, R.O.C.☐ Additional name(s) and address(es) attached.

4. (A) Patent Application Number(s):

09/305292

4. (B) Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: 4/8/99, 4/9/99, 4/8/99, 4/9/99

☐ Additional Numbers Attached.

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Richard E. Fichter
Registration No. 26,382
Address: Bacon & Thomas, PLLC
625 Slaters Lane
Alexandria, VA 22314Total Number of Applications and
Patents Involved:

1

7. Total Fee:
(37 CFR 3.41)

\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: 02-0200

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

RICHARD E. FICHTER

May 5, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 3

ASSIGNMENT

WHEREAS, I (we), *Yi-Shin Chang; Ming-Kuan Kao; Yi-Fu Chang; Chien-Hung Chen*

whose post office address(es) appear(s) below hereinafter referred to as ASSIGNOR, have invented certain new and useful improvement in

A METHOD OF FABRICATING AN OXIDE/NITRIDE MULTILAYERS STRUCTURE FOR IC MANUFACTURE

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☒ executed on even date herewith

☐ executed on:

☐ filed on: Serial No.:

☐ established by the PCT International Patent Application No.: filed:

designating the United States of America

WHEREAS MOSEL VITELIC INC.

whose post office address is **No.19, Li Hsing Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.**

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSINGOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States of said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Assignor Name Yi-Shin Chang	Address: 4F, No.21, Szu-Ping St., Taipei, Taiwan, R.O.C.
Where Signed	
Date 4/19 1999.	Signature Yi-Shin Chang
(Notarization preferred but not required)	
_____ SS.	
Before me personally appeared said _____ and acknowledge this instrument to be his (her) free act and deed this _____ day of _____ 19____	
_____ Notary Public	
My commission Expires _____	

ASSIGNMENT

Page _____

<i>Assignor Name</i> Ming-Kuan Kao	<i>Address</i> No.60, Jui-Lin Rd., Hsinchu, Taiwan, R.O.C.
<i>Where Signed</i>	
<i>Date</i> 4/9 1999	<i>Signature</i> Ming - Kuan Kao

(Notarization preferred but not required)

SS.

Before me personally appeared said _____ and acknowledge
this instrument to be his (her) free act and deed this _____ day of _____ 19____

Notary Public

My commission Expires _____

<i>Assignor Name</i> Yi-Fu Chang	<i>Address</i> 5F-1, No.27-11, Yu-Nung Rd., Tung District, TaiNan, Taiwan, R.O.C.
<i>Where Signed</i>	
<i>Date</i> 4/08 1999.	<i>Signature</i> Yifu Chang

(Notarization preferred but not required)

SS.

Before me personally appeared said _____ and acknowledge
this instrument to be his (her) free act and deed this _____ day of _____ 19____

Notary Public

My commission Expires _____

<i>Assignor Name</i> Chien-Hung Chen	<i>Address</i> 6F, No.3, Ln.7, Shuang Ho St., Chung Ho Rd., YungHo, Taipei, Taiwan, R.O.C.
<i>Where Signed</i>	
<i>Date</i> 4/9 1999	<i>Signature</i> Chien-Hung Chen

(Notarization preferred but not required)

SS.

Before me personally appeared said _____ and acknowledge
this instrument to be his (her) free act and deed this _____ day of _____ 19____

Notary Public

My commission Expires _____