

05-21-1999

FORM PTO-1595
(Rev. 6-93) Docket 10106.4

101042304

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeSHEET
94)

MAY 10

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

a) Jan TILFORS

b) Gary KATZ

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

5-10-99

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 16, 1999

2. Name and address of receiving party:

Name: ISE, LLCStreet Address: 110 Wall StreetCity: New YorkState: New York ZIP: 10005Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/186,154

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen J. LiebInternal Address: Orrick, Herrington & Sutcliffe LLPStreet Address: 666 Fifth AvenueCity: New York State: New York ZIP: 101036. Total number of applications and patents involved: [1]7. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account for any deficiency

8. Deposit account number: 15-0665

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen J. Lieb, Reg. No. 41,943

Name of Person Signing

Signature

May 6, 1999

Date

Total number of pages including cover sheet, attachments, and document: [3]Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

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05/20/1999 MTHAI1 00000025 09186154

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PATENT
REEL: 009957 FRAME: 0323

**JOINT INVENTOR
UNITED STATES ONLY****ASSIGNMENT**

WHEREAS, WE:

- (1) Jan TILFORS
- (2) Gary KATZ

respectively residing at:

- (1) Stcokholm, Sweden
- (2) Plainview, New York

have made an invention entitled:

AN AUTOMATED EXCHANGE SYSTEM

and

☐ are about to make application for United States Letters Patent therefor, the same being executed by us on even date or dates herewith;

☒ have made application for United States Letters Patent thereof, filed November 5, 1998 under Serial Number 09/186,154.

and

WHEREAS, ISE, LLC, a corporation organized and existing under the laws of the State of New York and having an office and place of business at:

110 Wall Street, New York, NY 10005


(hereinafter the "Assignee") is desirous of acquiring the entire interest in said invention for the United States of America, in said United States patent application and in any United States Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of Ten Dollars (\$10.00) to us paid, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the Assignee, its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for the United States, and in and to said United States application, including any continuations and divisions thereof, and any substitute applications therefor, and any

**JOINT INVENTOR
UNITED STATES ONLY**

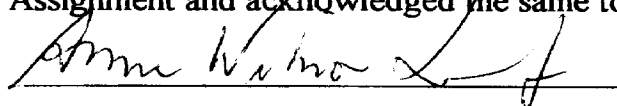
United States Letters Patent which may issue thereon, and any reexamination and reissues of the same; and we hereby authorize and request competent authorities to grant and issue any and all United States Letters Patents on said invention to the Assignee as the assignee of the entire interest therein; and each of us further agrees upon request of the Assignee to give sworn statements and to execute such additional documents, if any, as may be necessary and proper to secure a patent protection and enforce patents in and for the United States, and otherwise to give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names at the place and on the dates indicated hereinafter:

(1)  16 Apr 1999
Jan Tilfors Date

On this 16 day of April, 1999, before me personally appeared Jan Tilfors, to me personally known and known to be the person who executed the foregoing Assignment and acknowledged the same to be his free act and deed.

Witness signature



(2)  4/16/99
Gary Katz Date

On this 16 day of April, 1999, before me personally appeared Gary Katz, to me personally known and known to be the person who executed the foregoing Assignment and acknowledged the same to be his free act and deed.

Witness signature

