

05-24-1999

Docket No. 54372USA3A

## RECORDATION COVER SHEET

To the Honorable

ademarks:

Please record the attached original document or copy thereof.

101043737

1. Name of conveying party(ies):  
 William W. Merrill, executed on December 22, 1998;  
 Richard C. Allen, executed on December 21, 1998;  
 Peter D. Condo, executed on December 22, 1998;  
 Olester Benson, Jr., executed on December 22, 1998.

Additional names of conveying party(ies) attached?

☐ Yes ☒ No **12-28-98**
3. Nature of conveyance: ☒ Assignment

Execution Date(s): **\*Resubmission due to Non-Recordation with corrections to PATENT ASSIGNMENT RECORDATION COVER SHEET for Document ID#100935208**

2. Name and address of receiving party(ies)

**Minnesota Mining and Manufacturing Company**  
**P.O. Box 33427**  
**St. Paul, Minnesota 55133-3427**

4. Application number or patent number:

☐ This document is being filed with a new patent application on \_\_\_\_\_.

☒ This document is to be recorded against the following patent application or patent: **09/127,314**

5. Name and address of party to whom correspondence concerning document should be mailed:

H. Sanders Gwin, Jr.  
 Minnesota Mining and Manufacturing Company  
 Office of Intellectual Property Counsel  
 P.O. Box 33427  
 St. Paul, Minnesota 55133-3427

6. Total number of applications and patents involved:

1  
 x \$40.00

\$-0- \*

7. Total fee (37 CFR 3.41) . . . . \$0 . Please charge it to Deposit Account No. 13-3723

DO NOT USE THIS SPACE

40E

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

H. Sanders Gwin, Jr.

Printed Name of Attorney

Signature

Date

May 17, 1999

Total number of pages including cover sheet, attachments, and document:

5

PATENT  
 REEL: 009960 FRAME: 0502

12-28-98

PATE

01-04-1999



100935208

Docket No. 54372USA3A

NOTATION COVER SHEET

To the Honorable Comm

Please record the attach

copy thereof.

1. Name of conveying party(ies):

William W. Merrill

Richard C. Allen

Peter D. Condo

Olester Benson, Jr.

Additional names of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Minnesota Mining and Manufacturing Company

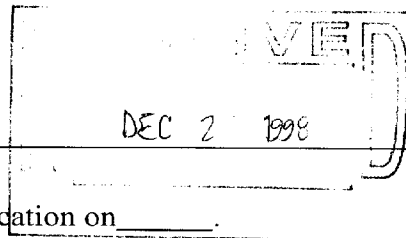
P.O. Box 33427

St. Paul, Minnesota 55133-3427

3. Nature of conveyance: ☒ Assignment

Execution Date(s): December 21 and 22,

1998



4. Application number or patent number:

☐ This document is being filed with a new patent application on \_\_\_\_\_.

☒ This document is to be recorded against the following patent application or patent: 09/127,314

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H. Sanders Gwin, jr.

Minnesota Mining and Manufacturing Company

Office of Intellectual Property Counsel

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St. Paul, Minnesota 55133-3427

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

H. Sanders Gwin, Jr.

Printed Name of Attorney

Signature

December 22, 1998

Date

Total number of pages including cover sheet, attachments, and document:

12/31/1998 DNGUYEN 00000312 133723 09127314

01 FC:581

40.00 CH

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

**POST-FORMING CONTINUOUS/DISPERSE PHASE OPTICAL BODIES**

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 09/127,314, filed July 31, 1998;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the MINNESOTA MINING AND MANUFACTURING COMPANY (sometimes designated as the Minnesota Mining & Manufacturing Company), a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by the said Company of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said Minnesota Mining and Manufacturing Company, as the assignee of the entire right, title, and interest therein.

In witness whereof, we have hereunto signed our names on the days and years set forth below.

*William W. Merrill*

William W. Merrill

Residence: White Bear Lake, Minnesota, U.S.A.

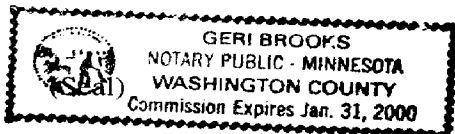
Citizenship: United States of America

STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 22<sup>nd</sup> day of December 1998, before me personally appeared the above-named William W. Merrill, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.



*Geri Brooks*

Notary Public

Richard C Allen

Richard C. Allen

Residence: Lilydale, Minnesota, U.S.A.

Citizenship: United States of America

STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 21<sup>st</sup> day of December 1998, before me personally appeared the above-named Richard C. Allen, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.



Geri Brooks

Notary Public

Peter D. Condo

Peter D. Condo

Residence: Lake Elmo, Minnesota, U.S.A.

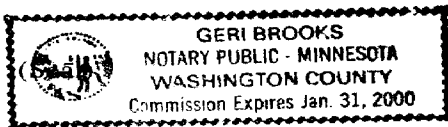
Citizenship: United States of America

STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 22<sup>nd</sup> day of December 1998, before me personally appeared the above-named Peter D. Condo, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.



Geri Brooks

Notary Public

Olester Benson, Jr.

Olester Benson, Jr.

Residence: Woodbury, Minnesota, U.S.A.

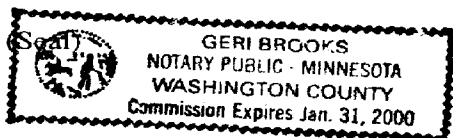
Citizenship: United States of America

STATE OF MINNESOTA )

) ss.

COUNTY OF RAMSEY )

On this 22<sup>nd</sup> day of December 1998, before me personally appeared the above-named Olester Benson, Jr., personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.



Geri Brooks

Notary Public