

05-25-1999

DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks:

nts or copy thereof.

1. Name of conveying party(ies):

Clement Alexander Skalski
Samuel C. Wan
Richard CalcasolaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 23, 1999 & April 30, 1999
& April 27, 1999

2. Name and address of receiving party(ies)

Name: Otis Elevator Company

Internal Address: _____

Street Address: Ten Farm Springs RoadCity: Farmington State: CT ZIP: 06032Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Matthew J. PattersonInternal Address: Cantor Colburn LLPStreet Address: 88 Day Hill RoadCity: Windsor State: CT ZIP: 06095

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account
any additional charges with reference
to this Assignment8. Deposit account number: 06-1130

(Attach duplicate copy of this page if paying by deposit account)

05/24/1999 JSHABAZZ 00000159 09218991

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew J. Patterson

Name of Person Signing

Signature

May 18, 1999

Date

Total number of pages including cover sheet, attachments, and document: 4Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 009962 FRAME: 0351

ASSIGNMENTSerial No.: 09/218,991Filing Date: December 11, 1997

WHEREAS, We, Clement Alexander Skalski and Samuel C. Wan of 15 Fox Den Road, Avon, Connecticut 06001 and 6 Kerr Farm Road, Simsbury, Connecticut 06070, have invented certain improvements in "ROPELESS GOVERNOR MECHANISM FOR AN ELEVATOR CAR", identified as Attorney Docket No. OT-4405, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, OTIS ELEVATOR COMPANY, of Farmington, Connecticut, a corporation of the State of New Jersey (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire United States right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) Clement Alexander Skalski
Clement Alexander Skalski

4/30/99 (Date)

(L.S.) Samuel C. Wan
Samuel C. Wan

APRIL 23 1999 (Date)

ASSIGNMENT

WHEREAS, I, Richard Calcasola of 45 Pioneer Drive, Longmeadow, Massachusetts 01106 have invented certain improvements in ROPELESS GOVERNOR MECHANISM FOR AN ELEVATOR CAR identified as Attorney Docket No. OT-4405, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, OTIS ELEVATOR COMPANY, of Farmington, Connecticut, a corporation of the State of New Jersey (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

L.S. Richard Calcasola
Richard Calcasola

April 27, 1999

State of Connecticut)

County of Hartford)

) ss. Farmington

On this 27th day of April, 1999 before me personally appeared Richard Calcasola to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

SEAL

Elizabeth A. Dudek
Notary Public

ELIZABETH A. DUDEK
NOTARY PUBLIC

MY COMMISSION EXPIRES FEB. 28, 2004