

05-25-1999

PATENT RECORDATION COVER SHEET


 Attorney Docket No.
C516.12-0003

101043281

 JCS26 U.S. PTO
09/31/1508


To the Commissioner for Patents: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

- (1) Michael P. Corcoran
- (2) Peter M. Buonomo
- (3) Joseph A. Marino

2. Name and address of receiving party(ies):

Name: Cardia, Inc.

Internal Address: _____

Street Address: 13770 Frontier CourtCity: Burnsville State: MN ZIP 55337Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other _____

Execution Date: May 11, 1999Additional name(s) & address(es) attached? ☐ Yes ☒ No

4A. Application No.(s)

09/311508

If this document is being filed together with a new application, the execution date(s) of the application is: May 11, 1999Additional numbers attached? ☐ Yes ☒ No

4B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David R. Fairbairn
 Street Address: Kinney & Lange, P.A.
312 South Third Street
 City: Minneapolis State: MN ZIP 55415-1002

6. Total number of applications and patents involved: ☐ 17. Total fee (37 C.F.R. 3.41):.....\$ 40.00

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Fairbairn

5/13/99
 Date
Total number of pages including cover sheet, attachments and document: ☐ 3

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 PATENT
 REEL: 009962 FRAME: 0763

ASSIGNMENT

Attorney Docket No.

C516.12-0003

First Named Inventor : Michael P. Corcoran

Title : OCCLUSION DEVICE WITH NON-THROMBOGENIC PROPERTIES

WHEREAS, we, Michael P. Corcoran, of Oakdale, Minnesota USA, Peter M. Buonomo, of Shorewood, Minnesota USA, and Joseph A. Marino, of Apple Valley, Minnesota USA have invented certain new and useful improvements as described in an application entitled OCCLUSION DEVICE WITH STRANDED WIRE SUPPORT ARMS for Letters Patent of the United States, the application having been executed on even date herewith; and

WHEREAS, Cardia, Inc., a corporation organized and existing under the laws of the State of Minnesota, and having offices at 13770 Frontier Court, Burnsville, Minnesota 55337, USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

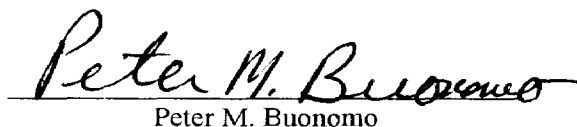
NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.


Michael P. Corcoran


Peter M. Buonomo


Joseph A. Marino

STATE OF MINNESOTA

)

) ss.

COUNTY OF HENNEPIN

)

Subscribed and sworn to before me, a Notary Public, this 11 day of May, 19 99

Deanna Johnson

Notary Public

(SEAL)

