FORM PTO-1619A Expires 06/30/99 OMB 0651-0027	05-25-1999	U.S. Department of Commerce Patent and Trademark Office PATENT		
MLP 5-10-99	RECORDATION FORM CONPATENTS ONLY	SHEET NAY 10		
TO: The Commissioner of Patents an	DAIENIS ONL' d Trademarks: Please record the at	Y MAY 1 () tached original document(s) or copy(ies).		
Submission Type	Copveyance Type			
New	<b>V</b> Assignment	Security Agreement		
Resubmission (Non-Recordation Document ID#	License	Change of Name		
Correction of PTO Error Reel # Frame #	Merger	U.S. Government		
Corrective Document Reel # Frame #		ONLY by U.S. Government Agencies) artmental File Secret File		
Conveying Party(ies)  Mark if additional names of conveying parties attached Execution Date				
Name (line 1) DEROME,	ROBERT	$\frac{\text{Month Day Year}}{11 - 19 - 98}$		
Name (line 2)		Function Date		
Second Party	1.1.	Execution Date Month Day Year		
Name (line 1) DEROME	ROBERY	4 19 49		
Name (line 2)				
Receiving Party  Mark if additional names of receiving parties attached				
Name (line 1) PELLETI	ER, HERMEL	If document to be recorded is an assignment and the		
Name (line 2)	and the state of t	receiving party is not domicited in the United States, an appointment		
Address (line 1) /// Ba	ret Road	of a domestic representative is attached. (Designation must be a		
Address (line 2)		separate document from Assignment.)		
Address (line 3) GREEN VILLE NEW HAMPSHIREUSA 03048				
Domestic Representative Name and Address  Enter for the first Receiving Party only.				
Name Christopher Blank				
Address (line 1) 21 Stowell Road				
Address (line 2) BEDFORD N. 14 03/10				
Address (line 3)				
Address (line 4)				
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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, PATENT

REEL: 009964 FRAME: 0097

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FORM PTO-1619B Page 2  OMB 0651-0027	MAY 1 U.S. Department of Commerce Patent and Tradepalk Office PATENT			
Correspondent Name and Address Area Code and Telephone Number				
Name Christopher Blank				
Name Christopher Blank  Address (line 1) 2 1 Stowell Road				
Address (line 2) Bedford, N./t. 03/10				
Address (line 3)				
Address (line 4)				
Pages Enter the total number of pages of the attached conveyance document including any attachments.				
Application Number(s) or Patent Number(s)	Mark if additional numbers attached			
Enter either the Patent Application Number or the Patent Number (DO NOT I  Patent Application Number(s)	ENTER BOTH numbers for the same property).  Patent Number(s)			
69/019938	ratent Administration			
If this document is being filed together with a <u>new</u> Patent Application, enter the date the patent application was signed by the first named executing inventor.  Month Day Year				
Patent Cooperation Treaty (PCT)				
Enter PCT application number	PCT PCT			
only if a U.S. Application Number PCT	PCT PCT			
has not been assigned.  Number of Properties				
Enter the total number of proper	ties involved. #			
Fee Amount for Properties Listed	(37 CFR 3.41): \$			
Method of Payment: Enclosed Deposit Deposit	t Account			
(Enter for payment by deposit account or if additional fees can be charged Deposit Account Numb	•• 1			
Authorization to charge	e additional fees: Yes No No			
Statement and Signature				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.				
Christopher Black (1)	July 11pail 3 1989			
Name of Person Signing Signatu	re Date /			

**PATENT** 

**REEL: 009964 FRAME: 0098** 

FORM PTO-1619C Expires 06/30/99 OMB 0651-0027

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# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office PATENT

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Conveying Part	mark ii additional hames of conveying parties attached	Execution Data		
Enter additional Con		Execution Date Month Day Year		
Name (line 1)	EROME, Robert			
Name (line 2)	•			
<u> </u>		Execution Date Month Day Year		
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Name (line 2)				
		Execution Date Month Day Year		
Name (line 1)				
Name (line 2)				
Receiving Party	(ies) Mark if additional names of receiving partie			
Enter additional Rec		es attached		
Name (line 1)	Novak, Albert	If document to be recorded		
		is an assignment and the receiving party is not		
Name (line 2)		domiciled in the United States, an appointment		
Address (line 1) // KimBALL Heights Ext  of a domestic representative is attached. (Designation must be a separate				
Address (line 2)		document from Assignment.)		
Address (line 3)	GREENVILLE N.H. O.S.A. 030 City State/Country Zip Cod			
Name (line 1)		If document to be recorded		
Name (line 2)		is an assignment and the receiving party is not domiciled in the United		
Address (line 1)		States, an appointment of a domestic representative is		
Address (inter)		attached. (Designation must be a separate document from		
Address (line 2)		Assignment.)		
Address (line 3)				
	City State/Country Zip C	ode		
	nber(s) or Patent Number(s) Mark if additional numbers attached			
	tent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the sa	· · •		
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07/0/7734				

**PATENT** 

REEL: 009964 FRAME: 0099

#### **AGREEMENT**

This AGREEMENT, effective April 19, 1999 is between ROBERT DEROME, of 39 Wyman Road, New Ipswich, NH 03071("DEROME") and HERMEL PELLETIER, of 111 Barrett Rd., Greenville, NH 03048("PELLETIER")

WHEREAS, DEROME is the inventor of certain "Inventions" relating to an Electrical Safety Plate, and has filed U.S. Patent Application Serial No. 09/019,938 directed at said Electrical Safety Plate.

WHEREAS, PELLETIER wishes to obtain DEROME'S 50% interest in said Inventions relating to said Electrical Safety Plate, including DEROME'S 50% interest in U.S. Patent Application Serial No. 09/019,938, including any and all foreign and counterpart applications;

WHEREAS, DEROME is willing to grant to PELLETIER his said 50% interest in exchange for one time payment of \$4000.00.

WHEREAS, DEROME is willing to give up any and all rights to exploit the Inventions relating to said Electrical Safety Plate, and to give up any and all rights to royalties, license fees, etc. which may be obtained for said Inventions;

NOW THEREFORE, in consideration of the mutual covenants herein contained, the parties do hereby agree as follows:

## 1. **DEFINITIONS**

Whenever used in this Agreement, the following terms shall have exclusively the meaning as defined hereafter:

- 1.1 "Inventions" shall mean and include an Electrical Safety Plate which contains a sliding receptor on an electrical plate for receiving a plug, which sliding receptor when disengaged positions itself to cover a standard household electrical outlet, as described and claimed in U.S. Patent Application Serial No. 09/019,938
- 1.2 "Assigned Patents: shall mean U.S. Patent Applications 09/019,938 and or like rights existing in any jurisdiction.
- 1.3 "Products" shall mean Electrical Plates sold which incorporate the Inventions and/or are made in accordance with any of the claims in any of the Assigned Patents and incorporation any Improvements thereto.

## 2. ASSIGNMENT

2.1 DEROME hereby agrees to assign to PELLETIER, in exchange for payment of \$4000.00, which receipt is hereby acknowledged, subject to the terms, conditions and limitations hereafter stated, 100% of any and all rights in said Inventions and/or Products not previously assigned.

# 3. ROYALTY/LICENSE FEES

3.1 DEROME gives up any rights to exploit the Inventions relating to said Electrical Safety Plate, and any rights to share in any and all royalties, license fees, or related financial gain which may be obtained for said Inventions.

PATENT REEL: 009964 FRAME: 0100

# 4. INTEGRATION

- 4.1 The provision, terms and conditions of this Agreement represent the entire Agreement and understanding between the parties as to the subject matter hereof and supersede any prior discussions and negotiations between them, and neither of the parties shall be bound by any term, provision or condition, written or oral, other than as expressly provided herein.
- 4.2 It is agreed that this Agreement shall be binding upon and inure to the benefit of the successors, assigns, heirs, executors, administrators and legal representatives of both parties.
- 4.3 Each party hereto acknowledges that is has consulted with his/her attorney prior to the signing of this Agreement.

IN WITNESS WHEREOF, the parties hereto have executed these presents in duplicate.

ROBERT DEROME

County of Hillsborough State of New Hampshire

Personally appeared before me the above names ROBERT DEROME known to me or satisfactorily proved to be the individual whose name is subscribed in the foregoing instrument and acknowledged the he has executed the same for the purposes therein contained.

LUCY LEMONS, Notary Public

My Commission Expires November 19, 2002

HERMEL PELLETIER

County of Hillsborough Stare of New Hampshire

Personally appeared before me the above names HERMEL PELLETIER known to me or satisfactorily proved to be the individual whose name is subscribed in the foregoing instrument and acknowledged that he has executed the same for the purposed therein contained.

LUCY LEMONS, Notary Public

My Commission Expires November 19, 2002

PATENT REEL: 009964 FRAME: 0101

#### **AGREEMENT**

This AGREEMENT, effective April 19, 1999 is between ROBERT DEROME, of 39 Wyman Road, New Ipswich, NH 03071("DEROME") and HERMEL PELLETIER, of 111 Barrett Rd., Greenville, NH 03048("PELLETIER")

WHEREAS, DEROME is the inventor of certain "Inventions" relating to an Electrical Safety Plate, and has filed U.S. Patent Application Serial No. 09/019,837 directed at said Electrical Safety Plate.

WHEREAS, PELLETIER wishes to obtain DEROME'S 50% interest in said Inventions relating to said Electrical Safety Plate, including DEROME'S 50% interest in U.S. Patent Application Serial No. 09/019,837, including any and all foreign and counterpart applications;

WHEREAS, DEROME is willing to grant to PELLETIER his said 50% interest in exchange for one time payment of \$4000.00.

WHEREAS, DEROME is willing to give up any and all rights to exploit the Inventions relating to said Electrical Safety Plate, and to give up any and all rights to royalties, license fees, etc. which may be obtained for said Inventions;

NOW THEREFORE, in consideration of the mutual covenants herein contained, the parties do hereby agree as follows:

## 1. **DEFINITIONS**

Whenever used in this Agreement, the following terms shall have exclusively the meaning as defined hereafter:

- 1.1 "Inventions" shall mean and include an Electrical Safety Plate which contains a sliding receptor on an electrical plate for receiving a plug, which sliding receptor when disengaged positions itself to cover a standard household electrical outlet, as described and claimed in U.S. Patent Application Serial No. 09/019,837
- 1.2 "Assigned Patents: shall mean U.S. Patent Applications 09/019,837 and or like rights existing in any jurisdiction.
- 1.3 "Products" shall mean Electrical Plates sold which incorporate the Inventions and/or are made in accordance with any of the claims in any of the Assigned Patents and incorporation any Improvements thereto.

# 2. <u>ASSIGNMENT</u>

2.1 DEROME hereby agrees to assign to PELLETIER, in exchange for payment of \$4000.00, which receipt is hereby acknowledged, subject to the terms, conditions and limitations hereafter stated, 100% of any and all rights in said Inventions and/or Products not previously assigned.

# 3. ROYALTY/LICENSE FEES

3.1 DEROME gives up any rights to exploit the Inventions relating to said Electrical Safety Plate, and any rights to share in any and all royalties, license fees, or related financial gain which may be obtained for said Inventions.

PATENT REEL: 009964 FRAME: 0102

# <u>AGREEMENT</u>

This AGREEMENT, effective November 3, 1998 is between ROBERT DEROME, of 39 Wyman Road, New Ipswich, NH 03071 ("DERONE") and ALBERT NOVAK, of 11 Kimball Heights Ext, Greenville, NH 03048 (NOVAK).

WHEREAS, DEROME is the inventor of certain "Inventions" relating to an Electrical Safety Plate, and has filed U.S. Patent Application Serial No. 09/019,937 directed at said Electrical Safety Plate

WHEREAS, NOVAK wishes to obtain a 50% interest in said Inventions relating to said Electrical Safety Plate, including a 50% interest in U.S. Patent Application Serial No. 09/019,937, including any and all foreign and counterpart applications;

WHEREAS DEROME is willing to grant to NOVAK said 50% interest in exchange for a one time payment of \$5,000.00

WHEREAS DEROME and NOVAK hereby wish to jointly exploit the Inventions relating to said Electrical Safety Plate, and to jointly share in any and all royalties, license fees, etc. which may be obtained for said Inventions;

NOW THEREFORE, in consideration of the mutual covenants herein contained, the parties do hereby agree as follows:

#### **DEFINITIONS** 1.

Whenever used in this Agreement, the following terms shall have exclusively the meaning as defined hereafter:

- "Inventions" shall mean and include an Electrical Safety Plate which contains a sliding receptor on an electrical plate for receiving a plug, which sliding receptor when disengaged positions itself to cover a standard household electrical outlet, as described and claimed in U.S. Patent Application Serial No. 09/019,837
- "Assigned Patents" shall mean U.S. Patent Applications 09/019,837 and or like rights existing in any jurisdiction.
- "Products" shall mean Electrical Plates sold which incorporate the Inventions and/or are made in accordance with any of the claims in any of the Assigned Patents and incorporating any Improvements thereto.
- "Improvements" shall mean improvements to Inventions or Products, whether or not patentable, which, during the continuance of this Agreement are made, conceived or acquired by either party or by the parties jointly, or to which either party obtains rights which it can provide to the other without obligation to third parties, including changes made for purposes of improving the operation, efficiency or manufacturability of the Inventions or Products.

REEL: 009964 FRAME: 0103

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# 2. ASSIGNMENT

- 2.1 DEROME hereby agrees to assign to NOVAK, in exchange for payment of \$5000.00, which receipt is hereby acknowledged, subject to the terms, conditions and limitations hereafter stated, 50% of any and all rights in said Inventions and/or Products not previously assigned. In addition, DEROME hereby agrees to execute any and all necessary papers to be filed with the United States Patent Office to record the assignment herein.
- 2.2 DEROME may, but is not obligated to file additional patent applications or the like in any jurisdiction for any Inventions or any Improvements thereto not recited in U.S. Patent Application No. 09/019,837. DEROME shall pay all reasonable legal and filing fees for the preparation and prosecution of any such applications. Any patent or like right resulting from any such application, or any application pending on the date hereof shall be considered an Assigned Patent hereunder. In the event that DEROME elects not to file said patent applications, DEROME agrees to provide reasonable notice to NOVAK so that NOVAK can file such applications, at NOVAK's cost, and to cooperate with NOVAK to obtain said protection, and to grant NOVAK full ownership of said inventions and/or improvements.

# 3. ROYALTY/LICENSE FEES

3.1 DEROME and NOVAK hereby agree to jointly exploit the Inventions relating to said Electrical Safety Plate, and to jointly share in any and all royalties, license fees, or related financial gain which may be obtained for said Inventions, and to cooperate with one another in pursuit thereof.

# 4.. DURATION AND TERMINATION

- 4.1 This Agreement shall come into force upon execution by both parties, and shall continue in full force and effect until the expiration of the last of any of the Assigned Patents, unless sooner terminated as hereinafter provided.
- 4.2 Each party hereto reserves the right of early termination in case of a material breach by the other party of one or more obligations hereunder, or in the case of bankruptcy or liquidation of either party.

# 5. INTEGRATION

- 5.1 The provision, terms and conditions of this Agreement represent the entire Agreement and understanding between the parties as to the subject matter hereof and supersede any prior discussions and negotiations between them, and neither of the parties shall be bound by any term, provision or condition, other than as expressly provided herein. Any modification of this Agreement shall be valid only if in writing and duly signed by both parties.
- 5.2 It is agreed that this Agreement shall be binding upon and inure to the benefit of the successors, assigns, heirs, executors, administrators and legal representatives of the parties.

Each party hereto acknowledges that it has consulted with his/her attorney prior to the signing of this Agreement.

IN WITNESS WHEREOF, the parties hereto have executed these presents in duplicate through their duly authorized officer.

ROBERT DEROME

603-673-2476

County of Hillsborough State of New Hampshire

Personally appeared before me the above named ROBERT DEROME known to me or satisfactorily proved to be the individual whose name is subscribed in the foregoing instrument and acknowledged that he has executed the same for the purposes therein contained.

CAROL A. BOGNER, Notary Public Occumisation Expires November 8, 1983

ALBERT NOVAK

County of Hillsborough State of New Hampshire

By albert Monar

Personally appeared before me the above named ALBERT NOVAK known to me or satisfactorily proved to be the individual whose name is subscribed in the foregoing instrument and acknowledged that he has executed the same for the purposes therein contained.

arol a. Bogner

CARCL A. BOGNER, Notery Public My Commission Expires November 6, 1920

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