

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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05-26-1999



1 SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of

101046935

5.17.99

attached original documents or copy thereof

1. Name of conveying party(ies):

Ascher Shmulewitz
Robert S. Bley
Robert L. WilcoxAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Circulation, Inc.

Internal Address: _____

Street Address: 3160 West Bayshore Blvd.City: Palo Alto State: CA ZIP: 94303Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 4/12/99; 4/13/99; 4/28/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/275,797

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nicola A. Pisano

Internal Address: _____

Street Address: c/o FISH & NEAVE1251 Avenue of the AmericasCity: New York State: NY ZIP: 100206. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

05/20/1999 KTHAI1 00000141 09275797

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01 FC-581 40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nicola A. Pisano

Name of Person Signing

Signature

5-13-99

Date

Total number of pages including cover sheet, attachments, and document: 13

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 009964 FRAME: 0882

A S S I G N M E N T

WHEREAS, we, Ascher Shmulewitz, Robert S. Bley and Robert L. Wilcox, residing respectively at 4338 West Mercer Way, Mercer Island, Washington 98040, 158 Hillside Avenue, Menlo Park, California 94025 and 9213 N.E. 151 Street, Bothell, Washington 98011, have made an invention entitled:

PRESSURE-CONTROLLED CORONARY SINUS OCCLUSION
DEVICE AND METHODS OF USE

and have made an application for United States Letters Patent therefor, the same being assigned U.S. patent application Serial No. 09/275,797 with a filing date of March 25, 1999; and

WHEREAS, CIRCULATION, INC., a corporation organized and existing under the laws of the State of Delaware and having an address of 3160 West Bayshore Boulevard, Palo Alto, California 94303, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said CIRCULATION, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations, continuations-in-part and divisions thereof, and any substitute applications therefor, and including the right to claim

priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said CIRCULATION, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date indicated hereinafter.

4/28/99

Date

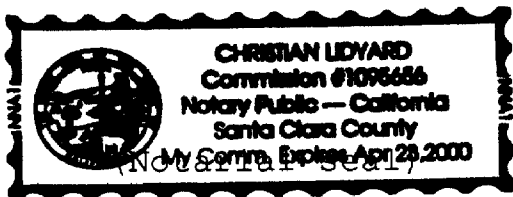
Ascher Shmulewitz

STATE OF CALIFORNIA)

COUNTY OF Santa Clara)

SS.:

On this 28th day of April, 1999,
appeared before me in person the above-named Ascher
Shmulewitz and acknowledged the above to be his signature
and that he signed, sealed and delivered the above
instrument as his voluntary act and deed, and for the
uses and purposes therein set forth.



Christian Lyward
Notary Public

My Commission expires:

April 23, 2000

Date

Robert S. Bley

STATE OF CALIFORNIA)

COUNTY OF _____)

: SS.:

On this _____ day of _____, 1999,
appeared before me in person the above-named Robert S.
Bley and acknowledged the above to be his signature and
that he signed, sealed and delivered the above instrument
as his voluntary act and deed, and for the uses and
purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

Date

Robert L. Wilcox

STATE OF CALIFORNIA)

: ss.:

COUNTY OF _____)

On this _____ day of _____, 1999,
appeared before me in person the above-named Robert L.
Wilcox and acknowledged the above to be his signature and
that he signed, sealed and delivered the above instrument
as his voluntary act and deed, and for the uses and
purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

A S S I G N M E N T

WHEREAS, we, Ascher Shmulewitz, Robert S. Bley and Robert L. Wilcox, residing respectively at 4338 West Mercer Way, Mercer Island, Washington 98040, 158 Hillside Avenue, Menlo Park, California 94025 and 9213 N.E. 151 Street, Bothell, Washington 98011, have made an invention entitled:

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DEVICE AND METHODS OF USE

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NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said CIRCULATION, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations, continuations-in-part and divisions thereof, and any substitute applications therefor, and including the right to claim

priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said CIRCULATION, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date indicated hereinafter.

Date

Ascher Shmulewitz

STATE OF CALIFORNIA)

: ss.:

COUNTY OF _____)

On this _____ day of _____, 1999,
appeared before me in person the above-named Ascher
Shmulewitz and acknowledged the above to be his signature
and that he signed, sealed and delivered the above
instrument as his voluntary act and deed, and for the
uses and purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

4/13/99

Date

Robert S. Bley
Robert S. Bley

STATE OF CALIFORNIA)

: ss.:

COUNTY OF SAN MATEO)

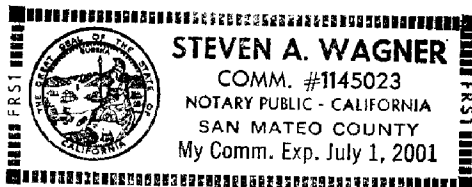
On this 13TH day of APRIL, 1999,
appeared before me in person the above-named Robert S.
Bley and acknowledged the above to be his signature and
that he signed, sealed and delivered the above instrument
as his voluntary act and deed, and for the uses and
purposes therein set forth.

[Signature]
Notary Public

(Notarial seal)

My Commission expires:

7/1/01



PATENT

REEL: 009964 FRAME: 0889

Date

Robert L. Wilcox

STATE OF CALIFORNIA)

: ss.:

COUNTY OF _____)

On this _____ day of _____, 1999,
appeared before me in person the above-named Robert L.
Wilcox and acknowledged the above to be his signature and
that he signed, sealed and delivered the above instrument
as his voluntary act and deed, and for the uses and
purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

A S S I G N M E N T

WHEREAS, we, Ascher Shmulewitz, Robert S. Bley and Robert L. Wilcox, residing respectively at 4338 West Mercer Way, Mercer Island, Washington 98040, 158 Hillside Avenue, Menlo Park, California 94025 and 9213 N.E. 151 Street, Bothell, Washington 98011, have made an invention entitled:

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WHEREAS, CIRCULATION, INC., a corporation organized and existing under the laws of the State of Delaware and having an address of 3160 West Bayshore Boulevard, Palo Alto, California 94303, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said CIRCULATION, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations, continuations-in-part and divisions thereof, and any substitute applications therefor, and including the right to claim

priority under the International Convention based on said patent application, and any patent which may issue thereon, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said CIRCULATION, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date indicated hereinafter.

Date

Ascher Shmulewitz

STATE OF CALIFORNIA)

: ss.:

COUNTY OF _____)

On this _____ day of _____, 1999,
appeared before me in person the above-named Ascher
Shmulewitz and acknowledged the above to be his signature
and that he signed, sealed and delivered the above
instrument as his voluntary act and deed, and for the
uses and purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

Date

Robert S. Bley

STATE OF CALIFORNIA)

: ss.:

COUNTY OF _____)

On this _____ day of _____, 1999,
appeared before me in person the above-named Robert S.
Bley and acknowledged the above to be his signature and
that he signed, sealed and delivered the above instrument
as his voluntary act and deed, and for the uses and
purposes therein set forth.

Notary Public

(Notarial seal)

My Commission expires:

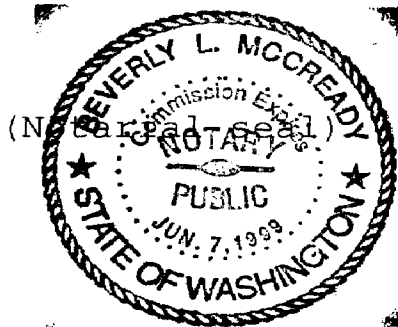
4/12/99
Date

Robert L. Wilcox
Robert L. Wilcox

STATE OF WASHINGTON
~~CALIFORNIA~~)
COUNTY OF KING)

SS.:

On this 12 day of APRIL, 1999,
appeared before me in person the above-named Robert L.
Wilcox and acknowledged the above to be his signature and
that he signed, sealed and delivered the above instrument
as his voluntary act and deed, and for the uses and
purposes therein set forth.



Beverly L. McCreedy
Notary Public
Beverly L. McCreedy
My Commission expires:
June 7, 1999