

06-01-1999



101051149

Docket No. 6029-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 5,114,600

Issued :

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

BP CHEMICALS LIMITED

2. Name and address of receiving party/parties:

Name INTOCHEM UK LIMITED

Internal Address: _____

Street Address: Times house, Throwley Way

City Sutton County Surrey Country United Kingdom ZIP SM1 4AF

Name _____

Internal Address: _____

Street Address: _____

City _____ State _____ ZIP _____

[] Additional names and addresses attached.

3. Name of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement

☐ Change of Name ☐ Other _____

Execution Date: July 31, 1998

Record As of 1.20.99

Re

4. Application Number(s) or Patent Number(s):

[] This document is being filed together with a new application which was executed on _____.

[] Patent Application No.(s) _____.

[X] Patent No.(s) 5,114,600

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
 345 Park Avenue
 New York, New York 10154

6. Total number of applications and patents involved: 17. Total fee (37 CFR §3.41): \$40.00 x 1 property(ies) = \$ 40.00

[] A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

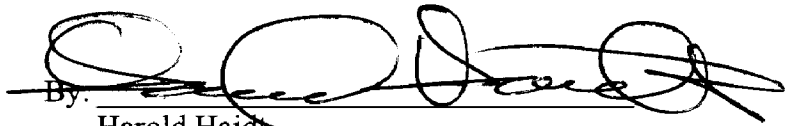
[X] The fee (37 C.F.R. § 3.41) of \$40.00 has already been paid.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500, Order No. 6029-4000.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: 
 Harold Haidt
 Registration No. 17,509

Dated: May 4, 1999

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
 345 Park Avenue
 New York, New York 10154
 (212) 758-4800
 (212) 751-6849 Facsimile

FORM: REC-PAT.NY
 Rev. 05/26/98

MRD
1-20-99

01-21-1999



100947286

Docket No. 6029-4000

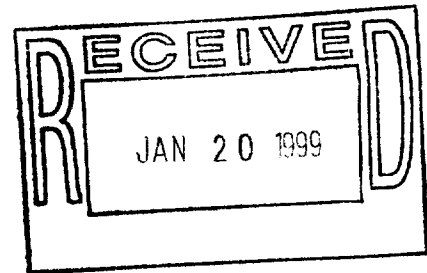
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Execution Date: July 31, 1998

01/20/1999 DNGUYEN 00000221 5114600

01 FC:581

40.00 OP

4. Application Number(s) or Patent Number(s):

☐ This document is being filed together with a new application which was executed on _____.

☐ Patent Application No.(s) _____.

☒ Patent No.(s) 5,114,600

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$40.00 x 1 property(ies) = \$ 40.00

☒ A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

☐ Charge fee to Deposit Account No. 13-4500, Order No. _____.

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8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: 

Harold Haidt

Registration No. 17,509

Dated: January 14, 1999

Total number of pages including the recordation cover sheet: 5

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

FORM: REC-PAT.NY
Rev. 05/26/98

UNITED STATES PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT ("Assignment") is made and entered into by and between:

BP CHEMICALS LIMITED a British Company, registered at No. 1 Finsbury Circus, Britannic House, London EC2M 7BA (hereafter "ASSIGNOR"); and

INTOCHEM UK LIMITED (hereafter referred to as "ASSIGNEE").

WHEREAS, ASSIGNOR is the sole owner of the entire right, title and interest in and to patents and pending patent applications claiming priority from UK Patent Application No. GB 8909069.0 filed on 21 April 1989;

WHEREAS, ASSIGNOR and ASSIGNEE are party to an agreement between BP International Ltd, Honeywill & Stein Limited and Others and Internatio-Muller N.V. and Others dated 12 June 1998, pursuant to which ASSIGNOR has committed to sell to ASSIGNEE certain patents and pending patent applications owned by ASSIGNOR which claim priority from UK Patent Application No. GB 8909069.0 and which are listed in PART E of Schedule 5 attached thereto;

WHEREAS, ASSIGNEE desires to acquire, and ASSIGNOR desires to assign to ASSIGNEE, all right, title and interest in and to the patent listed in the Schedule hereto (hereinafter referred to as PATENT);

NOW, THEREFORE, for consideration of US \$173,493, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer and set over to ASSIGNEE;

- (a) ASSIGNOR's entire right, title and interest in and to PATENT and the invention described therein together with all rights, privileges and advantages attaching thereto to be held and enjoyed by ASSIGNEE, its successors, and assigns, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made, including, without limitation, the right to claim priority from PATENT; and
- (b) all right, title and interest in any claims that have been made or could have been made for damages and/or other remedies by reason of any infringement or unauthorised use of PATENT occurring on or prior to the date of this Assignment and the right to enforce PATENT, including, without limitation, the right to sue for past, present and any future infringement or unauthorised use and to recover damages and seek and obtain all other relief and judgement therefor and/or to settle any such claims and to collect monies owed in connection with such judgements or settlements,

all for ASSIGNEE's own use and benefit, and for the use and benefit of ASSIGNEE's successors and assigns to hold unto ASSIGNEE absolutely for the full term of PATENT.

Upon request of ASSIGNEE, ASSIGNOR shall provide to ASSIGNEE at the cost of ASSIGNEE such cooperation and assistance as may be reasonably required by ASSIGNEE to perfect full right, title and interest in and to PATENT in ASSIGNEE's name and enable it to record the assignment of PATENT, including, but not limited to, executing necessary documents and obtaining notarisations and other necessary attestations. ASSIGNOR shall further provide such assistance and cooperation as ASSIGNEE may reasonably request for the purpose of securing the vesting in the ASSIGNEE of all rights assigned to ASSIGNEE hereunder or otherwise giving ASSIGNEE the full benefit of all the provisions of this Assignment, including, but not limited to, assisting ASSIGNEE in proceedings involving PATENT and ASSIGNEE will reimburse ASSIGNOR for reasonable expenses incurred in connection with any such request.

This Assignment shall be governed by and construed in accordance with English law.

This Assignment may be executed in any number of counterparts each of which shall be deemed to be an original, but all of the counterparts shall together constitute one and the same instrument.

IN WITNESS WHEREOF, ASSIGNOR and ASSIGNEE have caused this Assignment to be signed and executed by the undersigned representatives thereunto duly authorised as of the date first mentioned above.

SIGNED at Rotterdam

this 31 day of July 1998

for **BP CHEMICALS LIMITED**

by 

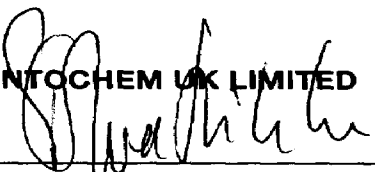
Name C. C. CLARKE

Title ATTORNEY-IN-FACT

SIGNED at Rotterdam

this 31 day of July 1998

for **INTOCHEM UK LIMITED**

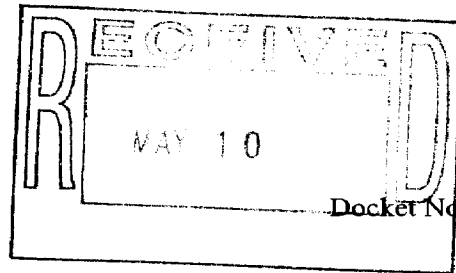
by 

Name P. C. J. van der Putte

Title director

SCHEDULE

Patent Registration/ Application No.	Country	Status Registered/Pending	Expiry Date	Proprietor
5114600	United States	Granted	19.05.2009	BP Chemicals Limited



PATENT

Docket No. 6029-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Patent No: 5,114,600

Document ID: 100947286

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

I hereby certify that the attached RESPONSE TO NOTICE OF NON-RECORDATION OF
ASSIGNMENT

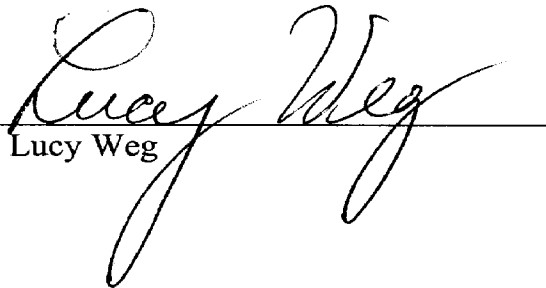
, along with any paper(s) referred to as being attached or enclosed, and this Certificate of Mailing are being deposited
with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope
addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: May 5, 1999

By:


Lucy Weg

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN LLP
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

FORM: CERT..NY
Rev. 05/27/98

PATENT
REEL: 009968 FRAME: 0973

7

In re U.S. Patent 5,114,600)
)
Document ID No.: 100947286)

U.S. Patent and Trademark Office MAY 13 1999
Assignment Division
Box Assignments
CG-4
1213 Jefferson Davis Highway
Suite 320
Washington, D. C. 20231

RESPONSE TO NOTICE OF NON-RECORDATION OF ASSIGNMENT


Sir:

In reply to the Notice dated April 16, 1999, bearing ID No. 100947286, please record the attached original document (Exhibit 1) previously received by the U.S. Patent and Trademark Office on January 20, 1999. The original cover sheet is attached as Exhibit 2.

A new cover sheet containing the address of the assignee is attached as Exhibit 3.

Respectfully submitted,

For Applicant

By 
Harold Haidt
Reg. No. 17,509

May 4, 1999

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800
(6029-4000)