

05-27-1999

Attorney's Docket No. I0168/7046



101047567

OVER SHEET

ILY

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Felice DiMascio, Anil Jha, Gary C. Ganzi and
Frederick WilkinsAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: April 1, 1999, March 26, 1999, March 23, 1999
and March 3, 1999

2. Name and address of receiving party(ies)

Name: United States Filter Corporation/lonpure

Internal Address:

Street Address: 10 Technology Drive

Lowell, MA 01851

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

09/240,420

January 29, 1999

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: Peter C. Lando

Michele J. Young

Address: WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 022106. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Michele J. Young

Name of Person Signing

Signature

5/18/99

Date

Total number of pages including cover sheet, attachments, and document: **[7]**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

05/26/1999 DNGUYEN 00000036 09240420

01 FC:581

40.00 DP

245328.1

PATENT
REEL: 009973 FRAME: 0430

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned Felice DiMascio, 67 Wethersfield Road, Nashua, New Hampshire 03062, hereby

Sell, assign and transfer to United States Filter Corporation/Ionpure, a Delaware corporation having a place of business at 10 Technology Drive, Lowell, MA 01851, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on January 29, 1999 under Serial No. 09/240,420 and entitled ELECTRODEIONIZATION APPARATUS AND METHOD, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

4/1/99
Date

Felice Dimascio
FELICE DIMASCIO


STATE OF CT : gpt
COUNTY OF Fairfield :

Subscribed and sworn to before me this 1st day of APRIL, 1999.

SEAL

David J. Mudry
Notary Public

My Commission Expires


DAVID J. MUDRY
NOTARY PUBLIC
My Commission Expires April 30, 2003

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Anil Jha, 13 Sheridan Street, Lexington MA 02173; Gary C. Ganzi, 74 Valleyfield Street, Lexington, MA 02173; and Frederick Wilkins, 47 Bancroft Street, Pepperell, MA 01463 hereby

Sell, assign and transfer to United States Filter Corporation/Ionpure, a Delaware corporation having a place of business at 10 Technology Drive, Lowell, MA 01851, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on January 29, 1999 under Serial No. 09/240,420 and entitled ELECTRODEIONIZATION APPARATUS AND METHOD, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

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3/23/99
Date

Anil JHA
ANIL JHA

STATE OF Massachusetts :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 23rd day of March 1999.

SEAL

Anna D. Keady
Notary Public

My Commission Expires Nov 6, 2003

Date

FELICE DIMASCIO

STATE OF _____ :
COUNTY OF _____ :

Subscribed and sworn to before me this ____ day of _____.

SEAL

Notary Public

My Commission Expires _____

3/3/99

Date

Gary C. Ganzi
GARY C. GANZI

STATE OF Massachusetts :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 3rd day of March.

SEAL

Joanna D. Kiedy
Notary Public

My Commission Expires Nov. 6, 2003

March 26, 1999

Date

F. C. Wilkins
FREDERICK WILKINS

STATE OF Massachusetts :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 26th day of March 1999.

SEAL

Joanna D. Kiedy
Notary Public

My Commission Expires Nov 6, 2003