

05-28-1999



To the Hon.

101050037

FORM COVER SHEET  
TS ONLY

DEPARTMENT OF COMMERCE

Patent and Trademark Office

MAY 24 1999

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Harry Nelson Humphreys and  
Charles Talmadge

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: May 13, 1999

2. Name and address of receiving party(ies)

Name: BASF Corporation

Internal Address: Intellectual Property Department

Street Address: 3000 Continental Drive North

City: Mt. Olive State: NJ ZIP: 07828

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/281,400

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Laura D. Nammo

Internal Address: IP Department

Street Address: Sand Hill Road

City: Enka State: NC ZIP: 28728

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) .....\$ 40.00

☒ Authorized to be charged to deposit account

8. Deposit account number: 02-1197

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Laura D. Nammo  
Name of Person Signing  
SignatureMay 20, 1999  
Date

05/27/1999 MTHA11 00000281 021197 09281400

01 FC:581 40.00 CH Total number of pages including cover sheet, attachments, and document: 3

## ASSIGNMENT

WHEREAS, I (WE), **Harry Nelson Humphreys of 302 Brookside Drive, Fort Mill, SC 29715; and  
Charles Talmadge of 2323 Westfield Road, Charlotte, NC 28207**

(declarant(s) ) declare that I (WE) have invented certain improvements in:

### **A METHOD FOR REDUCING THE POLYMER AND BENTONITE REQUIREMENT IN PAPERMAKING**

hereinafter the invention or inventions, described in U.S. Patent Application Serial No. (if available) **09/281,400**, filed on **March 30, 1999**, and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

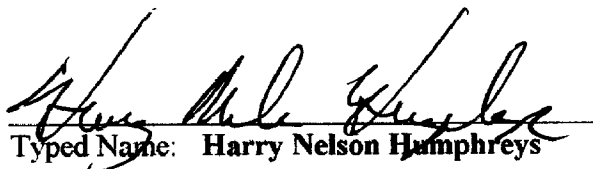
WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive North, Mount Olive, New Jersey 07828 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may signature below.

Dated: 5/13/99

Signed:   
Typed Name: Harry Nelson Humphreys

Dated: 5/13/99

Signed:   
Typed Name: Charles Talmadge