

05-28-1999

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<b>1. Name of conveying party(ies):</b>  Anthony Pawson  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no		<b>2. Name and address of receiving party(ies)</b>  Name: <u>Mount Sinai Hospital Corporation</u>  Internal Address: _____ Street Address: <u>600 University Avenue</u> <u>Toronto, Canada M5G 1X5</u>  <b>AND</b>  Name: <u>University of North Carolina</u> Internal Address: _____ Street Address: <u>308 Bynum Hall</u> <u>Chapel Hill, NC 27599-4105</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no				
<b>3. Nature of Conveyance:</b>  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  Execution Date: <u>May 20, 1999</u>						
<b>4. Application number(s) or patent number(s):</b>  A. Patent Application No.(s) <u>08/807,342; "Novel SHC Proteins"</u>  B. Patent Registration No.(s) _____  Additional numbers attached: <input type="checkbox"/> yes <input checked="" type="checkbox"/> no						
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Name: <u>HOWSON AND HOWSON</u>  Internal Address: _____ Street Address: <u>P.O. BOX 457</u>  <u>One Spring House Corporate Center</u>  City: <u>Spring House</u> State: <u>PA</u> Zip: <u>19477</u>		<b>6. Total number of applications and registrations involved:</b> ..... <u>1</u>  <b>7. Total fee (37 CFR 3.41).....\$40.00</b> <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Authorized to be charged to deposit account  <b>8. Deposit account number:</b>  <u>08-3040</u> (Attach duplicate copy of this page if paying by deposit)				
Do not use this space						
<b>9. Statement and signature</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  <table><tr><td><u>Cathy A. Kodroff</u> Name of Person Signing</td><td> Signature</td><td><u>May 21, 1999</u> Date</td></tr></table> Total number of pages, including cover sheet, attachments, and document: <u>5</u>				<u>Cathy A. Kodroff</u> Name of Person Signing	 Signature	<u>May 21, 1999</u> Date
<u>Cathy A. Kodroff</u> Name of Person Signing	 Signature	<u>May 21, 1999</u> Date				

Mail documents to be recorded with required coversheet information to:  
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PATENT  
REEL: 009975 FRAME: 0624

WHEREAS we, Anthony Pawson, Channing Der and John O'Bryan, whose full post office addresses are 34 Glenwood Avenue, Toronto, Ontario, Canada M6P 3C6; 101 Fieldstone Court, Chapel Hill, North Carolina, U.S.A. 27514; and 804 Powell Street, Chapel Hill, North Carolina, U.S.A. 27516, respectively, have invented certain new and useful improvements in an invention entitled "Novel SHC Proteins" for which United States Letters Patent were filed on February 19, 1999 as Serial No. 08/807,342 under the above title,

AND WHEREAS Mount Sinai Hospital at 600 University Avenue, Toronto, Ontario, Canada M5G 1X5, and The University of North Carolina at Chapel Hill, 308 Bynum Hall, Chapel Hill, North Carolina, U.S.A. 27599-4105, have acquired from us the whole right, title and interest for the United States of America and all other countries in and to the said invention and in and to any Letters Patent that may be obtained therefor, and in and to said application,

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, Anthony Pawson, Channing Der and John O'Bryan, by these presents confirm that we have sold, assigned and transferred and do hereby sell, assign and transfer unto the said Mount Sinai Hospital and The University of North Carolina, the full and exclusive rights to the said invention in the United States of America and all other countries and the entire rights, title and interests in and to any and all Letters Patent which may be granted therefor, and the entire rights, title and interests in and to said application, and in and to any divisions, continuations, continuations-in-part and extensions of said application, together with the right to claim the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property based on said application for United States Letters Patent.

We agree that we will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said

invention and for additions and modifications thereto in any and all countries, and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Mount Sinai Hospital and The University of North Carolina, the assignees of the entire right, title and interests in and to the same, for their sole use and benefit, and for the use and benefit of their successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

The undersigned hereby grant the firm of Howson & Howson the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United State Patent and Trademark Office for recordation of this document.

SIGNED this 20<sup>th</sup> day of MAY, 1999, at Toronto, Ontario, Canada.

Lofie Kryzel  
Witness

A. J. Pawson  
Anthony Pawson

SIGNED this \_\_\_\_ day of \_\_\_\_\_, 1999, at Chapel Hill, North Carolina,  
U.S.A.

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Channing Der

SIGNED this \_\_\_\_ day of \_\_\_\_\_, 1999, at Chapel Hill, North Carolina,  
U.S.A.

\_\_\_\_\_  
Witness

\_\_\_\_\_  
John O'Bryan