

05-28-1999

Docket No.: BU998-222 (12236)

FORM PTO- (Modified)
(Rev. 6-93)
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VER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Charles A. Whiting

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Execution Date: 5/17/99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09/314,368

If this document is being filed together with a new application, the execution date of the application is: 5/17/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. Catania, Esq.

Internal Address: Scully, Scott, Murphy & Presser

Street Address: 400 Garden City Plaza

City: Garden City State: NY ZIP: 10598

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0456

05/27/1999 NTHA11 00000171 090456 09314368

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William D. Sabo, Esq., Tel. (802) 769-9454

Reg. No. 27,465
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Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

PATENT

REEL: 009976 FRAME: 0047

100-5-19-99

10525 U.S. PTO
09/314,368
05/19/99

ASSIGNMENT

Whereas, we

Inventor
and City

(1) Charles A. Whiting
County of Chittenden

City of Milton
and State of Vermont

Title

have invented certain improvements in
METHOD FOR CONTROLLING IMAGE SIZE OF INTEGRATED CIRCUITS ON WAFERS
SUPPORTED ON HOT PLATES DURING POST EXPOSURE BAKING OF THE WAFERS

Dates That
Inventors
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on

(1) May 17

1999, and (2)

1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City

(1) Signed at Essex Junction, VT

Date

on May 17, 1999

Charles A. Whiting signature
(Charles A. Whiting) inventor
First Name Middle Initial Last Name