

05-28-1999



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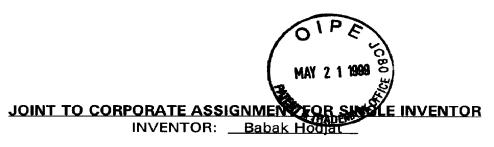
U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
Name of conveying party(ies):     Babak Hodjat     Christopher J. Savoie     Makoto Amamiya	Name and address of receiving party(ies):     Name:
Additional name(s) of conveying party(ies) attached? _ Yes _ No	Mountain View, CA 94041
3. Nature of conveyance:	Woulden Trow, CIT 24041
✓ Assignments Merger	
Security Agreement Change of Name	
Other	Additional name(s) & address(es) attached? Yes No
Execution Date: March 11, 1999	
4. Application number(s) or patent number(s):	
A. Patent Application No.: 09/183,764	B. Patent No(s).:
Title: ADAPTIVE INTERACTION USING AN ADAPTIVE AGENT-ORIENTED SOFTWARE ARCHITECTURE Filed Date: October 30, 1998	
Additional numbers attached? Yes No	
If this document is being filed together with a new application, the	ne execution date of the application is:
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total Number of applications and patents involved: 1 X \$40.00 each
Name: Warren S. Wolfeld	7. Total fee (37 CFR 3.41)\$ 40.00
Address: Fliesler, Dubb, Meyer & Lovejoy	Check Enclosed
Four Embarcadero Center, Suite 400	8. Fee Authorization. Authorization is given to charge any additional fees or credit any
San Francisco, CA 94111	overpayment to Deposit Account No. 06-1325.
Telephone: (415) 362-3800	Copy. (A duplicate copy of this authorization is not enclosed.)
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
Warren S. Wolfeld  Attorney (Reg. No.: 31,454)  Signature  Date	
10. Total number of pages to be recorded: 7 (1 page cover sheet and 6 page document).	

Attorney Docket No.: DEJI-1000 WSW /wsw/deji/1000.cov

05/25/1999 SARAYA 00000004 09183764

40.00 OP



WHEREAS, the undersigned, Babak Hodiat, a resident of Fukuoka, Japan, (hereinafter termed "Inventor"), has invented or co-invented certain new and useful improvements in:

## ADAPTIVE INTERACTION USING AN ADAPTIVE AGENT-ORIENTED SOFTWARE ARCHITECTURE

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

Said application having SC/Serial Number 09/183,764 and filed on the 30th day of October, 1998.

WHEREAS <u>Dejima, Inc.</u> (hereinafter termed "Assignee"), a corporation of the State of California, having a place of business at 236 Castro Street, Suite #3, Mountain View, State of <u>California</u>, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- Said Inventor does hereby sell, assign, transfer and convey unto said Assignee. the entire right, title and interest which said inventor has (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional,

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> **PATENT** \*REEL: 009977 FRAME: 0631

continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, said Inventor's heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed this instrument on the date given below and delivered this instrument to said Assignee.

DATE: // March 1999

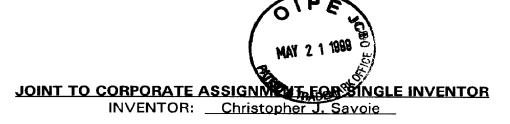
Salak Hodgat

Babak Hodgat

(Inventor's Signature)

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WHEREAS, the undersigned, <u>Christopher J. Savoie</u>, a resident of <u>Cupertino</u>, <u>California</u>, (hereinafter termed "Inventor"), has invented or co-invented certain new and useful improvements in:

## ADAPTIVE INTERACTION USING AN ADAPTIVE AGENT-ORIENTED SOFTWARE ARCHITECTURE

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

✓ Said application having SC/Serial Number 09/183,764 and filed on the <u>30th</u> day of <u>October</u>, 19<u>98</u>.

WHEREAS <u>Dejima. Inc.</u> (hereinafter termed "Assignee"), a corporation of the State of <u>California</u>, having a place of business at <u>236 Castro Street</u>, <u>Suite #3</u>, <u>Mountain View</u>, State of <u>California</u>, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest which said inventor has (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for

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prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, said Inventor's heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed this instrument on the date given below and delivered this instrument to said Assignee.

DATE: 11 MARCH, 1999

Christopher J. Savoie (Inventor's Signature)

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## JOINT TO CORPORATE ASSIGNMENT TO STINGLE INVENTOR

INVENTOR: Makoto Amamiya

WHEREAS, the undersigned, <u>Makoto Amamiya</u>, a resident of <u>Fukuoka-shi</u>, <u>Japan</u>, (hereinafter termed "Inventor"), has invented or co-invented certain new and useful improvements in:

## ADAPTIVE INTERACTION USING AN ADAPTIVE AGENT-ORIENTED SOFTWARE ARCHITECTURE

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

✓ Said application having SC/Serial Number 09/183,764 and filed on the 30th day of October, 1998.

WHEREAS <u>Dejima, Inc.</u> (hereinafter termed "Assignee"), a corporation of the State of <u>California</u>, having a place of business at <u>236 Castro Street</u>. Suite #3. Mountain View, State of <u>California</u>, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest which said inventor has (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for

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/wsw/deji/1000.assign3

PATENT REEL: 009977 FRAME: 0635 prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, said Inventor's heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed this instrument on the date given below and delivered this instrument to said Assignee.

DATE: 11 MARCH, 1999

Makoto Amamiya
(Inventor's Signature)

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RECORDED: 05/04/1999