

05-28-1999

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark OfficeTo the Honorable Commissioner of Patents & Trademarks
thereof.

101049844

I have attached original documents or copy

1. Name of conveying party(ies):

Wayne N. Rickman
Philip E. McGrath
Matthias Georg HeinzmannAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Pfaudler, Inc.

Internal Address: _____

Street Address: 1000 West AvenueCity: Rochester State: NY ZIP: 14692Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: April 30, 1999 and May 13, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/288,929

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Dunn & Associates**Internal Address: **P.O. Box 96**Street Address: **same as above**City: **Newfane** State: **NY** ZIP: **14108**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document; however, the above information is not to be used to interpret the document which speaks for itself.

Michael L. Dunn

Name of Person Signing

Signature

May 21, 1999

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 009978 FRAME: 0036

ASSIGNMENT OF INVENTION

WHEREAS, we, WAYNE N. RICKMAN, PHILIP E. MCGRATH and MATTHIAS GEORG HEINZMANN, residing at 18 Highview Cr., Brockport, NY 14420; 1566 Malone Road, Victor, NY 14564; and Brombacher Strasse 26, D-69434 Brombach, Germany, respectively, have invented certain new and useful improvements in:

HIGH GAS DISPERSION EFFICIENCY GLASS COATED IMPELLER

for which we have made application for Letters Patent in the United States on April 9, 1999 as United States Patent Application Serial No. 09/288,929;

AND WHEREAS, PFAUDLER, INC., 1000 West Avenue, Rochester, New York 14692, a corporation of the State of Delaware, is desirous of acquiring all interest in said invention, said application disclosing the invention and any Letters Patent which may be granted therefor:

NOW THIS INDENTURE WITNESSETH, that for the sum of One Dollar, and other valuable consideration to us in hand paid, the receipt whereof is hereby acknowledged, we hereby assign, sell and transfer unto said PFAUDLER, INC. the entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto;

And we hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said PFAUDLER, INC., as the Assignee of the whole right, title and interest thereto;

And we further agree to execute all necessary and lawful future documents, including assignments in favor of PFAUDLER, INC., or its designee as PFAUDLER, INC. or its Assignee may from time-to-time present to us in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And we further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for our aforesaid invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

WITNESS, my hand and seal this 13th day of MAY, 1999.

WITNESS:

[Signature]

[Signature]

Wayne N. Rickman

WITNESS:

[Signature]
STATE OF MONROE NEW YORK

SS:

COUNTY OF MONROE

On this 13th day of MAY in the year of 1999, before me, the subscriber, personally appeared Wayne N. Rickman to me known to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same.

[SEAL]

[Signature]

Notary Public

KATHERINE L. MANNARA
Notary Public
Commission Expires April 2, 2000

WITNESS, my hand and seal this 13th day of MAY, 1999.

WITNESS:

[Signature]

[Signature]

Philip E. McGrath

WITNESS:

Rutheda M Mc Kinney
STATE OF NEW YORK

SS:

COUNTY OF MONROE

On this 13th day of MAY in the year of 1999, before me, the subscriber, personally appeared Philip E. McGrath to me known to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same.

[SEAL]

[Signature]

Notary Public

KATHLEEN L. MANNARA
Notary Public, State of New York
Qualified in Monroe County
Commission Expires April 2, 2000

WITNESS, my hand and seal this 30 day of April, 1999.

WITNESS:

[Signature]

[Signature]

Matthias Georg Heinzmann

WITNESS:

[Signature]

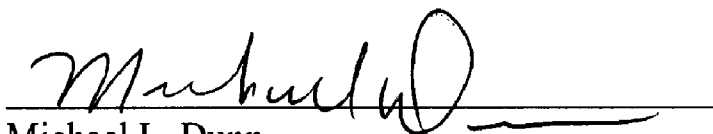
U.S. PATENT APPLICATION SERIAL NO.: 09/288,929

APPLICANT(S): Wayne N. Rickman et al.

FILING DATE: April 9, 1999

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I certify that this Assignment of Invention and Recordation Form Cover Sheet is being deposited on May 21, 1999, with the U.S. Postal Service as first class mail addressed to: Box Assignment, The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

A handwritten signature in black ink, appearing to read "Michael L. Dunn", is written over a horizontal line.

Michael L. Dunn

Registration No. 25,330