

06-02-1999

Place of Form PTO-1595



Docket No. AT9-98-729

101051556

524-99

To the Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard Bealkowski  
Sudhir Dhawan  
Kenneth Hinz  
Peter Thomsen

2. Name and address of receiving party(ies):

International Business  
Machines Corporation  
New Orchard Road  
Armonk, New York 10504

3. Nature of conveyance:

X Assignment

Execution Date: March 27, 1999; March 26, 1999; April 6, 1999; March 30, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)  
09/281,080; Filed 3/30/99

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Andrew J. Dillon  
Felsman, Bradley, Vaden, Gunter & Dillon, LLP  
Suite 350, Lakewood on the Park  
7600B North Capital of Texas Highway  
Austin, TX 78731  
(512) 343-6116

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00  
X Authorized to be charged to deposit account

8. IBM Corporation Deposit account number:

50-0563

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Julianne Lyons  
Name of Person Signing

Julianne Lyons 5-19-99  
for Felsman, Bradley, Vaden, Date  
Gunter & Dillon, LLP

Total number of pages including cover sheet: 9

06/01/1999 MTHAI1 00000226 500563 09281080

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## A S S I G N M E N T

Whereas, we,

(1) Richard Bealkowski of Redmond,  
County of King and State of Washington,

and  
(2) Sudhir Dhawan of Austin,  
County of Travis and State of Texas,

and  
(3) Kenneth C. Hinz of Rochester,  
County of Olmsted and State of Minnesota,

and  
(4) Peter M. Thomsen of Austin,  
County of Travis and State of Texas,

have invented certain improvements in

SYSTEM UPGRADE AND PROCESSOR SERVICE

and executed, respectively, a United States patent application therefor on  
(Dates Inventors Signed Declaration) (1) March 27, 1999, and  
(2) \_\_\_\_\_, 1999, and (3) \_\_\_\_\_, 1999, and (4)  
\_\_\_\_\_, 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Redmond WA on March 27, 1999.

Richard Bealkowski  
Richard Bealkowski

and (2) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Sudhir Dhawan

and (3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Kenneth C. Hinz

and (4) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Peter M. Thomsen

**A S S I G N M E N T**

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(Dates Inventors Signed Declaration) (1) \_\_\_\_\_, 1999, and  
(2) 3/26/99, 1999, and (3) \_\_\_\_\_, 1999, and (4)  
\_\_\_\_\_, 1999.

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(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Richard Bealkowski

and (2) Signed at Austin on 3/26/99, 1999.

*Sudhir Dhawan*  
Sudhir Dhawan

and (3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Kenneth C. Hinz

and (4) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Peter M. Thomsen

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(Dates Inventors Signed Declaration) (1) \_\_\_\_\_, 1999, and  
(2) \_\_\_\_\_, 1999, and (3) 4/6 (April 1999), 1999, and (4)  
\_\_\_\_\_, 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Richard Bealkowski

and (2) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Sudhir Dhawan

and (3) Signed at 1:00 pm on April 6th, 1999.

Kenneth C. Hinz  
Kenneth C. Hinz

and (4) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Peter M. Thomsen

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(2) \_\_\_\_\_, 1999, and (3) \_\_\_\_\_, 1999, and (4)  
30 Mar, 1999.

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(1) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Richard Bealkowski



and (2) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Sudhir Dhawan

and (3) Signed at \_\_\_\_\_ on \_\_\_\_\_, 1999.

\_\_\_\_\_  
Kenneth C. Hinz

and (4) Signed at Austin on 30 Mar, 1999.

Peter M Thomsen  
Peter M. Thomsen