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06-02-1999

FORM PTO-1595 (Substitute)

RECORD



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101052473

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerald E. McGINNIS; Eugene N. SCARBERRY; Patrick M. HANDKE (deceased); Andrew SEROWSKI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Respironics, Inc.

Address: 1501 Ardmore Boulevard

City: Pittsburgh State: PA Zip: 15221-4401

3. Nature of conveyance:

- ☐ Assignment
☐ Security Agreement
☐ Other

- ☐ Merger
☐ Change of Name

Execution Date: April 12, 1999, April 20, 1999, April 13, 1999 and April 9, 1999 respectively

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).
29/101,555

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ayla A. Lari

REED SMITH SHAW & McCLAY LLP

Address: P.O. Box 488

City: Pittsburgh State: PA Zip: 15230

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account
☒ Charge any deficiency to deposit account

8. Deposit account number:

18-0582

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true and copy of the original document.

Ayla A. Lari
Name of Person Signing
Reg. No. 43, 739

Signature

May 24, 1999
Date

Total number of pages including cover sheet, attachments, and document: 13

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, We, Gerald E. McGinnis, Eugene N. Scarberry, Patrick M. Handke (deceased), and Andrew Serowski, citizens of the United States of America, whose post office addresses are 3585 Hills Church Road, Export, Pennsylvania 15632, 208 Terrace Court Road, Trafford, Pennsylvania 15085, 416 Brunner Drive, Monroeville, Pennsylvania 15146 (residence of Executrix, Virginia A. Handke and former residence of inventor), and 135 Miller Farm Road, Plantsville, CT 06479, respectively, have invented and own a certain invention entitled

NASAL MASK

for which an application for Letters Patent of the United States was filed on March 5, 1999 and assigned Serial Number 29/101,555; and

WHEREAS, Respironics, Inc., a corporation organized and existing under the laws of the State of Delaware and having a place of business at 1501 Ardmore Boulevard, Pittsburgh, PA 15221-4401 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that in consideration of the payment by ASSIGNEE to us of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, we hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by any application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

WE HEREBY COVENANT that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale; and

WE FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and we will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this _____
day of _____, 19____.

Gerald E. McGinnis

STATE OF)
) ss.
COUNTY OF)

BE IT KNOWN, that on this ____ day of _____, 199_, before me personally came Gerald E. McGinnis, to me known to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

Notary Public

STATE OF)
) ss.
COUNTY OF)

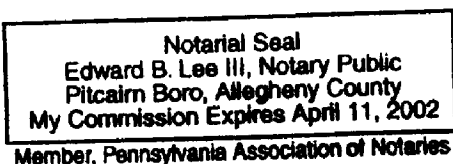
BE IT KNOWN, that on this ____ day of _____,
199____, before me personally came Eugene N. Scarberry, to me known to be the
person mentioned in and who executed the foregoing assignment, and he
acknowledged to me that he executed the same as his free act and deed for the use
and purposes therein mentioned.

Notary Public

Virginia A. Handke - Executrix
Virginia A. Handke, Executrix of the
Estate of Patrick M. Handke

STATE OF)
) ss.
COUNTY OF)

BE IT KNOWN, that on this 13 day of April,
1999, before me personally came Virginia A. Handke, Executrix of the Estate of Patrick
M. Handke, to me known to be the person mentioned in and who executed the
foregoing assignment, and she acknowledged to me that she executed the same as
her free act and deed for the use and purposes therein mentioned.



Edward B. Lee III
Notary Public

STATE OF)
) ss.
COUNTY OF)

BE IT KNOWN, that on this ____ day of _____,
199____, before me personally came Andrew Serowski, to me known to be the person
mentioned in and who executed the foregoing assignment, and he acknowledged to
me that he executed the same as his free act and deed for the use and purposes
therein mentioned.

Notary Public

ASSIGNMENT

WHEREAS, We, Gerald E. McGinnis, Eugene N. Scarberry, Patrick M. Handke (deceased), and Andrew Serowski, citizens of the United States of America, whose post office addresses are 3585 Hills Church Road, Export, Pennsylvania 15632, 208 Terrace Court Road, Trafford, Pennsylvania 15085, 416 Brunner Drive, Monroeville, Pennsylvania 15146 (residence of Executrix, Virginia A. Handke and former residence of inventor), and 135 Miller Farm Road, Plantsville, CT 06479, respectively, have invented and own a certain invention entitled

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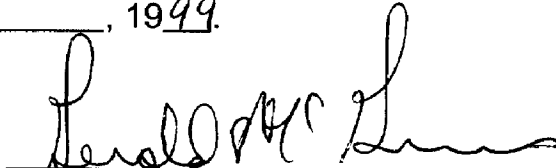
WHEREAS, Respironics, Inc., a corporation organized and existing under the laws of the State of Delaware and having a place of business at 1501 Ardmore Boulevard, Pittsburgh, PA 15221-4401 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

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WE HEREBY COVENANT that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale; and

WE FURTHER COVENANT that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to us and we will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 12 day of APRIL, 1999.


Gerald E. McGinnis

STATE OF Pennsylvania)
COUNTY OF Allegheny) ss.

BE IT KNOWN, that on this 12th day of April, 1999, before me personally came Gerald E. McGinnis, to me known to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


Notary Public

Notarial Seal
Linda L. Farren, Notary Public
Forest Hills Boro, Allegheny County
My Commission Expires June 25, 2001

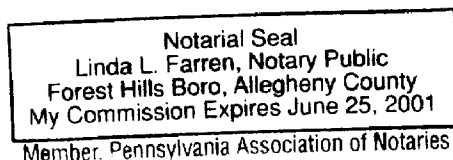
Member, Pennsylvania Association of Notaries
PATENT

REEL: 009985 FRAME: 0248

Eugene N. Scarberry
Eugene N. Scarberry

STATE OF Pennsylvania)
) ss.
COUNTY OF Allegheny)

BE IT KNOWN, that on this 25th day of April,
1997, before me personally came Eugene N. Scarberry, to me known to be the
person mentioned in and who executed the foregoing assignment, and he
acknowledged to me that he executed the same as his free act and deed for the use
and purposes therein mentioned.



Linda L. Farren
Notary Public

Virginia A. Handke, Executrix of the
Estate of Patrick M. Handke

STATE OF)
) ss.
COUNTY OF)

BE IT KNOWN, that on this _____ day of _____,
199_, before me personally came Virginia A. Handke, Executrix of the Estate of Patrick
M. Handke, to me known to be the person mentioned in and who executed the
foregoing assignment, and she acknowledged to me that she executed the same as
her free act and deed for the use and purposes therein mentioned.

Notary Public

STATE OF)
) ss.
COUNTY OF)

BE IT KNOWN, that on this ____ day of _____,
199____, before me personally came Andrew Serowski, to me known to be the person
mentioned in and who executed the foregoing assignment, and he acknowledged to
me that he executed the same as his free act and deed for the use and purposes
therein mentioned.

Notary Public

ASSIGNMENT

WHEREAS, We, Gerald E. McGinnis, Eugene N. Scarberry, Patrick M. Handke (deceased), and Andrew Serowski, citizens of the United States of America, whose post office addresses are 3585 Hills Church Road, Export, Pennsylvania 15632, 208 Terrace Court Road, Trafford, Pennsylvania 15085, 416 Brunner Drive, Monroeville, Pennsylvania 15146 (residence of Executrix, Virginia A. Handke and former residence of inventor), and 135 Miller Farm Road, Plantsville, CT 06479, respectively, have invented and own a certain invention entitled

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STATE OF)
) ss.
COUNTY OF)

BE IT KNOWN, that on this ____ day of _____,
199____, before me personally came Eugene N. Scarberry, to me known to be the
person mentioned in and who executed the foregoing assignment, and he
acknowledged to me that he executed the same as his free act and deed for the use
and purposes therein mentioned.

Notary Public

Virginia A. Handke, Executrix of the
Estate of Patrick M. Handke

STATE OF)
) ss.
COUNTY OF)

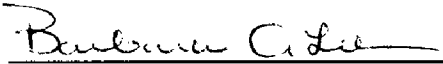
BE IT KNOWN, that on this _____ day of _____,
199_, before me personally came Virginia A. Handke, Executrix of the Estate of Patrick
M. Handke, to me known to be the person mentioned in and who executed the
foregoing assignment, and she acknowledged to me that she executed the same as
her free act and deed for the use and purposes therein mentioned.

Notary Public


Andrew Serowski

STATE OF Connecticut)
) ss.
COUNTY OF New Haven)

BE IT KNOWN, that on this 9 day of April,
1999, before me personally came Andrew Serowski, to me known to be the person
mentioned in and who executed the foregoing assignment, and he acknowledged to
me that he executed the same as his free act and deed for the use and purposes
therein mentioned.


Notary Public
BARBARA A. LEHN
NOTARY PUBLIC
MY COMMISSION EXPIRES MAR. 31, 2002