

06-03-1999

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To the Honorable Commission

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Record the attached original documents or copy thereof.

1. Name of conveying party(is):

- (1) W. C. LIU
- (2) W. S. LOUR
- (3) W. L. CHANG

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: May 7, 1999 by W. C. LIU, May 8, 1999
by W. S. LOUR and May 10, 1999 by W. L. CHANG

2. Name and address of receiving parties:

Name: NATIONAL SCIENCE COUNCIL

Internal Address: _____

Street Address: Fl. 18, No. 106, Sec

Hoping E. Road

City: Taipei State: _____ Zip: _____

Country: Taiwan, R.O.C.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 7, 1999 by W. C. LIU,
May 8, 1999 by W. S. LOUR and May 10, 1999 by W. L. CHANG

A. Patent Application No(s):

09315765

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard P. Berg

Name: LADAS & PARRY

Internal Address: _____

Street Address: 5670 Wilshire Boulevard, #2100

City: Los Angeles State: CA Zip: 90036

6. Total number of application and patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

Any additional fees which may be required are

☒ Authorized to be charged to deposit account

No. 12-0415

8. Deposit account number:

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard P. Berg

Name of Person Signing

[Signature]
Signature

May 20, 1999

Date

Total number of pages including cover sheet, attachments, and document: [2] plus \$40 check

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PATENT

ASSIGNMENT

WHEREAS, W. C. LIU, W. S. LOUR and W. L. CHANG
hereafter referred to as ASSIGNOR, has/have invented certain new and useful improvements
as described and set forth in the below identified application for United States Letters Patent:

Title: HIGH-BARRIER GATE AND TRI-STEP DOPED CHANNEL FIELD-EFFECT
TRANSISTOR

Filed: _____ Serial No. _____

Executed on: May 7, 8 and 10, 1999

WHEREAS, National Science Council of Fl. 18, No. 106, Sec. 2, Hoping E. Road, Taipei, Taiwan, R.O.C. hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

W. C. Liu
W. C. LIU

May 9, 1999
Date:

W. S. Lour
W. S. LOUR

May 8 1999
Date:

W. L. Chang
W. L. CHANG

May 10 1999
Date: