

06-03-1999

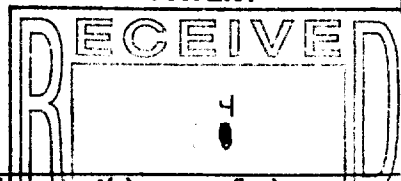
U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

Docket No. 1-14459



101052652

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**



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- ☒ **Assignment** ☐ **Security Agreement**  
☐ **License** ☐ **Change of Name**  
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**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached  
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Month Day Year  
02091999

Name (line 1) KAPLAN, ANDREAS

Name (line 2)

**Second Party**

Name (line 1) WENZLER, MANFRED

Execution Date  
Month Day Year  
02091999

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) EMS-INVENTA AG

Name (line 2)

Address (line 1) SELNAUSTR. 16

Address (line 2)

Address (line 3) ZURICH

SWITZERLAND

8002

City

State/Country

Zip Code

☒ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

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Name MARSHALL & MELHORN

Address (line 1) OBERLIN, PHILLIP S.

Address (line 2) FOUR SEAGATE - 8TH FLOOR

Address (line 3) TOLEDO, OHIO 43604

Address (line 4) UNITED STATES OF AMERICA

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05/28/1999 TTON11 00000115 09247345

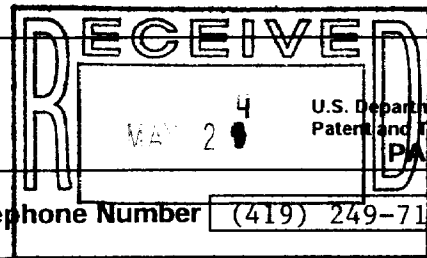
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**PATENT**  
**REEL: 009989 FRAME: 0790**



**Correspondent Name and Address**

Area Code and Telephone Number (419) 249-7149

Name MARSHALL & MELHORN

Address (line 1) OBERLIN, PHILLIP S.

Address (line 2) FOUR SEAGATE - 8TH FLOOR

Address (line 3) TOLEDO, OHIO 43604

Address (line 4) UNITED STATES OF AMERICA

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 6

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09247345

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved. # 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 13-1816

Authorization to charge additional fees: Yes ☒ No ☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

PHILLIP S. OBERLIN, REG.NO.19,066

Name of Person Signing

Signature

MAY 13, 1999

Date

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Name (line 1) HEINZ, JUERG

Execution Date  
Month Day Year

02091999

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

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☐ Mark if additional names of receiving parties attached

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Name (line 2)

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Name (line 2)

Address (line 1)

Address (line 2)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



Attorney's Docket No. 1-14459

*12 844 115*  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Andreas Kaplan et al.

Serial No.: 09 / 247,345 Group No.: 1755

Filed: February 10, 1999 Examiner:

For: "Heat-Curable Coating Materials"

Patent: Issue Date: \_\_\_\_\_

**DESIGNATION OF DOMESTIC REPRESENTATIVE BY ASSIGNEE  
(37 CFR 3.61)**

1. I(We) am(are) the assignee(s) of the above-identified application/patent by virtue of an assignment

☒ separately submitted for recordal on even date herewith.

PTO-1619A, PTO-1619B & PTO-1619C

☒ A separate ☒ "ASSIGNMENT (DOCUMENT) RECORDAL COVER SHEET"  
or ☐ FORM PTO 1595 is also attached.

☐ recorded in the PTO on \_\_\_\_\_  
Date

Reel \_\_\_\_\_ Frame \_\_\_\_\_

2. In accordance with 37 CFR 3.37, the assignee hereby certifies that the evidentiary documents, with respect to its ownership, have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action. A "CERTIFICATE UNDER 37 CFR 3.73(b)" is attached.

3. I(We) do not reside in the United States

I(We) designate, as domestic representative, on whom process or notice of proceedings affecting the application/patent may be served.

Name: MARSHALL & MELHORN

Address: Four SeaGate - 8th Floor  
Toledo, Ohio 43604

EMS-INVENTA AG

Telephone No.: (419) 249-7149

Fax No. (419) 249-7151

Date: 50-2-99  
February 9, 1999

Alfred Betschart  
Signature of authorized person

Dr. Alfred Betschart

(type or print name of person authorized to sign on behalf of assignee)

President

Title of person authorized to sign on behalf of assignee

P. Meier  
Dr. Peter Meier

Manager

Patent Department

For: ☒ U.S. and/or ☐ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

## ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

## ASSIGNOR:

Inventor(s) or person(s) or  
entity(ies) who own the  
invention

ANDREAS KAPLAN(type or print name(s) of ASSIGNOR(S))Wiesentalstr. 29AddressCH-7000 Chur, SwitzerlandGermanNationality

*(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)*

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hereby sells, assigns and transfers to

## ASSIGNEE:

EMS-INVENTA AG(type or print name(s) of ASSIGNEE(S))Selnaustr. 16Address8002 Zurich, SwitzerlandSwissNationality

and the successors, assigns and legal representatives of the ASSIGNEE

For: ☒ U.S. and/or ☐ Foreign Rights  
 For: ☒ U.S. Application or  
       ☐ U.S. Provisional Application  
 For: ☐ U.S. Patent  
 For: ☐ PCT Application  
 By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or  
 entity(ies) who own the  
 invention

MANFRED WENZLER  
 (type or print name(s) of ASSIGNOR(S))  
Via Gluvs 2  
 Address  
CH-7013 Domat/Ems, Switzerland  
German  
 Nationality

*(if assignment is by person or entity to whom invention was previously assigned  
 and this was recorded in PTO, add the following)*

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**ASSIGNEE:**

EMS-INVENTA AG  
 (type or print name(s) of ASSIGNEE(S))  
Selnaustr. 16  
 Address  
8002 Zurich, Switzerland  
Swiss  
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

For: ☒ U.S. and/or ☐ Foreign Rights  
 For: ☒ U.S. Application or  
       ☐ U.S. Provisional Application  
 For: ☐ U.S. Patent  
 For: ☐ PCT Application  
 By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or  
 entity(ies) who own the  
 invention

JUERG HEINZ

*(type or print name(s) of ASSIGNOR(S))*

Giacomettistr. 40

*Address*

CH-7000 Chur, Switzerland

Swiss

*Nationality*

*(if assignment is by person or entity to whom invention was previously assigned  
 and this was recorded in PTO, add the following)*

**Recorded on** \_\_\_\_\_

**Reel** \_\_\_\_\_

**Frame** \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**

EMS-INVENTA AG

*(type or print name(s) of ASSIGNEE(S))*

Selnaustr. 16

*Address*

8002 Zurich, Switzerland

Swiss

*Nationality*

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_%) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

HEAT-CURABLE COATING MATERIALS

Name of inventor(s) Andreas Kaplan, Manfred Wenzler and Juerg Heinz

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on \_\_\_\_\_

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.

☐ Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no. 09 / 247,345  
filed on February 10, 1999

(e) ☐ International application no. PCT/ \_\_\_\_\_ / \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

(Assignment of Invention [16-3]—page 4 of 5)

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 9th day  
February  
of February 9, 1999, 1999.  
Date of signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: February 9, 1999

Date: February 9, 1999

Date: February 9, 1999

Date: February 9, 1999

Andreas Kaplan  
Signature of ASSIGNOR(S) ANDREAS KAPLAN  
Manfred Wenzler  
MANFRED WENZLER  
Juerg Heinz  
JUERG HEINZ

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 5 of 5)