

RE

Docket No.: 01-7205-144

FORM 10-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02

REC

06-04-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼ ▼



101055972

To the Honorable Commissioner of Patents and Trademarks: Please forward the attached original documents or copy thereof.

1. Name of conveying party(ies):

Bouvard Hosticka
Pamela M. Norris
Jack Brenizer

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: University of VirginiaAddress: Washington Hall, E RangeCity: CharlottesvilleState/Prov.: VACountry: U.S.AZIP: 22903

Additional name(s) & address(es)

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: 12/7/98, 12/6/98, 12/22/98

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/199,97911/25/99

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John E. WagnerRegistration No. 17,496Address: Wagner Middlebrook & KimbellCity: GlendaleState/Prov.: CACountry: U.S.A.ZIP: 91208

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0420

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John E. Wagner

Name of Person Signing

Signature

5/26/99

Date

Total number of pages including cover sheet, attachments, and

6

PATENT

REEL: 009991 FRAME: 0001

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (excl. 1994)
Copyright 1996-97 Legal
P08A/REV02

RECORDATION FORM

PATENTS

MENT OF COMMERCE
nt and Trademark Office



100963983

s or copy thereof.

To the Honorable Commissioner of Patents and Trademarks: Plea.

1. Name of conveying party(ies):

Bouvard Hosticka
Pamela M Norris

2.8.99

Additional names(s) of conveying party(ies)

☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 2/5/99

2. Name and address of receiving party(ies):

Name: University of VirginiaAddress: Washington Hall, E RangeCity: CharlottesvilleState/Prov.: VACountry: U.S.A.ZIP: 22903

Additional name(s) & address(es)

☐ Yes ☐ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/199,979

11/25/99

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John E. WagnerRegistration No. 17,496Address: Wagner Middlebrook & Kimbell3541 Ocean View Blvd.City: GlendaleState/Prov.: CACountry: U.S.A.ZIP: 91208

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0420

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John E. Wagner

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

3

2/5/99

Assignment of Invention

For: U.S. and Foreign Rights

Docket Number:

01-7205-144NPA

By: Inventors

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, we (I) hereby sell, assign, and transfer to

ASSIGNEE: The University of Virginia
Address Washington Hall, E Range
Charlottesville, VA 22903

and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

Title: **Aerogel Environmental Sampler and Concentrator**

(Please provide title of invention in the space provided above)

and which is found in (37 C.F.R. § 3.21), U.S. Provisional application naming the below inventor(s) for the above entitled invention:

Express Mail label number: N/A

Mailed: 11/25/98

To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number *when they become known*.

U.S. Application number: 09/199,979

Filing date: 11/25/98

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

*Note: The inventors need only fill in the Title of the invention and the inventor information.
UVAPF will fill in the remaining blank spaces when that information becomes available.*

IN WITNESS WHEREOF, We have hereunto set hand and seal on the dates set forth below.

Inventor name: Pamela Norris Residence: 1680 Stoney Creek Dr
Charlottesville VA 22902

Signature: Pamela M Norris

Date: 12/7/98

Citizen of: U.S.A.

Inventor name: Jack Brenizer

Residence: _____

Signature: Jack Brenizer

Date: 12/16/98

Citizen of: U.S.A.

Inventor name: Bouvard Hosticka

Residence: 1314 ROSE Hill Dr,

Signature: Bouvard Hosticka

Date: 12/22/98

Charlottesville VA,
22903

Citizen of: U.S.A.

Inventor name: _____

Residence: _____

Signature: _____

Date: _____

Citizen of: U.S.A.

Inventor name: _____

Residence: _____

Signature: _____

Date: _____

Citizen of: U.S.A.

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Jack S. Brenizer, Charles E. Daitch, Bouvard Hosticka, L. Roger Mason,
Pamela M. Norris
Title: AEROGEL ENVIRONMENTAL
SAMPLER AND CONCENTRATOR
Filing Date: 11/25/99
Serial No: 09/199,979
Art Unit: 2856

Docket No: 01-7205-144

Date: 5/26/99

Box Assignments
Assistant Commissioner for Patents
Washington, D.C. 20231

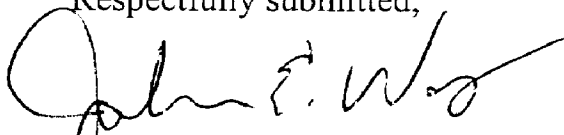
Dear Sir:

Enclosed please find:

- (x) Cover sheet (2) w/Certificate of Mailing
- (x) Notice of non-recording of document
- (x) New Assignment cover sheet
- (x) Previous Assignment cover sheet
- (x) Assignment
- (x) Post Card

Please charge any additional fees and credit any overpayment to Deposit Account No. 50-0420. A duplicate of this cover sheet is enclosed.

Respectfully submitted,



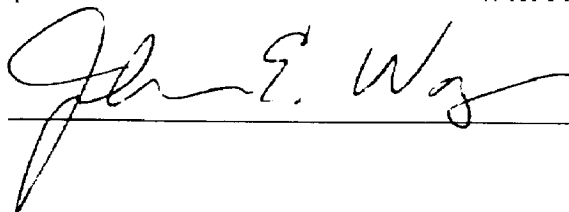
John E. Wagner, Reg. No. 17496
WAGNER, MIDDLEBROOK & KIMBELL
3541 Ocean View Boulevard
Glendale, CA 91208
(818) 957-3340

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

MAILING - X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Dated: 5/26/99

Signed: _____



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Jack S. Brenizer, Charles E. Daitch, Bouvard Hosticka, L. Roger Mason,
Pamela M. Norris
Title: AEROGEL ENVIRONMENTAL
SAMPLER AND CONCENTRATOR
Filing Date: 11/25/99
Serial No: 09/199,979
Art Unit: 2856

Docket No: 01-7205-144

Date: 5/26/99

Box Assignments
Assistant Commissioner for Patents
Washington, D.C. 20231

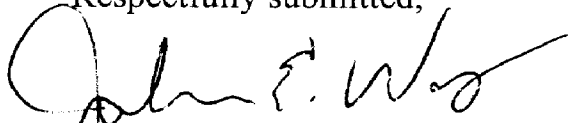
Dear Sir:

Enclosed please find:

- (x) Cover sheet (2) w/Certificate of Mailing
- (x) Notice of non-recording of document
- (x) New Assignment cover sheet
- (x) Previous Assignment cover sheet
- (x) Assignment
- (x) Post Card

Please charge any additional fees and credit any overpayment to Deposit Account No. 50-0420. A duplicate of this cover sheet is enclosed.

Respectfully submitted,



John E. Wagner, Reg. No. 17496
WAGNER, MIDDLEBROOK & KIMBELL
3541 Ocean View Boulevard
Glendale, CA 91208
(818) 957-3340

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

MAILING - X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Dated: 5/26/99 Signed: 