

# 06-04-1999

PARTMENT OF COMMERCE
Patent and Trademark Office

**RECORDA** ு <sub>்</sub> நூர் பா. 1<u>0105</u>5714

	To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy to		
	1. Name of conveying party(ies):	2. Name and address of receiving party(ies):	
	Hyeon-Seag Kim Sunil D. Mehta	Name: Advanced Micro Devices, Inc.	
	Additional name(s) of conveying party(ies) attached? _ Yes X No	Address: One AMD Place, P.O. Box 3453  Sunnyvale, CA 94088-3453	
	3. Nature of conveyance:  Assignment Merger  Security Agreement Change of Name  X_ Other Corrective Assignment to Correct Assignee	Additional name(s) & address(es) attached? Yes _X_ No	
	Reel/Frame: 9770/0740		
	Execution Date: April 14, 1999		
	4. Application number(s) or patent number(s): A. Patent Application No.: 09/240,560 Title: PROCESS FOR MANUFACTURING SHALLOW TRENCHES FILLED WITH DIELECTRIC MATERIAL HAVING LOW MECHNICAL STRESS	B. Patent No(s).:	
	Filed Date: January 29, 1999		
	Additional numbers attac	ched? Yes _X_ No	
	If this document is being filed together with a new application, the	ne execution date of the application is: N/A	
	<ol> <li>Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Martin C. Fliesler, Esq.</u></li> </ol>	6. Total Number of applications and patents involved: 1 X \$40.00 each	
	Address: Fliesler, Dubb, Meyer & Lovejoy	7. Total fee (37 CFR 3.41)\$ 40.00  X Check Enclosed	
	Four Embarcadero Center, Suite 400	8. Fee Authorization. Authorization is given to charge	
	San Francisco, CA 94111	any additional fees or credit any overpayment to Deposit Account	
	Telephone:(415) 362-3800	No. 06-1325.  Copy. (A duplicate copy of this authorization is not enclosed.)	
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
06/04/1999 MTH	Λ Λ .	4	
01 FC:581	D. Benjamin Borson OP Attorney (Reg. No.: 42,349) Signature  D. Benjamin Borson OP Signature  Mrg 28/19		
	10. Total number of pages to be recorded: <u>8</u> ( <u>1</u> page	cover sheet and 7 page document).	

Attorney Docket No.: AMDI 8345MCF/DBB dbb/amdi/8345/8345.004

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.		
Name of conveying party(ies):     Hyeon-Seag Kim Sunil D. Mehta	2. Name and address of receiving party(ies):  Name: AMD  Address: One AMD Place		
Additional name(s) of conveying party(ies) attached? _ Yes X No	P.O. Box 3453		
3. Nature of conveyance:  Merger Security Agreement Change of Name	Sunnyvale, CA 94088-3453		
Other  Execution Date: 1/11/99_	Additional name(s) & address(es) attached? Yes X_ No		
4. Application number(s) or patent number(s):  A. Patent Application No.: Unknown  9 240 560  Title: PROCESS FOR MANUFACTURING SHALLOW TRENCHES FILLED WITH DIELECTRIC MATERIAL HAVING LOW MECHANICAL STRESS Filed Date: _herewith	B. Patent No(s).:		
Additional numbers attact If this document is being filed together with a new application, the	ched?Yes _X_No he execution date of the application is: _1/11/99		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total Number of applications and patents involved: _1_ X \$40.00 each  7. Total fee (37 CFR 3.41)\$ _40.00		
Name: Martin C. Fliesler, Esq.  Address: Fliesler, Dubb, Meyer & Lovejoy  Four Embarcadero Center, Suite 400  San Francisco, CA 94111  Telephone: _(415) 362-3800	X Check Enclosed  8. Fee Authorization. Authorization is given to charge any additional fees or-credit any overpayment to Deposit Account No. 06-1325.  Copy. (A duplicate copy of this authorization is not enclosed.)		
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  ORLING 00000027 09240560			
D. Benjamin Borson, Ph.D. A. Sciya: Borra Date Date			
10. Total number of pages to be recorded: <u>4</u> (1 page cover sheet and <u>3</u> page document).			

Attorney Docket No.: AMDI 8345MCF/DBB /dbb/amdi/8345.005

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### JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:
(1) <u>Hyeon-Seag Kim</u> ,
a resident of Sunnyvale, California ; and
(2) Sunil D. Mehta
a resident of <u>San Jose, California</u> ; and
(3),
a resident of; and
(4)
a resident of,
have invented certain new and useful improvements in:
PROCESS FOR MANUFACTURING SHALLOW TRENCHES FILLED WITH DIELECTRIC MATERIAL HAVING LOW MECHANICAL STRESS
and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:
<ol> <li>X On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;</li> </ol>
Or
2 Said application having SC/Serial Number/,_ and filed on the day of, 19  Advanced  Advanced
WHEREAS American Micro Devices, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at One AMD Place, P.O. Box 3453, Sunnyvale, State of California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

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Attorney Docket No.: AMDI 8345 MCF/LEV/DBB dbb/amdi8345/forma/003

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251.001:060498

PATENT REEL: 009991 FRAME: 0765 NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement before the Notary Public as given below and delivered this instrument to said Assignee. Date of Execution of Declaration for Patent Application: (Inventor's Signature) State of County of before me, Morena G. (name and title of officer) Kim, personally known to me (or proved to me on the basis of leon-Seaa satisfactory evidence) to be the personis whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. MORENA G. MOUNA WITNESS my hand and official seal. Commission #1065389 Notary Public - California SANTA CLARA COUNTY My Comm. Expires Jul 19,1999 Signature Date of Execution of Declaration for Patent Application: State of County of before me, (name and title of officer) personally appeared Duni ehta, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(iee); and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s), acted, executed the instrument. MORENA G. MOUNA WITNESS my hand and official seal. Commission #1065389 Notary Public — California SANTA CLARA COUNTY My Comm. Expires Jul 19,1999

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Attorney Docket No.: AMDI 8345 MCF/LEV/DBB dbb/amdi8345/forma/003

#### JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1) <u>Hyeon-Seag Kim</u>	
a resident of Sunnyvale, California	; and
(2) Sunil D. Mehta	
a resident of San Jose, California	•

have invented certain new and useful improvements in:

## PROCESS FOR MANUFACTURING SHALLOW TRENCHES FILLED WITH DIELECTRIC MATERIAL HAVING LOW MECHANICAL STRESS

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

- 1. X On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;
- X Said application having SC/Serial Number 09/240,560 and filed on the 29<sup>th</sup> day of January, 1999.

WHEREAS Advanced Micro Devices, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at One AMD Place, P.O. Box 3453, Sunnyvale, State of California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each

Page 1

Attorney Docket No.: AMDI 8345 MCF/LEV/DBB

dbb/amdi8345/forma/003

1.

251.001:060498

PATENT REEL: 009991 FRAME: 0768 and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement before the Notary Public as given below and delivered this instrument to said Assignee.

Date of Execution of Declaration for Patent Application: <u>January 11, 1999</u>
(Inventor's Signature) Hyeon-Seag Kim
State of California  County of Santa Claim
County of Danta Claim
on April 14, 1999 before me, Morena G. Molina, Notary tublic,
personally appeared Hyeon-Seag Kim, personally known to me (or proved to me on the basis of satisfactory
evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to the that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.  MORENA G. MOUNA Commission #065389 Notice Public — Colifornia SANTA CLARA COUNTY My Comm Expires Jul 19,1999

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Attorney Docket No.: AMDI 8345 MCF/LEV/DBB dbb/amdi8345/forma/003

251.001:060498

PATENT REEL: 009991 FRAME: 0769

Date of Execution of Declaration for Patent Application:
(2)
(Inventor's Signature) Sunil D. Mehta
State of California
On April 14, 1999 before me, Moruna a. Molina, Notary Public (name and title of officer)
personally appeared <u>Sunil D. Mehta</u> , personally known to me (or proved to me on the basis of satisfactor evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/the signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.
WITNESS my hand and official seal.  MORENA G. MOLINA. Commission #1055389 Notory Public — Collionia SANTA CLARA COUNTY My Comm. Expires Jul 19,1999

#### JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1) Hyeon-Seag Kim	· · · · · · · · · · · · · · · · · · ·
a resident of Sunnyvale, California	; and
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a resident of <u>San Jose, California</u>	

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Page 1

251.001:060498

Attorney Docket No.: AMDI 8345 MCF/LEV/DBB dbb/amdi8345/forma/003

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personally appeared <u>Hyeon-Seag Kim</u> , personally known to me (or proved to me on the basis of satisfactor evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged t
me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.
WITNESS my hand and official seal.  Signature  MORENA G. MOUNA Commission #065389 Noticity Public — Colifornia SANTA CLARA COUNTY My Comm. Expires Jul 19,1999

Page 2

Attorney Docket No.: AMDI 8345 MCF/LEV/DBB dbb/amdi8345/forma/003

Date of Execution of Declaration for Patent Application:
(2)
(Inventor's Signature) Sunil D. Mehta
State of County of Sarka Clara  On Aril 14, 1999 before me, Moruna G. Molina Notary Publication (name and title of officer)  personally appeared Sunil D. Mehta, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.
Signature A Suna L. M. Signature Commission #1085389  Notory Public - Collifornia SANTA CLARA COUNTY  My Comm. Expires Aul 19,1999