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RECORDATION FORM COVER SHEET

Docket No.: CMI-308

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

FORM PTO-1595 (Modified)
(Rev. 6-93)
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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies.

1. Name of conveying party(ies):

James C. Caffey

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: 05/25/99

2. Name and address of receiving party(ies):

Name: SULZER CARBOMEDICS INC.

Internal Address:

Street Address: 1300 East Anderson lane

City: Austin State: TX ZIP: 78752

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or registration numbers(s):

09320063

If this document is being filed together with a new application, the execution date of the application is: 05/25/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philip S. Lyren

Internal Address: SULZER MEDICA USA INC.

06/04/1999 JSHABAZZ 00000044 090473 09320063

01 FC:581 40.00 CH

Street Address: 3 East Greenway Plaza, Suite 1600

City: Houston State: TX ZIP: 77046

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

09-0473

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip S. Lyren

Name of Person Signing

PLS Lyren

Signature

MAY 26 '99

Date

Total number of pages including cover sheet, attachments, and document:


3

ASSIGNMENT

In consideration of my technology purchase agreement(s) or employee agreement(s) with **SULZER CARBOMEDICS INC.**, and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, **James C. Caffey**, resident of Marble Falls, Texas, hereby severally assign, transfer, and deliver to **SULZER CARBOMEDICS INC.**, a Delaware corporation, having offices at Austin, Texas, U.S.A., its successors and assigns (collectively referred to as "**SULZER CARBOMEDICS**"), the entire right, title and interest throughout the world to and in my invention(s) **BIOPROSTHETIC HEART VALVE WITH BALANCED STENT POST DEFLECTION**, (Attorney Docket **#CMI-308**), the application for United States patent on the invention signed on 5/25/99 as well as all continuations and divisions of that application, and all patents granted on that and any other application(s) on the invention(s) in the United States and elsewhere, together with the exclusive right to make application for patents and similar protection, reissues, renewals and extensions on the invention(s) in the U.S. and foreign countries, and I hereby request the U.S. Commissioner of Patents and Trademarks and the corresponding official(s) having the authority to issue patents in any foreign country(ies) to issue patents on the invention(s) to **SULZER CARBOMEDICS**. I confirm that I have not made any agreement in conflict with this Assignment. I promise and agree that I will promptly sign and deliver such other documents, provide such evidence and other information within my knowledge or belief, and do all other relevant things that **SULZER CARBOMEDICS** may reasonably deem necessary or desirable and request of me in connection with obtaining or maintaining any of the patents, or in connection with any proceeding, controversy or litigation pertaining to any of the applications or patents, or in order to perfect, protect or enforce **SULZER CARBOMEDICS** ownership of the right, title and interest conveyed by this Assignment, or in connection with any other matter relating to or arising out of this Assignment, and that I will do all of these things without need for further payment of money or other consideration to myself on the

understanding that **SULZER CARBOMEDICS** will bear all reasonable expenses actually incurred for or in connection with such matters. This Assignment and the obligations I have assumed by signing this document shall be binding on my respective legal representatives.

5/25/99
Date


Inventor's Signature

James C. Caffey
(Type Inventor's Name)