Hills

FORM PTO-1619A Expires 06/30/99 06-07-1999



101057849

U.S. Department of Commerce Patent and Trademark Office PATENT

RECORDATION	FORM	COVER	SHEET	
PATENTS ONLY				

Submission Type	ks: Please record the attached original document(s) or copy(ies). Conveyance Type		
New	Assignment Security Agreement		
Resubmission (Non-Recordation)	09289550		
Document ID#	License Change of Name		
Correction of PTO Error			
Reel # Frame #	Merger Other		
Corrective Document	U.S. Government (For Use ONLY by U.S. Government Agencies)		
Reel # Frame #	Departmental File Secret File		
	dditional names of conveying parties attached Execution Date Month Day Year		
Name (line 1) Friesen, Richard W.	5/20/99		
Name (line 2)	Execution Date		
Second Party	Month Day Year		
Name (line 1) Hart, Peter C.	5/25/99		
Name (line 2)			
Receiving Party Mark if a	dditional names of receiving parties attached		
Name (line 1) ePIT Inc.	if document to be recorded is assignment and the receiving		
Name (line 2) a California corporation	party is not domiciled in the United States, an appointmer of a domestic representative		
Name (line 2) a California corporation	attached. (Designation must be a separate document from		
Address (line 1) 220 Montgomery Street.	Assignment.)		
Address (line 2) San Francisco			
Address (line 3) CA 94	1104		
Domestic Representative Name and A	ddress Enter for the first Receiving Party only.		
Name Dana S. Rao			
Address (line 1) FENWICK & WEST LLP			
Address (line 2) Two Palo Alto Square			
Address (line 3) Palo Alto, CA 94306			
Address (line 4)			
1999 DNGUYEN 00000092 09289550	FOR OFFICE USE ONLY		
1			

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet! Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0551-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

1

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

A1000/00103/855960.1

PATENT

REEL: 009994 FRAME: 0472

FORM PTO-1619B

Page 2

U.S. Department of Commerce Patent and Trademark Office

Expires 06/30/99 OMB 0651-0027		PATENT	
Correspondent Name and Address			
·	Area Code and Telephone	(650) 858-7816	
Name Dana S. Rao			
Address (line 1) Fenwick & West LLP			
Address (line 2) 2 Palo Alto Square			
Address (line 3) Palo Alto, CA 94306			
Address (line 4)			
Pages Enter the total number of pages of the c	onveyance document including att	achments # 3	
Application Number(s) or Patent Number(S) Mark if additional n	umbers attached	
Enter either the Patent Application Number or the Patent		• • •	
Patent Application Number(s)	Pater	t Number(s)	
09289550			
If this document is being filed together with a <u>new</u> Patent Application, enter the date the patent application was Month Day Year signed by the first named executing inventor.			
Patent Cooperation Treaty (PCT)			
	PCT PCT	PCT	
only if a U.S. Application Number	DOT DOT	DCT C	
has not been assigned	PCT PCT	PCT	
Number of Properties			
Enter the total number of properties involved. # 1			
Fee Amount for Prop	perties Listed (37 CFR 3.41):	\$ 40.00	
Method of Payment Enclose	d Deposit	Account	
Deposit Account			
(Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: # 19-2555			
Authorization to cha	rge additional fees:	Yes No	
Statement and Signature			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.			
Dana S. Rao	Ind-	6/1/99	
Name of Person Signing	Signature	Date	

PATENT

REEL: 009994 FRAME: 0473

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to ePIT Inc., a California corporation, having a place of business at 220 Montgomery Street, Suite 437, San Francisco, CA 94104 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

- 1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the application for United States Letters Patent entitled: User Interface for an Electronic Trading System ("APPLICATION"), which:
 - ☐ is to be filed herewith
- ☑ was filed on April 9, 1999, now bearing U.S. serial number 09/289,550 and for which a Declaration was executed by INVENTOR on the date(s) below; and
 - 2. The entire worldwide right, title, and interest in and to:
- (a) the APPLICATION, including any right of priority; (b) any divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; and (c) any patents which may be granted on the applications set forth in (a) and (b) above.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has made or will make hereafter no assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Name and Signature	Date of <u>Signature</u>	Date Declaration <u>Executed By This Person</u>
Richard W. Friesen	5.20 ,1999	<u>5-20</u> ,1999
Peter C Hart	5.25.2	S. 25 ,1999

i eter C. Hart

1

Case Docket #3854

11

Title of	Document:	ASSIGNMENT
T T T T T T	L CCUIIICIIC.	

Re:

Title: Filed: (if applicable) User Interface for an Electronic Trading System

Serial No.: (if applicable)

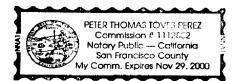
April 9, 1999 09/289,550

State of County of San Paraciso

On may 24, 1898 before me, Pur Time me Pun personally
[DATE] [NOTARY PUBLIC]

appeared Richard W. Friesen personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal



Notary

appeared Peter C. Hart personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Notary Seal

PETER THOMAS TOVES PEREZ Commission # 1112302 Notary Public - California San Francisco County Comm. Expires Nov 29, 2000

Notary

State of California

County of San Francisco

On <u>\$126</u> before me, <u>PETER THOMAS TOVES PEREZ, Notary Public</u>

NAME AND TITLE OF OFFICER- E.G. "JANE DOE, NOTARY PUBLIC"

personally appeared

Richard, W. Known & Peter C. Hart

NAME(S) OF SIGNER(S)

Sersonally known to me - OR-

□Proved to me on the basis of satisfactory evidence to be the person(s) whose name (s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Attachment:

PETER THOMAS TOVES PEREZ Commission # 1112802 lotary Public --- California San Francisco County Comm. Expires Nov 29, 2000

RECORDED: 06/04/1999

SIGNATÚRE OF NOTARY

PATENT REEL: 009994 FRAME: 0476