

MAD 6-4-99

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Expires 06/30/99  
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06-07-1999



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PATENT

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Conveyance Type

Assignment  Security Agreement

License  Change of Name

Merger  Other

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Departmental File  Secret File

09289550

Conveying Party(ies)

Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (line 1) **Friesen, Richard W.**

5/20/99

Name (line 2)

Second Party

Execution Date  
Month Day Year

Name (line 1) **Hart, Peter C.**

5/25/99

Name (line 2)

Receiving Party

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If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) **ePIT Inc.**

Name (line 2) **a California corporation**

Address (line 1) **220 Montgomery Street, Suite 437**

Address (line 2) **San Francisco**

Address (line 3) **CA** **94104**

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name **Dana S. Rao**

Address (line 1) **FENWICK & WEST LLP**

Address (line 2) **Two Palo Alto Square**

Address (line 3) **Palo Alto, CA 94306**

Address (line 4)

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A1000/00103/855960.1

PATENT  
REEL: 009994 FRAME: 0472

**Correspondent Name and Address**

Area Code and Telephone (650) 858-7816

Name Dana S. Rao

Address (line 1) Fenwick & West LLP

Address (line 2) 2 Palo Alto Square

Address (line 3) Palo Alto, CA 94306

Address (line 4)

**Pages** Enter the total number of pages of the conveyance document including attachments # 3

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09289550						

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned

PCT		PCT		PCT	
PCT		PCT		PCT	

**Number of Properties**

Enter the total number of properties involved. # 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment Enclosed  Deposit Account

**Deposit Account**

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 19-2555

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Dana S. Rao  
Name of Person Signing

  
Signature

6/1/99  
Date

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to ePIT Inc., a California corporation, having a place of business at 220 Montgomery Street, Suite 437, San Francisco, CA 94104 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the application for United States Letters Patent entitled: **User Interface for an Electronic Trading System** ("APPLICATION"), which:

is to be filed herewith

was filed on April 9, 1999, now bearing U.S. serial number 09/289,550

and for which a Declaration was executed by INVENTOR on the date(s) below; and

2. The entire worldwide right, title, and interest in and to:


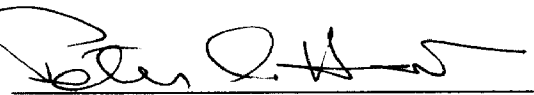
(a) the APPLICATION, including any right of priority; (b) any divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; and (c) any patents which may be granted on the applications set forth in (a) and (b) above.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has made or will make hereafter no assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

<u>Name and Signature</u>	<u>Date of Signature</u>	<u>Date Declaration Executed By This Person</u>
 _____ Richard W. Friesen	5.20.1999	5.20.1999
 _____ Peter C. Hart	5.25.1999	5.25.1999

Title of Document: ASSIGNMENT

Re:  
Title: **User Interface for an Electronic Trading System**  
Filed: (if applicable) April 9, 1999  
Serial No.: (if applicable) 09/289,550

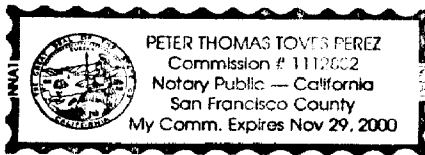
State of California  
County of San Francisco

On May 26, 1999 before me, Peter Thomas Toves Perez personally  
[DATE] [NOTARY PUBLIC]

appeared **Richard W. Friesen** personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

[Signature]  
Notary

Notary Seal



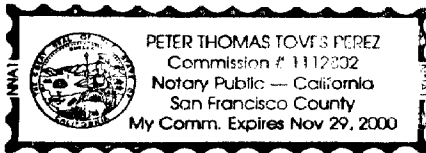
State of California  
County of San Francisco

On May 26, 1999 before me, Peter Thomas Toves Perez personally  
[DATE] [NOTARY PUBLIC]

appeared **Peter C. Hart** personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

[Signature]  
Notary

Notary Seal



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Francisco

On 0126199 before me, PETER THOMAS TOVES PEREZ, Notary Public  
DATE NAME AND TITLE OF OFFICER- E.G. "JANE DOE, NOTARY PUBLIC"

personally appeared Richard W. Krcmar & Peter C. Hart  
NAME(S) OF SIGNER(S)

Personally known to me - OR -

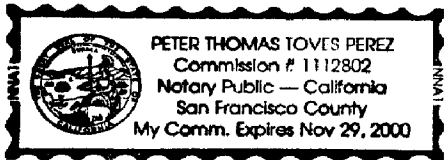
Proved to me on the basis of satisfactory evidence to be the person(s) whose name (s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

*Assignment*

Attachment: \_\_\_\_\_

*[Handwritten Signature]*  
SIGNATURE OF NOTARY



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