

06-08-1999

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VIS87-142

To the Honorable Commissioner of Patents and

101057109

documents or copy thereof.

1. Name of conveying party(ies):

**HSIAO-YING YANG AND YEH-SEN LIN**

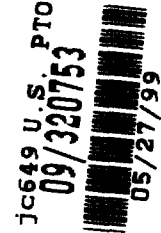
2. Name and address of receiving party(ies):

Name: **Vanguard International Semiconductor Corporation**

Internal Address:

Street Address:

**123, Park Ave. - 3Rd  
Science-Based Industrial Park  
City: Hsin-Chu Zip:  
Country: Taiwan, R.O.C.**



Additional name(s) or conveying party(ies) attached?  Yes  No

3. Nature of conveyance

- Assignment  Merger
- Security Agreement  Change of Name
- other \_\_\_\_\_

Execution Date: **4/21/99**

Additional name(s) & address(es) attached? Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **4/21/99**

A. Patent Application No(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **GEORGE O. SAILE**  
Internal Address: \_\_\_\_\_

Street Address: **20 MCINTOSH DRIVE**

City: **POUGHKEEPSIE** State: **NY** ZIP: **12603**

6. Total no. of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$ 40.00** ✓

- Enclosed
- Authorized to be charged to deposit acct. ✓

8. Deposit account number: **19-0033** ✓  
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

**STEPHEN B. ACKERMAN**

Name of Person Signing

*Stephen B. Ackerman*  
Signature

**May 25, 1999**

Date

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Total number of pages comprising cover sheet: **3**

For  U.S. and/or  Foreign Rights

For  Application or  U.S. Patent

By  Inventor(s) or  Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNOR:

Hsiao-Ying Yang  
Type or print name of ASSIGNOR  
123 Park Ave. 3  
Address Science-Based Ind. Park  
Hsin-Chu, Taiwan  
R.O.C.  
Nationality

YEH-SEN LIN  
Type or print name of ASSIGNOR  
No. 724 Chang-Hsia Rd.  
Address Pa-To, Tao-yuan, Taiwan  
R.O.C.  
Nationality

#### ASSIGNEE:

**Vanguard International Semiconductor Corp.**  
**123 Park Ave. 3**  
**Science-Based Industrial Park**  
**Hsin-Chu, Taiwan, R.O.C.**  
**Taiwan, R.O.C.**  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

*(complete one of the following)*

- the entire right, title and interest
- an undivided \_\_\_\_\_ percent (\_\_\_%) interest for the United States and its territorial possessions  
*(check the following box/f foreign rights are also to be assigned)*
- and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

**A Method To Improve Uniformity And The Critical Dimensions Of A DRAM Gate Structure**

and which is found in

- (a)  U.S. patent application executed on even date herewith
- (b)  U.S. patent application executed on \_\_\_\_\_
- (c)  U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_
- (d)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
*(also check (e) if foreign application(s) is also being assigned)*
- (e)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

4/21/99 (Date of signing)

**WARNING** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Signature of ASSIGNOR(S)

Hiao Ying Yang 4/21/99  
Jek-sen Lird 4/21/99

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

\_\_\_\_\_  
Notarization of Legalization Page Added.