

06-21-1999



FORM PTO-1595  
1-31-92

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

101070376

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Uniroyal Chemical Ltd./Uniroyal Chemical Ltee.  
25 Erb Street  
Elmira, Ontario N3B 3A3  
Canada

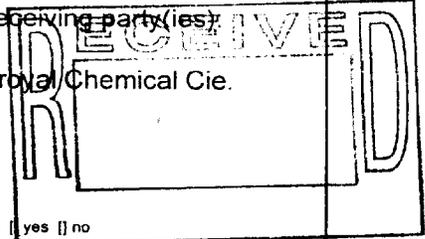
*MP  
6-15-99*

Additional name(s) of conveying party(ies) attached?  yes  no

2. Name and address of receiving party(ies):

Uniroyal Chemical Co./Uniroyal Chemical Cie.  
25 Erb Street  
Elmira, Ontario N3B 3A3  
Canada

Additional name(s) & address(es) attached?  yes  no



3. Nature of Conveyance:

Assignment  Merger  
 Security Agreement  Change of Name

Execution Date: **December 22, 1997**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

**SEE SCHEDULE ATTACHED**

B. Patent No.(s):

**SEE SCHEDULE ATTACHED**

5. Name and address of party to whom correspondence concerning document should be mailed:

Marlene P. Magone  
Law Dept.  
Uniroyal Chemical Company, Inc.  
World Headquarters  
Middlebury, CT 06749

6. Total number of applications and patents involved: **57**

7. Total fee (37 CFR §§ 3.41): **\$2,280.00**

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: **21-0525**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniel Reitenbach

July 8, 1998

321157

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet **[7]**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as "Express Mail" Post Office to Addressee" addressed to U.S. Patent and Trademark Office, Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis Hwy., Suite 320, Washington, D.C. 20231 on **July 8, 1998.**

Signature:

NGUYEN 00000201 21-0525

1400.00 CH

## UCAN ACTIVE CASES (US)

File/Docket no	COUNTRY	Appl'n No...	Appl'n date	Patent No...	Date_of_grant	Expiry_date	PROPRIETOR.CODE
D-5783	United States	329,157	DEC 10 1981	4,395,551	JUL 26 1983	DEC 10 2001	UCC UCAN
53(4990) D	United States	954,265	OCT 24 1978	4,202,904	DEC 13 1980	OCT 24 1998	UCC UCAN
D-5933 KON 1A	United States	699,776	FEB 08 1985	4,634,707	JAN 06 1987	JAN 06 2004	UCC UCAN
D-6032	United States	725,029	APR 19 1985	4,670,555	JUN 02 1987	APR 19 2005	UCC UCAN
D-5685	United States	71,628	AUG 31 1979	4,247,707	JAN 27 1981	AUG 31 1999	UCAN
D-5740	United States	295,394	AUG 24 1981	4,359,579	NOV 16 1982	AUG 24 2001	UCAN
D-5300	United States		OCT 30 1978	4,288,584	SEP 08 1981	OCT 30 1998	UCAN
D-5917	United States	642,290	AUG 17 1984	4,545,735	OCT 08 1985	AUG 17 2004	UCAN
D-5558	United States	958,909	NOV 08 1978	4,182,716	JAN 08 1980	NOV 08 1998	UCAN
D-5727	United States	247,670	MAR 26 1981	4,319,033	MAR 09 1982	MAR 26 2001	UCAN
D-5637	United States	970,011	DEC 18 1978	4,213,776	JUL 22 1980	DEC 18 1998	UCAN
D-5281	United States	960,994	NOV 15 1978	4,209,593	JUN 24 1980	NOV 15 1998	UCAN
D-5361	United States	157,725	JUN 09 1980	4,263,442	APR 21 1981	JUN 09 2000	UCAN
D-6114 CIP	United States	146,512	JAN 21 1988	4,822,813	APR 18 1989	MAR 11 2007	UCAN
D-6140	United States	223,054	JUL 21 1988	4,857,649	AUG 15 1989	JUL 21 2008	UCC UCAN
D-6143	United States	292,088	DEC 30 1988	4,927,451	MAY 22 1990	DEC 30 2008	UCC UCAN
D-6145	United States	321,028	MAR 09 1989	4,950,671	AUG 21 1990	MAR 09 2009	UCC UCAN
D-5938 CON	United States	291,381	DEC 23 1988	5,039,332	AUG 13 1991	AUG 13 2008	UCC UCAN
D-6160	United States	474,955	FEB 02 1990	4,979,982	DEC 25 1990	FEB 02 2010	UCC UCAN
23 DIV	United States	521,193	MAY 09 1990	5,106,409	APR 21 1992	APR 21 2009	UCC UCAN
D-6157 DIV	United States	566,012	AUG 10 1990	5,081,143	JAN 14 1992	OCT 18 2009	UCC UCAN
D-6149 CIP 2	United States	588,208	SEP 26 1990	5,268,389	DEC 07 1993	DEC 07 2010	UCC UCAN
D-6169	United States	590,909	OCT 01 1990	5,070,211	DEC 03 1991	OCT 01 2010	UCC UCAN
D-6170	United States	603,969	OCT 26 1990	5,071,862	DEC 10 1991	OCT 26 2010	UCC UCAN
D-6123 DIV 2	United States	832,277	FEB 07 1992	5,211,736	MAY 18 1993	MAY 18 2010	UCC UCAN
D-6182 CIP	United States	979,095	NOV 20 1992	5,367,093	NOV 22 1994	NOV 22 2011	UCC UCAN
D-6209	United States	195,308	FEB 14 1994	5,384,315	JAN 24 1995	FEB 14 2014	UCC UCAN
D-6211	United States	216,207	MAR 21 1994	5486521	JAN 23 1996	MAR 21 2014	UCC UCAN
D-6195 CIP	United States	241,211	MAY 11 1994	5536720	JUL 16 1996	JUL 16 2013	UCC UCAN
D-6182 CIP DIV	United States	286,738	AUG 05 1994	5438123	AUG 01 1995	AUG 01 2012	UCC UCAN

## UCAN ACTIVE CASES (US)

File/Docket no	COUNTRY	Appl'n_No...	Appl'n_date	Patent_No...	Date_of_grant	Expiry_date	PROPRIETOR.CODE
D-6190 CIP	United States	295,117	AUG 24 1994				UCC UCAN
D-6158 DIV 3	United States	774,842	MAY 07 1977	4,276,422	JUN 30 1981	JUN 30 1998	UCC UCAN
D-6216	United States	306,345	SEP 15 1994	5527764	JUN 18 1996	SEP 15 2014	UCC UCAN
D-5938 CON DIV	United States	344,395	NOV 23 1994	5545655	AUG 13 1996	AUG 13 2013	UCC UCAN
D-6213	United States	346,819	NOV 30 1994	5663199	SEP 02 1997	NOV 30 2014	UCAN
D-6217	United States	346,811	NOV 30 1994	5550280	AUG 27 1996	NOV 30 2014	UCAN
D-6249	United States	412,632	MAR 29 1995	5580544	DEC 03 1996	MAR 29 2015	UCC UCAN
D-6182 CIP DIV	United States	410,250	MAR 24 1995	5536746	JUL 16 1996	JUL 16 2013	UCC UCAN
D-6149 CIP 2 C	United States	08/485291	JUN 07 1995	5693827	DEC 02 1997	DEC 02 2014	UCC UCAN
D-6258	United States	08/498102	JUL 05 1995	5567723	OCT 22 1996	JUL 05 2015	UCC UCAN
D-6259	United States	08/498556	JUL 05 1995	5543404	AUG 06 1996	JUL 05 2015	UCC UCAN
D-6256	United States	08/528451	SEP 14 1995	5654441	AUG 05 1997	SEP 14 2015	UCAN
D-6266	United States	08/565493	NOV 30 1995	5696151	DEC 09 1997	NOV 30 2015	UCC UCAN
D-6275	United States	08/614291	MAR 12 1996	5700831	DEC 23 1997	MAR 12 2016	UCC UCAN
D-6217 DIV 1	United States	08/620590	MAR 22 1996				UCAN
D-6195 CIP 2	United States	08/636295	APR 23 1996	5677301	OCT 14 1997	SEP 09 2012	UCC UCAN
D-6158	United States	08/642832	MAY 02 1996	5719103	FEB 17 1998	MAY 02 2016	UCC UCAN
D-6218	United States	08/693692	AUG 06 1996	5656169	AUG 12 1997	AUG 06 2016	UCAN
D-6297	United States	08/736309	OCT 24 1996				UCC UCAN
D-6313	United States	08/791217	JAN 30 1997				UCC UCAN
D-6314	United States	08/806351	FEB 26 1997				UCC UCAN
D-6213 CIP	United States	08/852939	MAY 08 1997				UCAN
D-6260 CIP	United States	08/938535	SEP 26 1997				UCC UCAN
D-6313 CIP	United States	08/950609	OCT 14 1997				UCC UCAN
D-6337	United States	08/963714	NOV 04 1997				UCC UCAN
D-6190 CIP DIV	United States	08/967856	NOV 12 1997				UCC UCAN
D-6312	United States	08/989377	DEC 12 1997				UCC UCAN



CANADA )  
PROVINCE OF NOVA SCOTIA )  
HALIFAX REGIONAL MUNICIPALITY )  
)

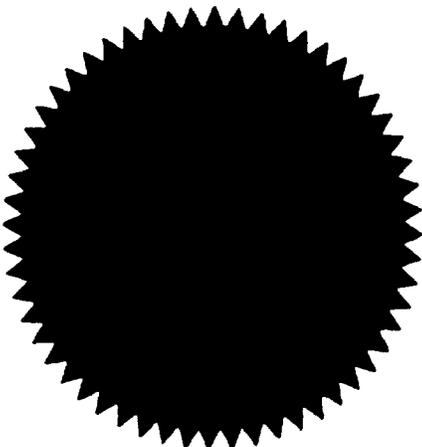
TO ALL WHOM THESE PRESENTS  
MAY COME, BE SEEN OR KNOWN

I, ROBERT G. MACKEIGAN, Q.C., a notary public in and for the Province of Nova Scotia, Canada, duly appointed by Royal Authority, do certify and attest that:

- (a) the paper-writing hereto annexed and marked Annex "A" is a true copy of a document produced and shown to me and purporting to be the certificate of continuance issued by the Registrar of Joint Stock Companies of Nova Scotia confirming that Uniroyal Chemical Ltd./Uniroyal Chemical Ltee., existing under the Ontario Business Corporations Act, was continued under the Companies Act of Nova Scotia on November 12, 1997, and
- (b) the paper-writing hereto annexed and marked Annex "B" is a true copy of a document produced and shown to me and purporting to be the certificate of amalgamation issued pursuant to the Companies Act of Nova Scotia confirming that Uniroyal Chemical Limited/Uniroyal Chemical Limitee amalgamated with 3012948 Nova Scotia Company under the name "Uniroyal Chemical Co./Uniroyal Chemical Cie." effective December 22, 1997 and that the liability of the shareholders is unlimited,

the said copies having been compared by me with the said original documents, an act whereof being requested I have granted under my notarial form and seal of office to serve and avail as occasion shall or may require.

IN TESTIMONY WHEREOF I have hereunto subscribed my name and affixed my notarial seal of office at Halifax Regional Municipality, in the Province of Nova Scotia, this 25th day of February, 1998.



Robert G. MacKeigan, Q.C.  
A Notary Public in and for  
the Province of Nova Scotia



Nova Scotia

Registry Number

1001984

*I HEREBY CERTIFY* that

UNIROYAL CHEMICAL LTD./UNIROYAL CHEMICAL LTEE., a subsisting company continued under the laws of Ontario, has satisfied the Registrar that the provisions of Section 133 of the Nova Scotia Companies Act, R.S.N.S., 1989, and any amendments thereto, have been complied with.

*I FURTHER CERTIFY* that

the aforementioned company is hereby continued in the Province of Nova Scotia under the name of UNIROYAL CHEMICAL LIMITED/UNIROYAL CHEMICAL LIMITEE, effective the twelfth day of November, 1997.

Handwritten signature of J.M. Homans in cursive script.

Deputy Registrar of  
Joint Stock Companies

November 12, 1997

Date of Continuance



Nova Scotia

**CERTIFICATE OF AMALGAMATION**

Companies Act

Registry Number

3014704

I hereby certify that

UNIROYAL CHEMICAL LIMITED/UNIROYAL CHEMICAL LIMITEE

3012948 NOVA SCOTIA COMPANY

having entered into an amalgamation subsequently approved by Order of the Supreme Court of Nova Scotia, have amalgamated and the name of the amalgamated company is:

UNIROYAL CHEMICAL CO./UNIROYAL CHEMICAL CIE.

and the amalgamation is approved by the Registrar of Joint Stock Companies effective this date and the liability of the members is unlimited.

*Karen Richard*

Deputy Registrar of Joint Stock Companies

December 22, 1997

Date of Amalgamation

**LAW DEPARTMENT****VIA EXPRESS MAIL: EJ698799045US**

June 15, 1999

U. S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Hwy  
Suite 320  
Washington, DC 20231**Subject: Document ID No.: 100698180** ✓

Dear Sir:

I have not received notice that the change of name has been recorded.

Please see copies of correspondence dated July 8, 1998.

I am looking forward to hearing from you.

Respectfully submitted,

Marlene P. Magone  
Patent Administrator



EE229840917US

Uniroyal Chemical Company, Inc.  
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Middlebury, CT 06749  
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Tel: 203-573-3311  
Fax: 203-573-4430

LAW DEPARTMENT

VIA EXPRESS MAIL: EE229840917 US

July 8, 1998

U. S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Hwy  
Suite 320  
Washington, DC 20231

**Subject: Document ID No.: 100698180**

This is in response to your June 28, 1998 Notice of Non-Recordation of Document.

Enclosed is a revised cover sheet indicating the conveying party along with the pertinent attachments.

Respectfully submitted,

Marlene P. Magone  
Patent Administrator

Attachments



RECORDED: 06/15/1999

**PATENT**  
REEL: 009996 FRAME: 0836