

06-09-1999



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 RECORDATION FORM COVER SHEET  
 PATENTS ONLY

 U.S. Department of Commerce  
 Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

- (a) David Hugh Jones  
 (b) Graham Henry Farrar  
 (c) James Christopher Stephen Clegg

 Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

November 29, 1996, as to each

Execution Date(s): inventor

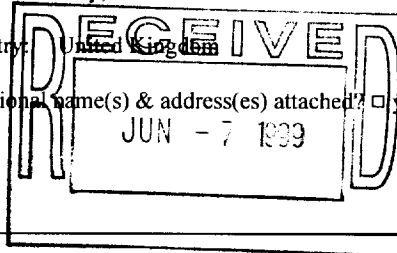
## 2. Name and address of receiving party(ies):

Name: Microbiological Research Authority

Street Address: CAMR, Porton Down

City: Salisbury, Wiltshire SP4 0JG State: Zip Code:

Country: United Kingdom

 Additional name(s) & address(es) attached? ☐ yes ☒ no


## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No(s).

08/745,515

B. Patent No(s).

 Additional numbers attached? ☐ yes ☒ no

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

Internal Address: c/o Robert W. Esmond, Esq.

(Atty. Dkt. 1581.0270000/RWE)

 Street Address: 1100 New York Ave., N.W.  
 Suite 600

City: Washington State: D.C. Zip Code: 20005-3934

## 6. Total number of applications and patents involved

1

## 7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account

## 8. Deposit Account Number: 19-0036

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*
Robert W. Esmond

 Name of Person Signing  
 Registration No. 32,893

Robert W. Esmond  
 Signature

June 7, 1999

Date

Total number of pages including cover sheet, attachments and document 3

OMB NO. 0651-0011 (exp 4/94)

 Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents and Trademarks, Box Assignments  
 Washington, D.C. 20231

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 PATENT  
 REEL: 009999 FRAME: 0318

ASSIGNMENT  
OF APPLICATION FOR UNITED STATES LETTERS PATENT

Attorney Docket  
MHTYS 0075

WHEREAS DAVID HUGH JONES, GRAHAM HENRY FARRAR AND JAMES CHRISTOPHER STEPHEN CLEGG

hereinafter (collectively) referred to as the assignor, has invented a certain improvement relating to MICROENCAPSULATED DNA FOR VACCINATION AND GENE THERAPY for which said assignor has caused an application for United States Letters Patent to be prepared,  
[X] the inventor's declaration for said application being executed concurrently with the execution of this instrument; said application to be filed in the United States Patent and Trademark Office;  
[ ] said application having been filed in the United States Patent and Trademark Office on \_\_\_\_\_, Serial Number [if known] \_\_\_\_\_  
[ ] said application having been filed under the Patent Cooperation Treaty on \_\_\_\_\_ Serial No. \_\_\_\_\_, the United States of America being designated.  
AND WHEREAS Microbiological Research Authority

\_\_\_\_\_, hereinafter referred to as the assignee, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof;

NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor hereby assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the entire and exclusive right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any all divisions and continuations thereof, and the said invention and any all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted, including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and enjoyed the same, if this assignment had not been made.

AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues and prolongations thereof.

AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and assigns, in accordance herewith.

EXECUTED, this 29<sup>th</sup> day of NOVEMBER, 1996.

WITNESSES:

Signature: \_\_\_\_\_  
Name : \_\_\_\_\_  
Address : \_\_\_\_\_

ASSIGNOR: [Signature]  
Signature: \_\_\_\_\_  
Name : D. H. JONES.

Signature: \_\_\_\_\_  
Name : \_\_\_\_\_  
Address : \_\_\_\_\_

- Page 2 -

EXECUTED, this 29<sup>th</sup> day of NOVEMBER, 1996.

WITNESSES:

Signature: \_\_\_\_\_

Name : \_\_\_\_\_

Address : \_\_\_\_\_

Signature: \_\_\_\_\_

Name : \_\_\_\_\_

Address : \_\_\_\_\_

ASSIGNOR:

Signature: \_\_\_\_\_

Name : GRAHAM HENRY FARRAR

WITNESSES:

Signature: \_\_\_\_\_

Name : \_\_\_\_\_

Address : \_\_\_\_\_

Signature: \_\_\_\_\_

Name : \_\_\_\_\_

Address : \_\_\_\_\_

ASSIGNOR:

Signature: \_\_\_\_\_

Name : JAMES CHRISTOPHER STEPHEN CLEGG