

FORM PTO-1595 (Substitute)
(Rev. 8-96)

To the Honorable Commissioner of Patents and Trade

1. Name of conveying party(ies):

Harold J. Wanebo and
Shashikant Mehta

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date(s): 4/19/99 and 4/16/99

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):

A. Patent Application No.(s) 09/287,884,
filed April 7, 1999

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White

Internal Address:

Street Address: Cooper & Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan

Name of Person Signing

Signature
Reg. No. 36,479

June 4, 1999

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Wai-Kit Chan

Date

Reg. No. 36,479

Total Number of pages including cover sheet, attachments and document: 3

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ments or copy thereof.

party(ies):

101059631

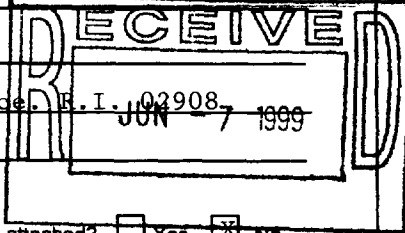
Name: Roger Williams Hospital

Internal Address:

Street Address: 825 Chalkstone Avenue

City/State/Zip: Providence, R.I. 02908

Additional name(s) & address(es) attached? ☐ Yes ☒ No



PATENT

REEL: 009999 FRAME: 0966

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, We, the undersigned,

Harold J. Wanebo and Shashikant Mehta residing at 11 Greenhill Way,
East Greenwich, Rhode Island 02818, U.S.A. and 487 Diamond Hill Road,
Warwick, Rhode Island 02886, U.S.A., respectively.

Hereby sell, assign and transfer to Roger Williams Hospital

a corporation of the State of Rhode Island

having a place of business at 825 Chalkstone Avenue, Providence

in the County of Providence

and State of Rhode Island 02908 U.S.A.

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on 4/19/99 and 4/16/99 and is entitled

COMBINATIONS OF CERAMIDE AND CHEMOTHERAPEUTIC AGENTS FOR INDUCING
CELL DEATH AND USES THEREOF IN TREATING CANCER
(U.S. Serial No. 09/287,884 , filed April 7, 1999)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, their successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 4/19/99 1999

Witness: Carmela DelMonico

Carmela DelMonico

12 Mulberry Circle

Johnston, RI 02919

Date: 4-16- 1999

Witness: Carmela DelMonico

Carmela DelMonico

12 Mulberry Circle

Johnston, RI 02919

Harold J. Wanebo [L.S.]
Harold J. Wanebo

Shashikant Mehta [L.S.]
Shashikant Mehta