

06-09-1999

Docket No 51896-A-PCT-US/JPW/AKC/AM

FORM PTO-1595 (Substitute)

(Rev. 8-96)

To the Honorable Commissioner of Patents and

1. Name of conveying party(ies):

Paul B. Fisher

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date(s): 5/27/99

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):

A. Patent Application No.(s) 09/251,124

filed February 16, 1999

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White, Esq.

Internal Address: \_\_\_\_\_

Street Address: Cooper &amp; Dunham, LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan  
Name of Person SigningAlbert Wai-Kit Chan  
SignatureJune 4, 1999  
Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Wai-Kit Chan  
Reg No. 36,479  
Date 6/4/99

Total Number of pages including cover sheet, attachments and document: 3

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:  
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Patent and Trademark Office

documents or copy thereof.

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2. Name and address of receiving party(ies):

The Trustees of  
Name: in the City of New York

Internal Address: \_\_\_\_\_

Street Address: West 116th Street and Broadway

City/State/Zip: New York, New York 10027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

PATENT  
 REEL: 009999 FRAME: 0969

06/09/1999 DNG/VEH 00000035 033125 0925824  
 40.00 CH  
 01 FC:581

# Assignment

*In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged. I, the undersigned,*

**Paul B. Fisher, residing at 15 Gordon Place, Scarsdale, New York 10583, U.S.A.,**

*Hereby sell, assign and transfer to* The Trustees of Columbia University  
*in the City of New York* *a corporation of the State of*  
*New York* *having a place of business at* West 116th Street and Broadway  
*in the County of* New York *and State of* New York 10027, USA  
*its successors, assigns and legal representatives, the entire right, title and interest for all*  
*countries, in and to any and all inventions which are disclosed and claimed, and any and all*  
*inventions which are disclosed but not claimed, in the application for United States Patent,*  
*which has been executed by the undersigned on* May 27, 1999 *and is entitled*  
**USE OF A MELANOMA DIFFERENTIATION ASSOCIATED GENE (mda 7) FOR REVERSAL OF**  
**CANCEROUS PHENOTYPE**  
**(U.S. Serial No. 09/251,124 filed February 16, 1999, a continuation of PCT**  
**International Application No. PCT/US97/14548, filed 15 August 1997, which is**  
**a continuation-in-part of U.S. Serial No. 08/696,573, filed August 16, 1996)**

*and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all*  
*other applications for U.S. Letters Patent or other related property rights in any and all*  
*foreign countries which have been or shall be filed on any of said inventions disclosed in said*  
*application; and in and to all original and reissued patents or related foreign documents which*  
*have been or shall be issued on said inventions;*

*Authorize and request the Commissioner of Patents of the United States to issue to said*  
*Assignee, the corporation above named, their successors, assigns and legal representatives, in*  
*accordance with this assignment, any and all United States Letters Patent on said inventions*  
*or any of them disclosed in said application;*

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, successors, assigns and legal representatives; and

**Covenant** with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Paul B. Fisher [L.S.]

Date: 6/1 1997

Paul B. Fisher

Witness: Mary I Lewis  
MARY I Lewis  
888 Park Place  
Brooklyn, NY 11238

\_\_\_\_\_ [L.S.]

Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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