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06-10-1999



ER SHEET

U.S. DEPARTMENT OF COMMERCE  
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Y

To the Honorable Commissione. ....

101060453

the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas W. Jeffries

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: 5/24/99

## 2. Name and address of receiving party(ies)

Name: The United States of America as  
represented by the Secretary of Agriculture  
Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: Washington State: DC ZIP: 20250

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## 4. Application number(s) or patent number(s): 09/274,642

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janet I. Stockhausen

Internal Address: Patent Advisor

USDA Forest Service

Street Address: One Gifford Pinchot Drive

City: Madison State: WI ZIP: 53705-23

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

06-1555

98 (Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Janet I. Stockhausen

Name of Person Signing

Signature Reg. No. 34,256

2

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 010002 FRAME: 0102

# ASSIGNMENT

WHEREAS, I, Thomas W. Jeffries, residing at, 5517 Greening Lane, Madison, WI 53705, having jointly-invented with another inventor, **DISRUPTION OF THE CYTOCHROME C GENE IN XYLOSE-FERMENTING YEAST**, Serial Number: 09/274,642, Filing Date: 3/23/99, for which I am making application for Letters Patent of the United States; and,

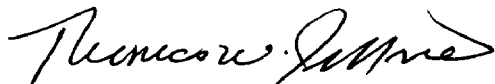
WHEREAS, the United States patent rights in said invention are assignable to the United States by virtue of my having made the invention while in the employ of the United States Department of Agriculture under applicable law and regulations of the United States Department of Agriculture which render said patent rights so assignable; and

WHEREAS, the United States, as represented by the Secretary of Agriculture, is desirous of acquiring an assignment of said patent rights;


NOW, THEREFORE, in consideration of these premises, I hereby assign said patent rights to the United States of America, as represented by the Secretary of Agriculture;

I further grant to the Government of the United States a nonexclusive, irrevocable, royalty-free license in any patent which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government;

Still further, I shall not employ such foreign patents to bar the sale or use, in any foreign country, of materials which are manufactured or otherwise produced essentially in the United States; Rather, I shall grant patent licenses therein, at reasonable terms, to permit such sale or use; Provided, that I shall not be compelled to grant such licenses in any foreign country where said materials are staple articles or commodities of commerce suitable for substantial use other than in infringement of such patents.



(Signature)



(Date)