

06-11-1999



101061602

To the Honorable Commissioner of Patents

and original documents or copy thereof.

1. Name of conveying party(ies)

James P. Cruse

May 5, 1997

Name and address of receiving party(ies)

Name: Applied Materials, Inc.

Internal Address: Legal Affairs Department

Mail Stop 2061

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: P.O. Box 450A

City: Santa Clara State: CA ZIP: 95052

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: May 7, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 6-1-99

A. Patent Application No.(s)

B. Patent No.(s)

09322912

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Counsel
APPLIED MATERIALS, INC.
Legal Affairs Department, M/S 2061
Address: P.O. Box 450A
Santa Clara, California 95052

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00 ✓

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number.

01-1651 ✓

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06/10/1999 JSRBP2Z 00000066 01651 19922912

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert W. Mulcahy, Reg. No. 25,436

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 5

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PATENT
REEL: 010008 FRAME: 0903

06-23-1997

P



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Tab settings

To the Honorable Commissioner of Patents and Trademarks, original documents of the Office.

1. Name of conveying party(ies):
James P. Cruise

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 5/5/97

2. Name and address of receiving party(ies):

Name: Applied Materials, Inc.

Internal Address:

Street Address: 3050 Bowers Avenue

City: Santa Clara State: CA ZIP: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 5/5/97

A. Patent Application No.(s)

B. Patent No.(s)

08/854508

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Applied Materials, Inc.

Internal Address:

Street Address: 3050 Bowers Avenue, P.O. Box 450A

City: Santa Clara State: CA ZIP: 95052

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond R. Moser Jr.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses
of Inventors:

1) James P. Cruse
308 River Street
Santa Cruz, CA 95060

2)

3)

(hereinafter referred to as Assignors), have invented a certain invention entitled:

METHOD AND APPARATUS FOR MONITORING PROCESSES USING MULTIPLE PARAMETERS OF A SEMICONDUCTOR WAFER PROCESSING SYSTEM

for which application for Letters Patent in the United States was executed on even date
herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place
of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as
Assignee), is desirous of acquiring the entire right, title and interest in and to said application
(hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to
as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or
discovered by said Assignors, and in and to any and all patents, inventor's certificates and other
forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and
groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by
said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and
exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all
rights to apply for patents on said Invention in any and all countries pursuant to the International
Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all
Applications filed and any and all Patents granted on said Invention in any and all countries and
groups of countries, including each and every Application filed and each and every Patent granted
on any application which is a division, substitution, or continuation of said Application; and (d) in
and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to
enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention
herein conveyed in any and all countries and groups of countries. Such cooperation by said

Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) May, 1997

J P C R
JAMES P. CRUSE

2) _____, 1997

3) _____, 1997
