



06-11-1999

Express Mail No. EM 490 492 871 US



101062464

Attorney Docket Number
7116-079MRD
6.4.99

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roger Randal Charles New and Christopher John Kirby

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 12, 1999

2. Name and address of receiving party(ies):

Name: Cortecs (UK) LimitedAddress: Newtech Square, Deeside Industrial Park
Deeside, Flintshire, CH5 2NTCountry (if other than USA): United Kingdom

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/218,289, filed 12/22/98

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 100366. Number of applications and patents involved: One7. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-115006/11/1999 JSHABAZZ 00000009 161150 09218289
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Geraldine F. Baldwin
Name of Person Signing Reg. No.

31,232

Signature

June 4, 1999

Date

Total number of pages including cover sheet:

02

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

ASSIGNMENT

JOINT

WHEREAS, WE, Roger Randal Charles New and Christopher John Kirby, ASSIGNORS, citizens of the United Kingdom, residing at Flat 10, Leinster Mansions, 1 Langland Gardens, Hampstead, London, United Kingdom, NW3 6QB; and 95 Oxford Road, Berkshire, United Kingdom, RG 41 2YL; are the inventors of the invention in **HYDROPHOBIC PREPARATIONS CONTAINING MEDIUM CHAIN MONOGLYCERIDES** for which we have executed an application for a Patent of the United States

- ☑ which is identified by Pennie & Edmonds LLP docket no. 7116-079
- ☑ which was filed on December 22, 1998, Application Serial No. 09/218,289

and WHEREAS, Cortecs (UK) Limited, having a place of business at Newtech Square, Deeside Industrial Park, Deeside, Flintshire, CH5 2NT, United Kingdom, a British Company, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 12th May 1999, 1999 Roger R C New L.S.
ROGER RANDAL CHARLES NEW

Date 12 MAY, 1999 S. C. W. Witness

Date 12 May 1999, 1999 Christopher John Kirby L.S.
CHRISTOPHER JOHN KIRBY

Date 12th May, 1999 Phygon Witness
12th May 1999