

MWD 5.28.99

Docket No.: UCOTT P226c 09-322311

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
C\*#B No. 0651-0011 (exp. 4/94)  
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P08A/REV02

06-15-1999



101065505

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please attach original documents or copy thereof.

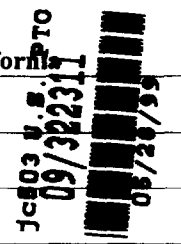
1. Name of conveying party(ies):  
**Sam Tanenbaum**  
**Stuart Nelson**  
**Thomas Milner**  
**Bahman Anvari**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):  
Name: **The Regents of the University of California**  
Address: **1111 Franklin Street, 12th Floor**

City: **Oakland** State/Prov.: **CA**  
Country: **US** ZIP: **94607-5200**

Additional name(s) & address(es)  Yes  No



3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other

Execution Date: **May 17, 1999**

4. Application number(s) or registration numbers(s):  
If this document is being filed together with a new application, the execution date of the application is: **May 17, 1999**

Patent Application No.	Filing date	B. Patent No.(s)

Additional numbers  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: **Daniel L. Dawes**  
Registration No. **27,123**  
Address: **5252 Kenilworth Drive**

City: **Huntington Beach** State/Prov.: **CA**  
Country: **US** ZIP: **92649**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**  
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
 Authorized to be charged to deposit account

8. Deposit account number: **01-1960**

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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

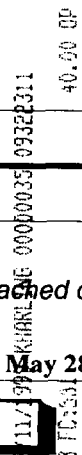
**Daniel L. Dawes**  
Name of Person Signing

*Daniel L. Dawes*  
Signature

May 28, 1999  
Date

Total number of pages including cover sheet, attachments, and

2



PATENT

REEL: 010014 FRAME: 0584

ASSIGNMENT

UC Case No. 93-364-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Sam Tannenbaum
- 2. Stuart Nelson
- 3. Thomas Milner
- 4. Bahman Anvari

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Apparatus and Method for Dynamic Cooling of Biological Tissues for Thermal Mediated Surgery Using Long Laser Pulses

and which is found in

- (a)  U.S. CIP application filed herewith and listing the above named persons as inventors
- (b)  U.S. patent application filed herewith and listing the above named persons as inventors
- (c)  U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_
- (d)  U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that the ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

17 day of May, 1999

\_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

\_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

\_\_\_\_\_  
Sam Tannenbaum

\_\_\_\_\_  
Stuart Nelson

\_\_\_\_\_  
Thomas Milner

\_\_\_\_\_  
Bahman Anvari