

06-15-1999

ASSIGNMENT RECORD

Patents Only



101065397

Docket No. 033330/176528

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Chun-Hsiang WEN
Shu-Huei CHENG
Hua-Chi Cheng
Yaw-Ting WU
Ming-Shiang JAN
--Pao-Ju HSIEH
Jun-Ichi YASUKAWA
Hajime KUWAHARA--



2. Name and address of receiving party(ies):

INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE
No. 195, Sec. 4, Chung-Hsing Rd.
Chutung Hsinchu, Taiwan ROC

SUMITOMO CHEMICAL COMPANY, LIMITED
5-33, Kitahama-4-Chome
Chuo-Ku
Osaka, Japan

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance: **Please add the inventors within double dashes above and correct the name and address of the second Assignee, Sumitomo Chemical Company, Limited. Copies of the previously forwarded Assignment documents attached.**

- ☐ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☒ Other Correction of previously recorded Assignment
at Reel 977, Frame 119

Execution Dates: 4/12/99; 4/12/99; 4/12/99; 4/12/99; 4/12/99; 4/13/99;
5/12/99 & 5/7/99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application Serial No. 09/248,375, filed February 10, 1999

Patent No. _____

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James A. Forstner
ALSTON & BIRD LLP
601 Pennsylvania Avenue, N.W.
North Building, Suite 1100
Washington, DC 20006

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 16,065

06/14/1999 NT1011 00000242 09248375

01-FC-581

40.00 GP

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy Of the original document.

James A Forstner, Reg.No. 24,259

Name of Person Signing

Signature

Date

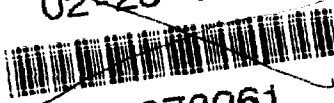
Total number of pages including cover sheet, attachments, and document: 10

PATENT
REEL: 010014 FRAME: 0705

MRB 2-10-99

ASSIGNMENT RECORD

02-25-1999



100973261

Patents Only

To the Honorable Commissioner of Patents and Trade
Please record the attached original documents or copy as is.

Attorney Dkt. No.: 3330-2

1. Name of conveying party(ies):

Chun-Hsiang Wen
Shu-Huei Cheng
Hua-Chi Cheng
Yaw-Ting Wu
Ming-Shiang Jan

2. Name and address of receiving party(ies):

Industrial Technology Research Institute
No. 195, Sec. 4, Chung-Hsing Rd.
Chutung, Hsinchu, Taiwan, R.O.C.

Suitomo Chemical Co., Ltd.
27-1, Shinkawa 2-Chome
Chuo-Ku, Tokyo 104
Japan

JCS51 U.S. PTO
09/248375

02/10/99

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

Execution Date: 2/1/99Additional name(s) & address(es) attached? Yes ☐ No ☒

4. Application No. _____

Patent No. _____

If this document is being filed together with a new application, the execution date of the application
is: 2/1/99

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Raymond O. Linker, Jr.
ALSTON & BIRD LLP
P. O. Drawer 34009
Charlotte, NC 28234-4009

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 16-0005

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond O. Linker, Jr., Reg. No. 26,419
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 3

02/22/1999 16:05

02/22/1999 16:05

02 FT:501

USA Patent Appin.
Sole or Joint

ASSIGNMENT

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

- | | |
|----------------------------|----------------------------------|
| (1) <u>CHUN-HSIANG WEN</u> | (4) <u>YAW-TING WU</u> |
| (2) <u>SHU-HUEI CHENG</u> | (5) <u>MING-SHIANG JAN</u> |
| (3) <u>HUA-CHI CHENG</u> | [] x box if continued on page 2 |

Insert Name(s)
of inventors

Insert Name(s)
of Assignee(s)
and Address(es)

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto: (1) INDUSTRIAL TECHNOLOGY RESEARCH INSTITUTE NO. 195, SEC. 4, CHUNG-HSING RD., CHUTUNG, HSINCHU, TAIWAN, R.O.C. (2) SUMITOMO CHEMICAL COMPANY, LIMITED 5-33, KITAHAMA-4-CHOME, CHUO-KU, OSAKA, JAPAN

Title of
Invention

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as
METHODS FOR MANUFACTURING COLOR FILTERS

NOTE-->-->-->
(Complete line
A, B and/or C)

- for which the undersigned executed an application for Letters Patent of the United States of America [] even date herewith
(B) [] on _____
(C) in U.S. Appin No. _____ / _____ filed _____

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

<u>INVENTOR(S)</u>	<u>DATE SIGNED</u>	<u>WITNESS(ES)</u>
1) <u>Chun Hsiang Wen</u> Name: CHUN-HSIANG WEN	<u>12 Apr '99</u>	
2) <u>Shu Hwei Cheng</u> Name: SHU-HUEI CHENG	<u>12 Apr '99</u>	
3) <u>Hua-Chi Cheng</u> Name: HUA-CHI CHENG	<u>12 Apr '99</u>	
4) <u>Yaw-Ting Wu</u> Name: YAW-TING WU	<u>12 Apr '99</u>	
5) <u>Ming-Shiang Jan</u> Name: MING-SHIANG JAN	<u>12 Apr '99</u>	

IF ADDITIONAL INVENTORS, check box [] and continue on page 2

Assignment

(Continued from page 1)

Listing of additional inventors

(6) PAO-JU HSIEH	(11)
(7) JUN-ICHI YASUKAWA	(12)
(8) HAJIME KUWAHARA	(13)
(9)	(14)
(10)	(15)

Signatures of additional inventors/witnesses/dates signed

<u>INVENTOR (S)</u>	<u>DATE SIGNED</u>	<u>WITNESS (ES)</u>
6) <u>PAO-JU HSIEH</u> Name: PAO-JU HSIEH	<u>10/1/81</u>	
7) <u>JUN-ICHI YASUKAWA</u> Name: JUN-ICHI YASUKAWA		
8) <u>HAJIME KUWAHARA</u> Name: HAJIME KUWAHARA		
9) <u></u> Name:		
10) <u></u> Name:		
11) <u></u> Name:		
12) <u></u> Name:		
13) <u></u> Name:		
14) <u></u> Name:		
15) <u></u> Name:		

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Insert Name(s)
of inventors

Insert Name(s)
of Assignee(s)
and Address(es)

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Assignment

(Continued from page 1)

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<u>INVENTOR (S)</u>	<u>DATE SIGNED</u>	<u>WITNESS (ES)</u>
6) _____ Name: PAO-JU HSIEH	_____	_____
7) <u>Jun-ichi Yasukawa</u> Name: JUN-ICHI YASUKAWA	<u>May 12, 1999</u>	<u>Kazumitsu Yamamoto</u>
8) <u>Hajime Kuwahara</u> Name: HAJIME KUWAHARA	<u>May 7, 1999</u>	<u>J. Z. Lee</u>
9) _____ Name: _____	_____	_____
10) _____ Name: _____	_____	_____
11) _____ Name: _____	_____	_____
12) _____ Name: _____	_____	_____
13) _____ Name: _____	_____	_____
14) _____ Name: _____	_____	_____
15) _____ Name: _____	_____	_____

PATENT

REEL: 010014 FRAME: 0710

USA Patent Appln.
Sole or Joint

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Insert Name(s)
of inventors

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27-1, SHINKAWA 2-CHOME, CHUO-KU, TOKYO 104,
JAPAN

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3) <u>Hua-Chi Cheng</u> Name: HUA-CHI CHENG	<u>1 FEBRUARY 1999</u>	
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5) <u>Ming-shiang Jan</u> Name: MING-SHIANG JAN	<u>1 FEBRUARY 1999</u>	

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7) _____ Name: JUN-ICHI YASUKAWA	<u>1 FEBRUARY 1999</u>	_____
8) <u>Jun-ichi yasukawa</u> Name: HAJIME KUWAHARA	<u>1 FEBRUARY 1999</u>	_____
9) <u>Hajime Kuwahara</u> Name: _____	<u>1 FEBRUARY 1999</u>	_____
10) _____ Name: _____	_____	_____
11) _____ Name: _____	_____	_____
12) _____ Name: _____	_____	_____
13) _____ Name: _____	_____	_____
14) _____ Name: _____	_____	_____
15) _____ Name: _____	_____	_____

PATENT