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06-16-1999



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PATENT

Docket No.: UD 051349.3

Box Patent Application  
Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231



COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING  
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21  
and 37 CFR 3.31(a)(4))

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

- a. Date of execution: MAY 7, 1999.
- b. Name of each inventor:  
GIOVANNA FERRAGAMO
- c. Title of invention: TWISTED PENDANT FOR BAG, CLOTHING AND TRINKET  
GOODS

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date JUNE 7, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EJ405346367US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

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CONNIE YANNOTTI  
(type or print name of person mailing paper)

(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

EJ 40 5.3 4 6 3.6.7US

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**Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))**

2. The party(ies) conveying this interest is (are):

GIOVANNA FERRAGAMO

**Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:

Name: SALVATORE FERRAGAMO ITALIA S.P.A.

Address: 2, VIA TORNABUONI  
50100 FIRENZE Italy

Telephone No.: ( )

**Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

**Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name:

Address: Ladas & Parry  
26 West 61 Street  
New York, NY 10023  
Telephone No.: (212) 708-

**Date Assignment (Document) Executed (37 CFR 3.31(a)(7))**

6. The attached assignment (document) was executed on MAY 7, 1999.

**Language of Assignment (Document) to be Recorded**

*NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26*

The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

**Original Document or True Copy Submitted**

*NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.*

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

*NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.*

**Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))**

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00

- ☒ Attached is a check for \$40.00
- ☐ Please charge Account 12-0425 \$40.00.  
A duplicate of this cover sheet is attached.

**Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))**

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: JUNE 7, 1999

\_\_\_\_\_  
(Name of party submitting document)

\_\_\_\_\_  
(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)

\_\_\_\_\_  
(Signature of attorney)

WILLIAM R. EVANS  
c/o LADAS & PARRY  
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NEW YORK, N.Y. 10023  
Reg. No. 25,858 (212) 708-1945

Reg. No.

Tel. No.

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 5

**ASSIGNMENT**

- (1) FERRAGAMO Giovanna
- (2) \_\_\_\_\_
- (3) \_\_\_\_\_
- (4) \_\_\_\_\_
- (5) \_\_\_\_\_

(1-5) Insert Name(s) of  
Inventor(s).

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(6) Insert name of Assignee

- (6) SALVATORE FERRAGAMO ITALIA S.p.A.
- (7) 2, Via Tornabuoni - 50100 FLORENCE - ITALY

(7) Insert address of Assignee

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) Insert Identification of  
Invention, such as Title,  
Case Number or Foreign  
Application Number

- (8) TWISTED PENDANT FOR BAG, CLOTHING AND  
TRINKET GOODS. Foreign  
Application or  
Case No. FI990/000003

for which the undersigned has (have) executed an application for patent in the United States of America

(9) Insert Date of Signing of  
Application

- (9) on \_\_\_\_\_

(10) Alternative identification  
for filed applications

- (10) U.S. application Serial Number \_\_\_\_\_  
filed \_\_\_\_\_

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of Browdy and Neimark the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

(1) Date May 7, 1999 Name of Inventor Giovanna Ferragamo

(2) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

(3) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

(4) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

(5) Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_

EJ 40 53 46 3.6.7 US

RECORDED: 06/07/1999

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